

Compact Ring LWE Cryptoprocessor

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Outline

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- Introduction to the ring-LWE problem and an encryption scheme
- Our optimization techniques
- Architecture of the ring-LWE Cryptoprocessor
- Results
- Conclusions

Modern Public Key Schemes

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- Modern public-key schemes
 - RSA $\leftarrow$ difficulty of factoring problem
 - DSA/ECDSA $\leftarrow$ difficulty of Discrete Logarithm problem
- Intractable using classical computers
- Threat
 - Quantum computers destroy RSA, DSA and ECDSA

The Ring-LWE Problem

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- Defined over a ring $R_q = \mathbb{Z}_q[x]/\langle f \rangle$
 - A polynomial $a \in R_q$ is chosen uniformly
 - The secret $s \in R_q$ is a fixed polynomial
 - An error polynomial e is sampled from $\mathcal{X}_\sigma$
 - Compute $t = as + e \in R_q$
 - The ring-LWE distribution on $R_q \times R_q$ consists of tuples (a, t)
- Search ring-LWE problem: given many $(\mathbf{a}_i, t_i)$ samples, find secret s

Ring-LWE : Encryption Scheme

The Encryption Scheme : Key Generation

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- Key Generation :
 - Choose two polynomials $r_1, r_2 \leftarrow \chi_\sigma$
 - Compute $p = r_1 - a \cdot r_2$
 - The secret key is r_2
 - The public key is (a, p)

The Encryption Scheme : Encryption/Decryption

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- Encryption

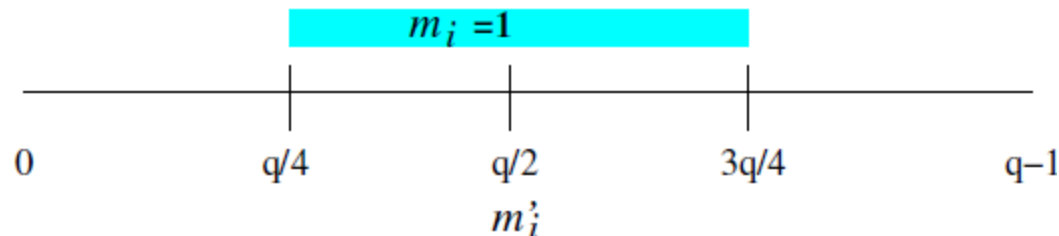
- Input message $m \rightarrow$ encoded to polynomial $\bar{m} : \begin{matrix} 0 \rightarrow 0 \\ 1 \rightarrow (q-1)/2 \end{matrix}$
- Choose $e_1, e_2, e_3 \in R_q$ with coefficients from $\mathcal{X}_\sigma$
- Ciphertext

$$\begin{aligned} c_1 &= a \cdot e_1 + e_2 \in R_q \\ c_2 &= p \cdot e_1 + e_3 + \bar{m} \in R_q \end{aligned}$$

