

Simulation-Based Selective Opening CCA Security for PKE from Key Encapsulation Mechanisms (PKC2015)

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SOA Security

- PKE and Selective Opening Attack.
- SIM-SO-CCA Security.
- PKE with SIM-SO-CCA Security.
 - Tailored Key Encapsulation Mechanism;
 - Strengthened Cross-Authentication Codes.
- Three constructions of Tailored Key Encapsulation Mechanism.
- Conclusion

Public Key Encryption

Public key encryption $\text{PKE} = (\text{KeyGen}, \text{Enc}, \text{Dec})$.

- $\text{KeyGen}(1^\kappa) \rightarrow (pk, sk)$.
- $\text{Enc}(pk, M) \rightarrow C$.
- $\text{Dec}(sk, C) \rightarrow M / \perp$.

An PKE scheme has **completeness error** ϵ if

$$\Pr [\text{Dec}(sk, \text{Enc}(pk, M)) \neq M] \leq \epsilon$$

for all $(pk, sk) \leftarrow \text{KeyGen}$ and $M \leftarrow \mathcal{M}$, where the probability is taken over the coins used in encryption.

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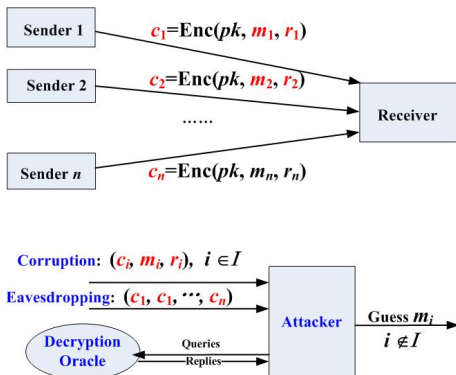
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Selective Opening Attack



Selective Opening Attack: a vector of **ciphertexts**, adaptive corruptions exposing not only some message but also the **random coins**.

SIM-SO-CCA2 Security: $\text{Exp}_{\mathcal{A}, \mathcal{M}, \mathcal{R}}^{\text{cca-so-real}}(1^\kappa)$

The real experiment

Challenger

$\mathcal{A} = (\mathcal{A}_1, \mathcal{A}_2, \mathcal{A}_3)$

$(pk, sk) \leftarrow \text{KeyGen}(1^\kappa) \xrightarrow{pk}$

$\xleftarrow{\alpha} \alpha \leftarrow \mathcal{A}_1^{\text{Dec}(\cdot)}(pk)$

$\vec{M} = (M^{(1)}, \dots, M^{(n)}) \leftarrow \mathcal{M}(\alpha)$

$\vec{R} = (R^{(1)}, \dots, R^{(n)}) \leftarrow \mathcal{R}$

$\vec{C} = \text{Enc}(pk, \vec{M}; \vec{R}) \xrightarrow{\vec{C}}$

$\xleftarrow{I} I \leftarrow \mathcal{A}_2^{\text{Dec}(\cdot)}(\vec{C})$

$\xrightarrow{(M^{(i)}, R^{(i)})_{i \in I}} \text{out}_A \leftarrow \mathcal{A}_3^{\text{Dec}(\cdot)} \left((M^{(i)}, R^{(i)})_{i \in I} \right)$

$\vec{R}(\vec{M}, I, \text{out}_A)$

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$R(\vec{M}, I, \text{out}_A)$

SIM-SO-CCA2 Security: $\text{Exp}_{\mathcal{A}, \mathcal{M}, \mathcal{R}}^{\text{cca-so-ideal}}(1^\kappa)$

The ideal experiment

Challenger

$\mathcal{S} = (\mathcal{S}_1, \mathcal{S}_2, \mathcal{S}_3)$

$\longleftarrow \alpha$

$\alpha \leftarrow \mathcal{S}_1(1^\kappa)$

$\vec{M} = (M^{(1)}, \dots, M^{(n)}) \leftarrow \mathcal{M}(\alpha)$

$\longleftarrow I \subseteq [n]$

$I \leftarrow \mathcal{S}_2(1^{|M^{(i)}|})$

$\xrightarrow{(M^{(i)})_{i \in I}}$

$\text{out}_{\mathcal{S}} \leftarrow \mathcal{S}_3\left(\left(M^{(i)}\right)_{i \in I}\right)$