- Decryption

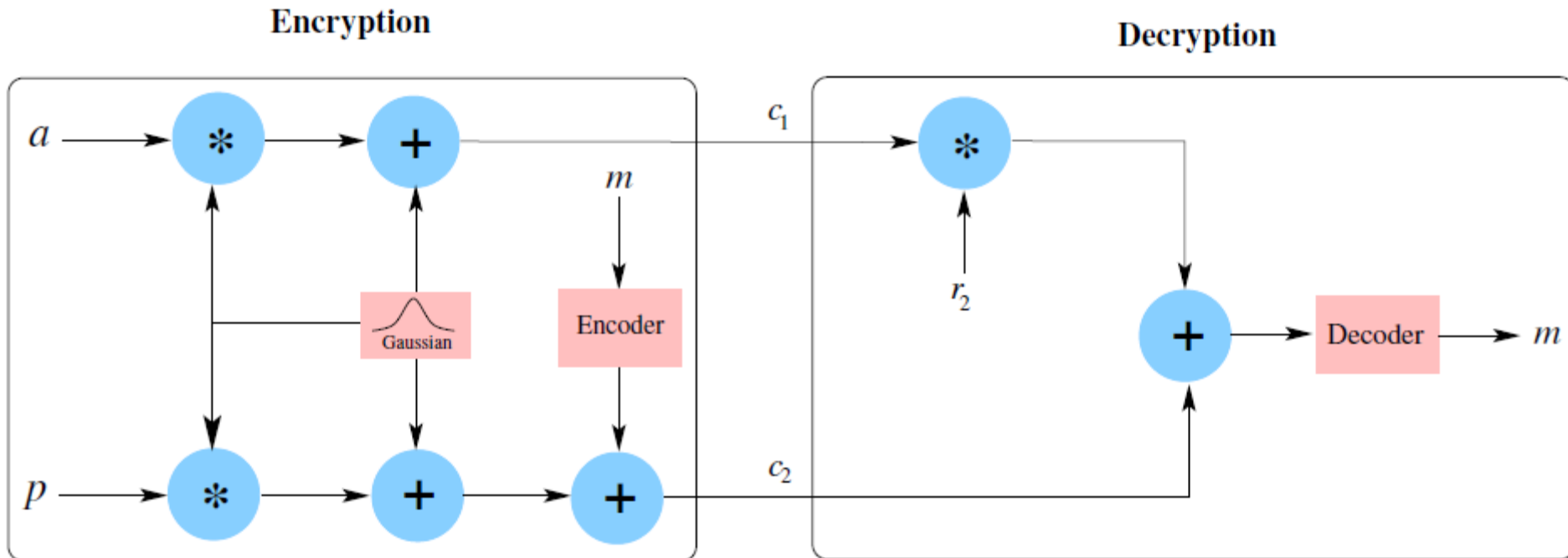
$$m' = c_1 \cdot r_2 + c_2 \in R_q$$

- Decoding compares the decrypted message coefficients



LWE Cryptosystem : Block Level Diagram

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Polynomials have 256 or 512 coefficients and each coefficient is 13 or 14 bit

Standard deviation is small (less than 5)

Roadmap to Implementation

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- Ring-LWE based encryption
 - Primitives
 - Discrete Gaussian Sampler
 - Polynomial Multiplier
 - Full cryptosystem
- Discrete Gaussian sampler architecture ---- (Presented in SAC 2013)
 - Knuth-Yao random walk

High Precision Discrete Gaussian Sampling on FPGAs, SAC 2013

Polynomial Multiplication

Polynomial Multiplication Algorithms

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- Schoolbook multiplication : complexity n^2
- Karatsuba multiplication : complexity $n^{1.585}$
- FFT based multiplication : **complexity** $(n \log n)$
- Number Theoretic Transform (**NTT**) is a generalization of FFT
 - $e^{-\frac{2\pi i}{n}} \leftrightarrow n$ -th primitive root of unity in $\mathbb{Z}_q$
 - Involves integer arithmetic modulo q

Polynomial Multiplication : NTT

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- Polynomial multiplication : $c(x) = a(x)b(x)$
 - $2n$ point NTT : $c = NTT_{\omega_{2n}}^{-1} (NTT_{\omega_{2n}}(a) * NTT_{\omega_{2n}}(b))$

Polynomial Multiplication : NTT

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- Polynomial multiplication : $c(x) = a(x)b(x)$
 - $2n$ point NTT : $c = NTT_{\omega_{2n}}^{-1} (NTT_{\omega_{2n}}(a) * NTT_{\omega_{2n}}(b))$
- Special optimization : $c(x) = a(x)b(x) \bmod f(x)$ where $f = x^n + 1$
 - **Negative-wrapped convolution** : using n point NTT
 - n is a power of two and prime $q \equiv 1 \pmod{2n}$
 - Scaling $a'(x) = a(\omega_{2n} \cdot x)$ and $b'(x) = b(\omega_{2n} \cdot x)$
 - $c' = NTT_{\omega_n}^{-1} (NTT_{\omega_n}(a') * NTT_{\omega_n}(b'))$
 - Final scaling is required to compute $c(x)$ from $c'(x) = c(\omega_{2n} \cdot x)$