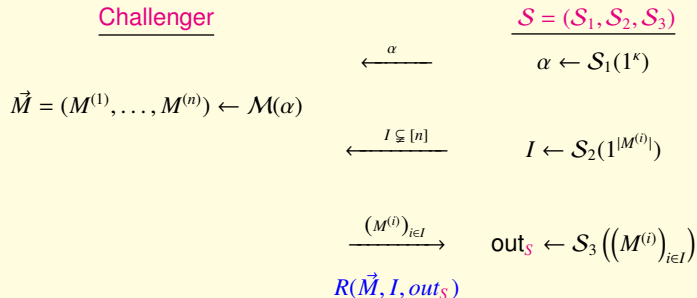
$R(\vec{M}, I, \text{out}_{\mathcal{S}})$

SIM-SO-CCA2 Security: \forall PPT \mathcal{A} , \forall PPT \mathcal{R} , \forall PPT \mathcal{M} , $\exists \mathcal{S}$ such that

$$\left| \Pr \left[R(\vec{M}, I, \text{out}_{\mathcal{A}}) = 1 \right] - \Pr \left[R(\vec{M}, I, \text{out}_{\mathcal{S}}) = 1 \right] \right| \text{ is negligible.}$$

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Related work

- [BHY2009] formalized **IND-SOA**, **SIM-SOA** security.
- **SIM-SOA** security is harder to achieve than **IND-SOA** security.
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Our Contribution

To achieve **SIM-SO-CCA2 Security**

[FHKW2010]: $\text{PKE} = \text{Extended HPS} + \text{Strong XAC} + \text{CR-Hash}$.

- We generalize the black-box PKE construction of [FHKW2010]:

$\text{PKE} = \text{tailored KEM} + \text{strengthened XAC}$.

- We characterize the properties of tailored KEM.
- We give three constructions for tailored KEM, including
 - Hash Proof System.
 - n -Linear Assumption.
 - indistinguishability Obfuscation (iO).

SIM-SO-CCA security follows from the existence of iO and OWF.

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How to get SIM-SO-CCA2 Security: the idea

Challenger

$\mathcal{S} = (\mathcal{S}_1, \mathcal{S}_2, \mathcal{S}_3)$

$$\begin{array}{ccc}
 & \xleftarrow{\alpha} & \alpha \leftarrow \mathcal{S}_1(1^\kappa) \\
 \vec{M} = (M^{(1)}, \dots, M^{(n)}) & \xleftarrow{I} & I \leftarrow \mathcal{S}_2(1^{|M^{(i)}|}) \\
 & \xrightarrow{(M^{(i)})_{i \in I}} & \text{Out}_{\mathcal{S}} \leftarrow \mathcal{S}_3\left(\left(M^{(i)}\right)_{i \in I}\right)
 \end{array}$$

$$\text{Aim: } (\vec{M}, I, \text{out}_A) \approx_c (\vec{M}, I, \text{out}_S)$$

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$\xleftarrow{(\alpha, \vec{ID})}$

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The challenging job for the simulator

How to create a fake ciphertext vector \vec{c} s.t.

- $(\text{fake}) \vec{c} \approx_c (\text{real}) \vec{c}$.
- \vec{c} can be opened to any messages.

Following the techniques of [non-committing and deniable encryption](#), we can build

[Single-bit PKE](#) from a [KEM](#)=(KEM.Kg, KEM.Enc, KEM.Dec):

KEM.Kg(1^k) \rightarrow (pk, sk); KEM.Encap(pk) \rightarrow (K, ϕ); KEM.Decap(sk, ϕ) \rightarrow K / \perp .

Single-bit PKE from KEM

$$\text{Enc}_{pk}(M): \text{Ciphertext } C = \begin{cases} (K^R, \phi^R) \leftarrow (\mathcal{K}, \mathcal{C}) & \text{if } M = 0 \\ (K, \phi) \leftarrow \text{KEM.Encap}(pk) & \text{if } M = 1 \end{cases}$$

$$\text{Dec}_{sk}(C): \text{Return } M = \begin{cases} 0 & \text{if } \text{KEM.Decap}(sk, \phi) = \perp \\ 1 & \text{if } \text{KEM.Decap}(sk, \phi) = K \end{cases}$$

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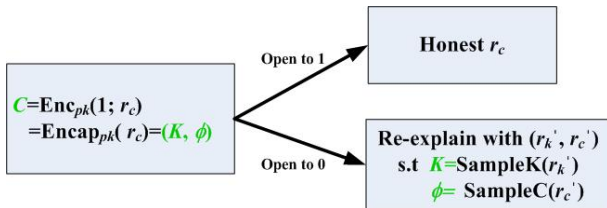
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SIM-SO-CCA Security for single-bit PKE

The **equivocal ciphertext** is $C = Enc_{pk}(1) = (K, \phi)$.



Requirement for $KEM = (KEM.Kg, KEM.Enc, KEM.Dec)$

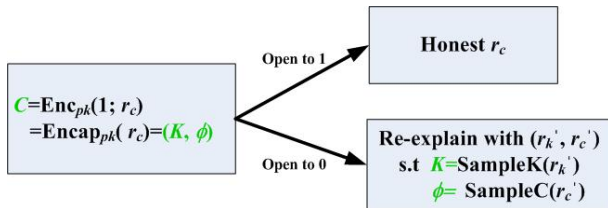
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\mathcal{K}, C : Efficiently samplable and explainable (ESE) domains. Any (K, ϕ) can be explained with a randomness as if they are randomly chosen.

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Constructing multi-bit PKE

Cross Authentication Codes ℓ -XAC = (XAuth, XVer) (due to Fehr et al.):

► **Authentication and Verification.** If $T \leftarrow \text{XAuth}(K_1, \dots, K_\ell)$, then $\text{XVer}(K_i, T) = 1$.

► **Security against impersonation attacks.** $\forall T \in \mathcal{T}$,

$$\Pr[\text{XVer}(K, T) = 0 : K \leftarrow \mathcal{K}] = 1 - \text{neg}(\kappa).$$

► **Security against substitution attacks.** Given T ($T = \text{XAuth}(K_1, \dots, K_\ell)$) and $(K_j)_{j \in [\ell], j \neq i}$, as long as K_i is uniformly chosen, then it is hard for an adversary to forge $T' \neq T$, such that $\text{XVer}(K_i, T') = 0$.

► **Strongness.** \exists a ppt ReSample such that, given $K_i \leftarrow \mathcal{K}$, values of $(K_j)_{j \in [\ell], j \neq i}$ and the tag $T = \text{XAuth}(K_1, \dots, K_\ell)$,

$$\hat{K}_i \leftarrow \text{ReSample}((K_j)_{j \in [\ell], j \neq i}, T)$$

$$\text{and } \hat{K}_i \mid_{T, (K_j)_{j \in [\ell], j \neq i}} \approx_s \hat{K} \mid_{T, (K_j)_{j \in [\ell], j \neq i}}.$$

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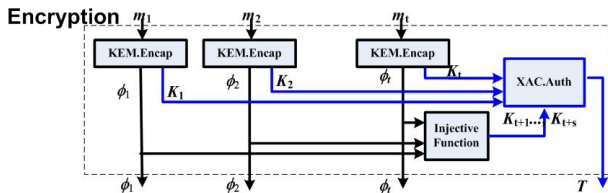
► **Strongness.** \exists a ppt **ReSample** such that, given $K_i \leftarrow \mathcal{K}$, values of $(K_j)_{j \in [\ell], j \neq i}$ and the tag $T = \text{XAuth}(K_1, \dots, K_\ell)$,

$$\hat{K}_i \leftarrow \text{ReSample}((K_j)_{j \in [\ell], j \neq i}, T)$$

$$\text{and } \hat{K}_i \mid_{T, (K_j)_{j \in [\ell], j \neq i}} \approx_s \hat{K} \mid_{T, (K_j)_{j \in [\ell], j \neq i}}.$$