The basic NTT Algorithm

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Iterative NTT

Input: Polynomial $a(x) \in \mathbb{Z}_q[x]$ of degree $n - 1$ and n -th primitive root $\omega_n \in \mathbb{Z}_q$ of unity

Output: Polynomial $A(x) \in \mathbb{Z}_q[x] = \text{NTT}(a)$

```
1 begin
2    $A \leftarrow \text{BitReverse}(a)$ ;
3   for  $m = 2$  to  $n$  by  $m = 2m$  do
4      $\omega_m \leftarrow \omega_n^{n/m}$  ;
5      $\omega \leftarrow 1$  ;
6     for  $j = 0$  to  $m/2 - 1$  do
7       for  $k = 0$  to  $n - 1$  by  $m$  do
8          $t \leftarrow \omega \cdot A[k + j + m/2]$  ;
9          $u \leftarrow A[k + j]$  ;
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The NTT Algorithm

15

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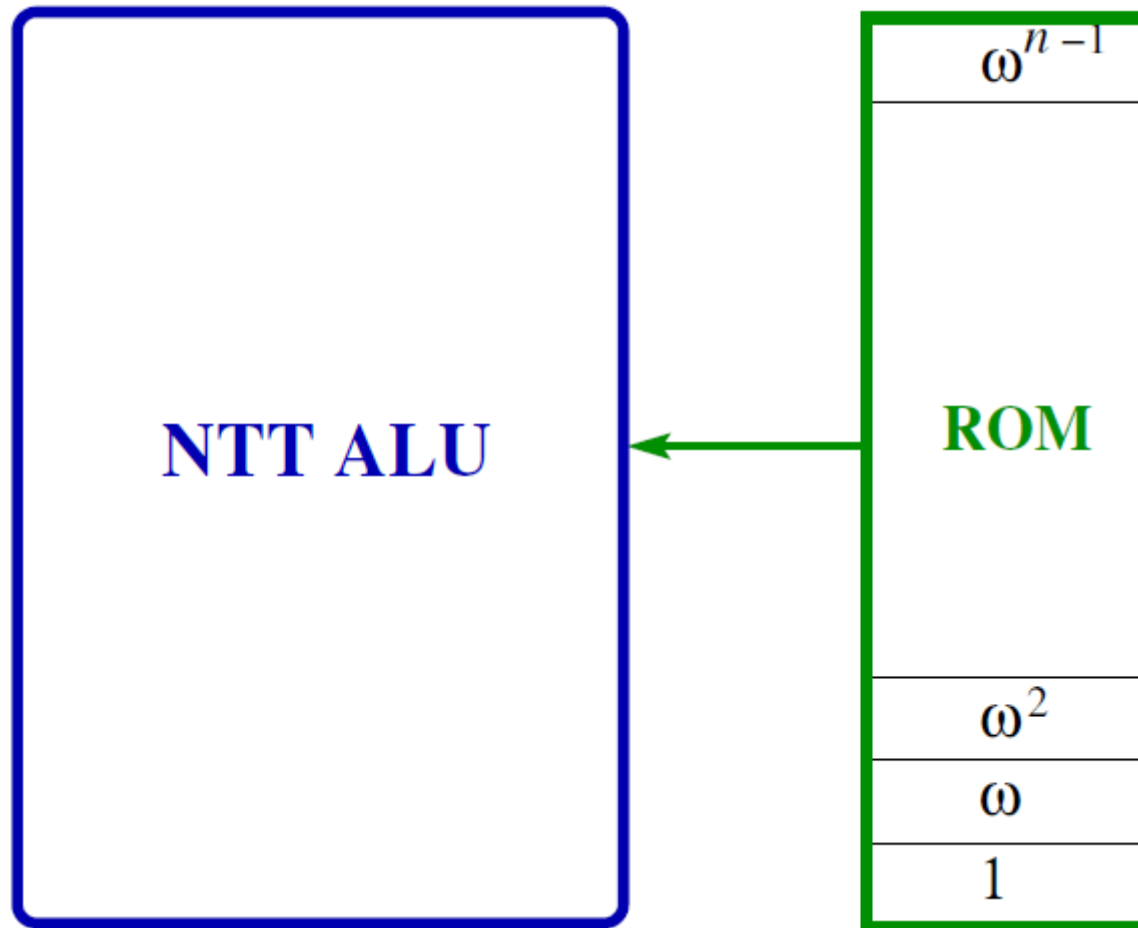
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Fixed Computation Cost

NTT Core

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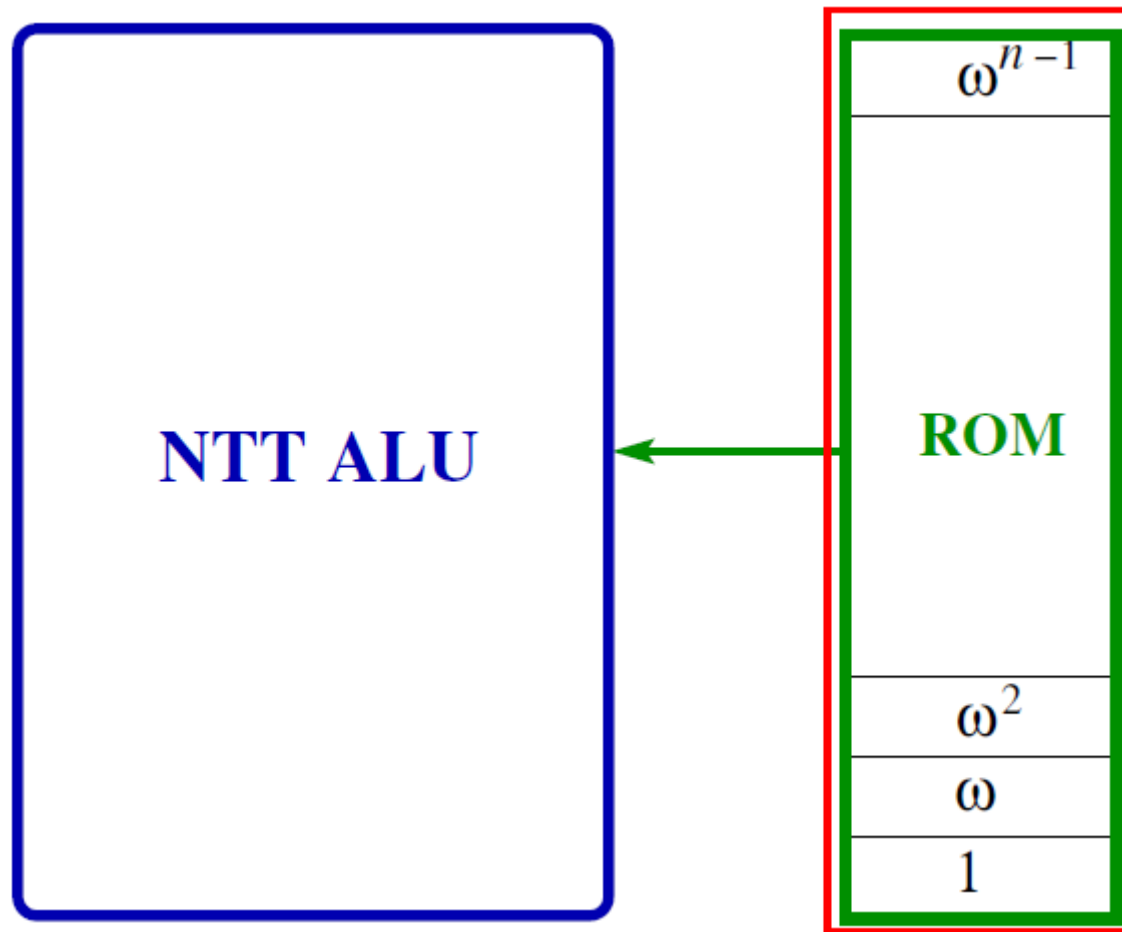


Our Target : Compact Architecture

- **Minimize Memory Requirement**

Optimization in Area

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This increases computation cost !

Computational Optimizations

Optimization in Computation: Step 1

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Iterative NTT

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2    $A \leftarrow \text{BitReverse}(a)$ ;
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Pöppelmann et al. *Latincrypt* 2012

Aysu et al. *HOST* 2013

Iterative NTT

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```

Our

Reduction in fixed computation overhead

Optimization in Computation: Step 2

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- Forward negative wrapped NTT requires **pre-scaling** of the input polynomials

$$\bar{a} = (a_0, \psi a_1, \dots, \psi^{n-1} a_{n-1}) \quad \bar{b} = (b_0, \psi b_1, \dots, \psi^{n-1} b_{n-1}) \quad \text{where } \psi = \omega_{2n}$$

- Our implementation is **free** from this pre-scaling

Reduction in the pre-scaling overhead

Optimization in Computation: Step 2

22

Iterative NTT

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Optimization in Computation: Step 2

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11         $A[k + j + m/2] \leftarrow u - t$  ;
12      end
13       $\omega \leftarrow \omega \cdot \omega_m$  ;
14    end
15  end
16 end
```