► **Semi-uniqueness.** $K = (K_x, K_y) \in \mathcal{K}_x \times \mathcal{K}_y$. $\forall T, \forall K_x, \exists! K_y \in \mathcal{K}_y$ s. t. $\text{XVer}(K, T) = 1$

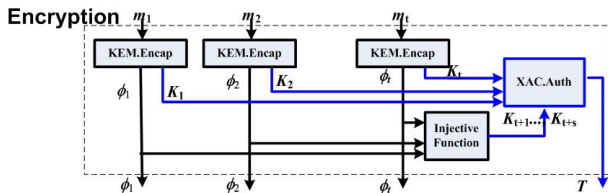
Constructing multi-bit PKE



PKE.Enc $(pk_{kem}, m_1 || \dots || m_\ell) : \text{Ciphertext } C = (\phi_1, \phi_2, \dots, \phi_\ell, T).$

$$\left. \begin{array}{l}
 m_1 = \left\{ \begin{array}{l} 1 \Rightarrow \text{KEM.Enc}(pk_{kem}) \\ 0 \Rightarrow \text{random pair} \end{array} \right\} \Rightarrow (\phi_1, K_1) \\
 \dots \quad \dots \quad \dots \quad \dots \quad \dots \\
 m_\ell = \left\{ \begin{array}{l} 1 \Rightarrow \text{KEM.Enc}(pk_{kem}) \\ 0 \Rightarrow \text{random pair} \end{array} \right\} \Rightarrow (\phi_\ell, K_\ell) \\
 F(\phi_1, \phi_2, \dots, \phi_\ell) \Rightarrow (K_{\ell+1}, \dots, K_{\ell+s})
 \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} T = \text{XAuth}(K_1, \dots, K_\ell, \dots, K_{\ell+s}) \\ C = (\phi_1, \phi_2, \dots, \phi_\ell, T) \end{array} \right.$$

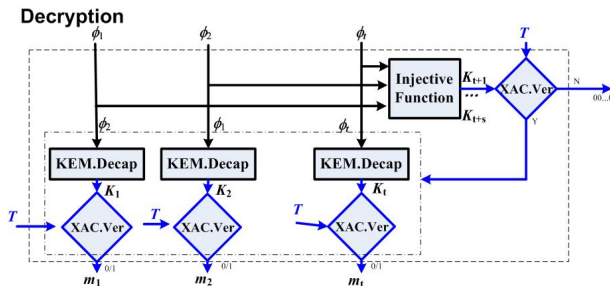
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PKE.Enc $(pk_{kem}, m_1 || \dots || m_\ell)$: Ciphertext $C = (\phi_1, \phi_2, \dots, \phi_\ell, T)$.

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Constructing multi-bit PKE



$\text{Dec}(sk, C = (\phi_1, \phi_2, \dots, \phi_\ell, T)) : F(\phi_1, \phi_2, \dots, \phi_\ell) \Rightarrow (K_{\ell+1}, \dots, K_{\ell+s})$

If $(\bigwedge_{j=1}^s \text{XVer}(K'_{\ell+j}, T) = 0)$ Return(00...0); Else

$$\left\{ \begin{array}{l} \phi_1 \Rightarrow \text{KEM.Decap}_{sk_{kem}}(\phi_1) \Rightarrow K_1 \Rightarrow m_1 := \text{XVer}(K_1, T) \\ \dots \dots \dots \dots \dots \dots \dots \dots \dots \dots \\ \phi_\ell \Rightarrow \text{KEM.Decap}_{sk_{kem}}(\phi_\ell) \Rightarrow K_\ell \Rightarrow m_\ell := \text{XVer}(K_\ell, T) \end{array} \right.$$

Construction of PKE

Correctness:

$m_i = 1$: $(K_i, \phi) \leftarrow \text{KEM.Encap}$, and $T = \text{XAuth}(\dots, K_i, \dots)$.

$K_i = \text{KEM.Decap}(\phi)$ and $m_i = \text{XVer}(K_i, T) = 1$.