Reduction in pre-scaling overhead

Optimization Step 3 : Memory Access Scheme

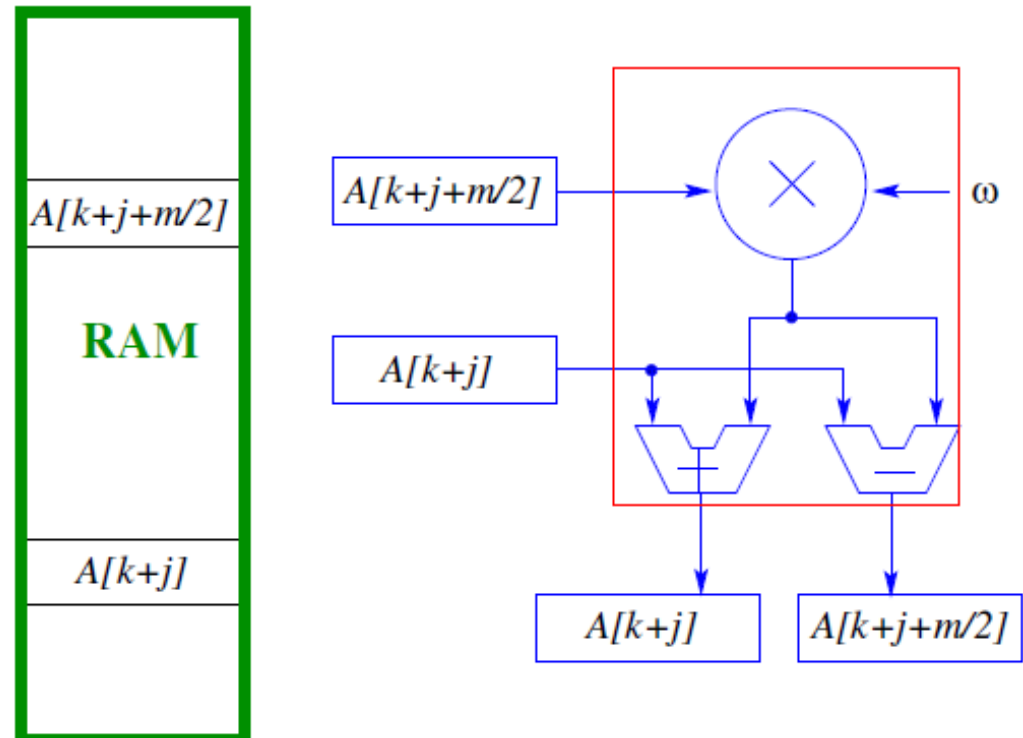
Memory Access : Motivation 1

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Iterative NTT

```
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5      $\omega \leftarrow \omega_{2m}$ 
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12      end
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14    end
15  end
16 end
```

- Two coefficients are accessed from RAM
- One arithmetic operation is performed
- Two new coefficients are written in RAM