$m_i = 0$: $\text{KEM.Decap}(\phi') = \perp$ or a random key K^R , hence $m_i = \text{XVer}(K^R, T) = 0$.

Requirements for Tailored KEM:

1. Tailored decapsulation: used for correctness. $\forall (pk, sk) \leftarrow \text{KEM.Kg}(1^k)$,

$$\text{KEM.Decap}(\phi') = \begin{cases} \perp & \phi' \leftarrow C \\ K^R & \phi' \leftarrow C \end{cases}$$

2. ESE domains: \mathcal{K}, C are Efficiently Samplable and Explainable domains.

3. Tailored constrained CCA2 security: $(K, \phi) \approx_c (K^R, \phi^R)$ even if adversary \mathcal{A} is given a constrained decryption oracle $\widetilde{\text{Decap}}(\text{XVer}(\cdot, T), \phi)$

$$\widetilde{\text{Decap}}(\text{XVer}(\cdot, T), \phi) = \text{XVer}(\text{Decap}(sk, \phi), T).$$

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Requirements for Tailored KEM:

1. Tailored decapsulation: used for correctness. $\forall (pk, sk) \leftarrow \text{KEM.Kg}(1^\kappa)$,

$$\text{KEM.Decap}(\phi') = \begin{cases} \perp & \phi' \leftarrow \mathcal{C} \\ K^R & \phi' \leftarrow \mathcal{C} \end{cases}$$

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3. Tailored constrained CCA2 security: $(K, \phi) \approx_c (K^R, \phi^R)$ even if adversary \mathcal{A} is given

a constrained decryption oracle $\widetilde{\text{Decap}}(\text{XVer}(\cdot, T), \phi)$

$$\widetilde{\text{Decap}}(\text{XVer}(\cdot, T), \phi) = \text{XVer}(\text{Decap}(sk, \phi), T).$$

Construction of PKE

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$m_i = 1$: $(K_i, \phi) \leftarrow \text{KEM.Encap}$, and $T = \text{XAuth}(\dots, K_i, \dots)$.

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Requirements for Tailored KEM:

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$$\widetilde{\text{Decap}}(\text{XVer}(\cdot, T), \phi) = \text{XVer}(\text{Decap}(sk, \phi), T).$$

Construction of the Simulator

Simulator $\mathcal{S} = (\mathcal{S}_1, \mathcal{S}_2, \mathcal{S}_3)$

- ▶ \mathcal{S}_1 for \mathcal{A}_1 : Generate public key with $(pk, sk) \leftarrow \text{KeyGen}(1^\kappa)$.
Use sk to answer decryption queries.
- ▶ \mathcal{S}_2 for \mathcal{A}_2 : Generate **equivocable ciphertexts** $C^{(i)} = \text{Enc}(pk, \overbrace{1 \cdots 1}^\ell), i \in [n]$.
- ▶ \mathcal{S}_3 for \mathcal{A}_3 : Open equivocable ciphertexts $C^{(i)}$ according to the real message.
 - If $m_j^{(i)} = 1$ open honestly;
 - If $m_j^{(i)} = 0$, $\hat{K}_j^{(i)} \leftarrow \text{ReSamp}(K_{\neq j}^{(i)}, T^{(i)})$ **Explain** $(\phi_j^{(i)}, \hat{K}_j^{(i)})$ as randomly chosen.

$out_{\mathcal{S}} := out_{\mathcal{A}}$.

Security Proof: Hybrid Argument

Tailored constrained CCA2 security of KEM: $(K, \phi) \approx_c (K^R, \phi^R)$ even if adversary \mathcal{A} is given a constrained decryption oracle $\widetilde{\text{Decap}}(\text{XVer}(\cdot, T), \phi)$ and

$$\widetilde{\text{Decap}}(\text{XVer}(\cdot, T), \phi) = \text{XVer}(K, T).$$

Suppose that the first challenger ciphertext is $C = (\phi_1, \phi_2, \phi_3, T)$.