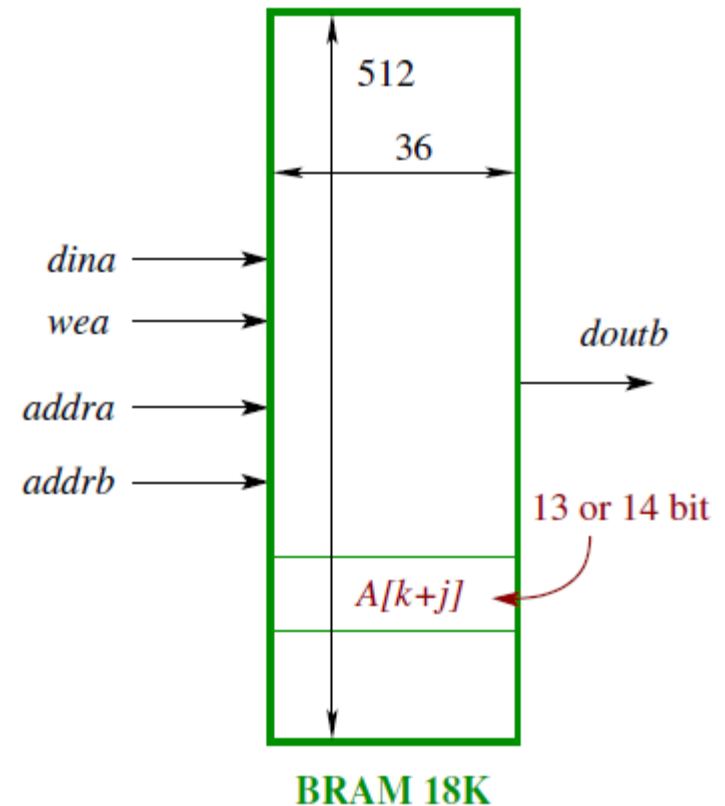


Arithmetic blocks remains idle

Memory Access : Motivation 2

26

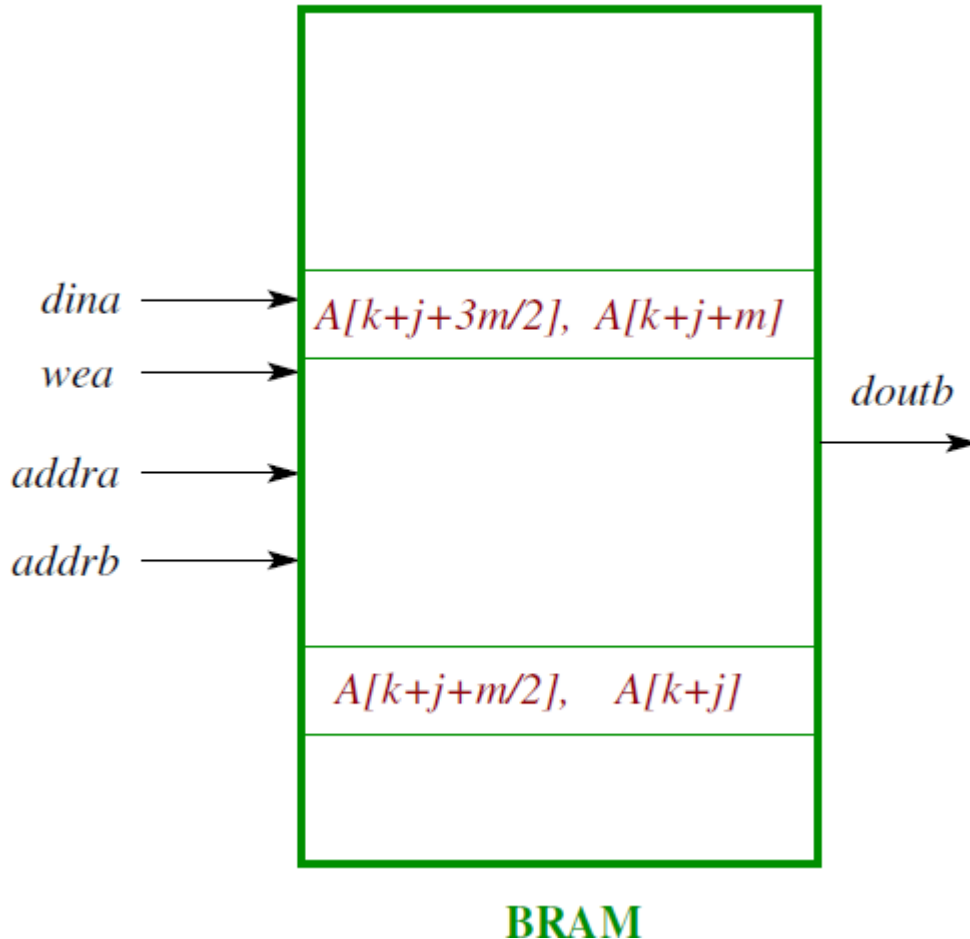
- Xilinx BRAM Slice of 18Kb
 - Width of words : 36 bits
 - Depth of RAM : 512
- Polynomials in LWE
 - LWE256 : $q = 7681$ (13 bit)
 - LWE512 : $q = 12289$ (14 bit)
- Two coefficients can be stored in one word