Game 0:	$\phi_1[m_1]$	$\phi_2[m_2]$	$\phi_3[m_3]$	$T = \text{XAuth}(K_1, K_2, K_3, K_4)$
Game 1:	$\phi_1[1]$	$\phi_2[m_2]$	$\phi_3[m_3]$	$T = \text{XAuth}(K_1, K_2, K_3, K_4)$
Game 2:	$\phi_1[1]$	$\phi_2[1]$	$\phi_3[m_3]$	$T = \text{XAuth}(K_1, K_2, K_3, K_4)$
Game 3:	$\phi_1[1]$	$\phi_2[1]$	$\phi_3[1]$	$T = \text{XAuth}(K_1, K_2, K_3, K_4)$

The green parts will use **ReSample** and **Explain** to open to 0.

With Hybrid Argument, we have

$$\text{Game 0} \approx_c \text{Game 1} \approx_c \text{Game 2} \approx_c \text{Game 3}.$$

Security Proof: Hybrid Argument

Tailored constrained CCA2 security of KEM: $(K, \phi) \approx_c (K^R, \phi^R)$ even if adversary \mathcal{A} is given a constrained decryption oracle $\widetilde{\text{Decap}}(\text{XVer}(\cdot, T), \phi)$ and

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Game 0:	$\phi_1[m_1]$	$\phi_2[m_2]$	$\phi_3[m_3]$	$T = \text{XAuth}(K_1, K_2, K_3, K_4)$
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Game 2:	$\phi_1[1]$	$\phi_2[1]$	$\phi_3[m_3]$	$T = \text{XAuth}(K_1, K_2, K_3, K_4)$
Game 3:	$\phi_1[1]$	$\phi_2[1]$	$\phi_3[1]$	$T = \text{XAuth}(K_1, K_2, K_3, K_4)$

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$$\text{Game 0} \approx_c \text{Game 1} \approx_c \text{Game 2} \approx_c \text{Game 3}.$$

Instantiations of Tailored KEM

KEM from **Strongly Universal₂ Hash Proof Systems**:

- **Tailored Constrained CCA2 security**: $(K, \phi) \approx_c (K^R, \phi^R)$
 - Constrained CCA2 security [HK06]: $(K, \phi) \approx_c (K^R, \phi)$;
 - Subset Membership Problem: $(K^R, \phi) \approx_c (K^R, \phi^R)$
- If HPS has a sparse valid ciphertext set, then a randomly chosen ciphertext will decapsulate to a random key.
- \mathcal{C} and \mathcal{K} can be ESE with some HPS.

Instantiations of Tailored KEM

KEM from the n -Linear assumption: Hofheinz-Kiltz KEM [HK06].

- Tailored Constrained CCA2 security: $(K, \phi) \approx_c (K^R, \phi^R)$.
- Tailored Decapsulation: A sparse valid ciphertext set, and a randomly chosen ciphertext will decapsulate to a random key.
- \mathcal{C} and \mathcal{K} can be ESE with proper groups.

Instantiations of Tailored KEM

KEM from Indistinguishability Obfuscation, a PRG and a PRF [SW2014].

- **Tailored Constrained CCA2 security:** $(K, \phi) \approx_c (K^R, \phi^R)$.
 - CCA2 security [SW14]: $(K, \phi) \approx_c (K^R, \phi)$;
 - $\text{PRG}(r) = \phi \approx_c \phi^R \in \{0, 1\}^{2\kappa} \Rightarrow (K^R, \phi) \approx_c (K^R, \phi^R)$.
- **Tailored Decapsulation:** A randomly chosen ciphertext will decapsulate to a random key, if the PRF is an extracting one.
- $\mathcal{C} = \{0, 1\}^{2\kappa}$ and $\mathcal{K} = \{0, 1\}^\kappa$ are ESE.

Conclusion

- **Tailored KEM:** we characterise the properties needed of a KEM for our PKE construction to be SIM-SO-CCA secure.
- **Three constructions of Tailored KEM:** HPS, the n -Linear assumption, and iO .
- We have
 - PKE with SIM-SO-CCA security from HPS and strengthened XACs.
 - PKE with SIM-SO-CCA security from the n -Linear assumption in a way that differs from our HPS-based construction.
 - PKE with SIM-SO-CCA security assuming only the existence of iO and one-way functions.

Thank You