Our memory efficient NTT ...

Optimization : Memory Access

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In this scheme

- Two coefficients are in one word
- Two pairs are processed together

Optimization : Memory Access

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Iterative NTT : Memory Efficient Version

Input: Polynomial $a(x) \in \mathbb{Z}_q[x]$ of degree $n - 1$ and n -th primitive root $\omega_n \in \mathbb{Z}_q$ of unity

Output: Polynomial $A(x) \in \mathbb{Z}_q[x] = \text{NTT}(a)$

```
1 begin
2    $A \leftarrow \text{BitReverse}(a)$ ; /* Coefficients are stored in the memory as proper pairs */
3   for  $m = 2$  to  $n/2$  by  $m = 2m$  do
4      $\omega_m \leftarrow m\text{-th primitiveroot}(1)$  ;
5      $\omega \leftarrow \text{squareroot}(\omega_m)$  or 1 /* Depending on forward or backward NTT */ ;
6     for  $j = 0$  to  $m/2 - 1$  do
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8          $(t_1, u_1) \leftarrow (A[k + j + m/2], A[k + j])$  /* From MEMORY[k+j] */ ;
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10         $t_1 \leftarrow \omega \cdot t_1$  ;
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13         $(A[k + m + j + m/2], A[k + m + j]) \leftarrow (u_2 - t_2, u_2 + t_2)$  ;
14         $\text{MEMORY}[k + j] \leftarrow (A[k + j + m], A[k + j])$  ;
15         $\text{MEMORY}[k + j + m/2] \leftarrow (A[k + j + 3m/2], A[k + j + m/2])$  ;
16      end
17       $\omega \leftarrow \omega \cdot \omega_n$  ;
18    end
19  end
```

No idle cycles

BRAM efficiency

Optimization : Ring-LWE Encryption Scheme

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Pöppelmann, SAC 2013

Encryption

$$\begin{aligned} \tilde{e}_1 &\leftarrow NTT(e_1) \\ c_1 &\leftarrow INTT(\tilde{a} * \tilde{e}_1) + \tilde{e}_2 \\ c_2 &\leftarrow INTT(\tilde{p} * \tilde{e}_1) + e_3 + \bar{m} \end{aligned}$$

Decryption

$$\begin{aligned} \tilde{c}_1 &\leftarrow NTT(c_1) \\ m' &\leftarrow INTT(\tilde{c}_1 * \tilde{r}_2) + c_2 \end{aligned}$$

$$\#NTT = 5$$

Our Scheme

Encryption

$$\begin{aligned} \tilde{e}_1 &\leftarrow NTT(e_1); \quad \tilde{e}_2 \leftarrow NTT(e_2) \\ (\tilde{c}_1, \tilde{c}_2) &\leftarrow (\tilde{a} * \tilde{e}_1 + \tilde{e}_2; \tilde{p} * \tilde{e}_1 + NTT(e_3 + \bar{m})) \end{aligned}$$

Decryption

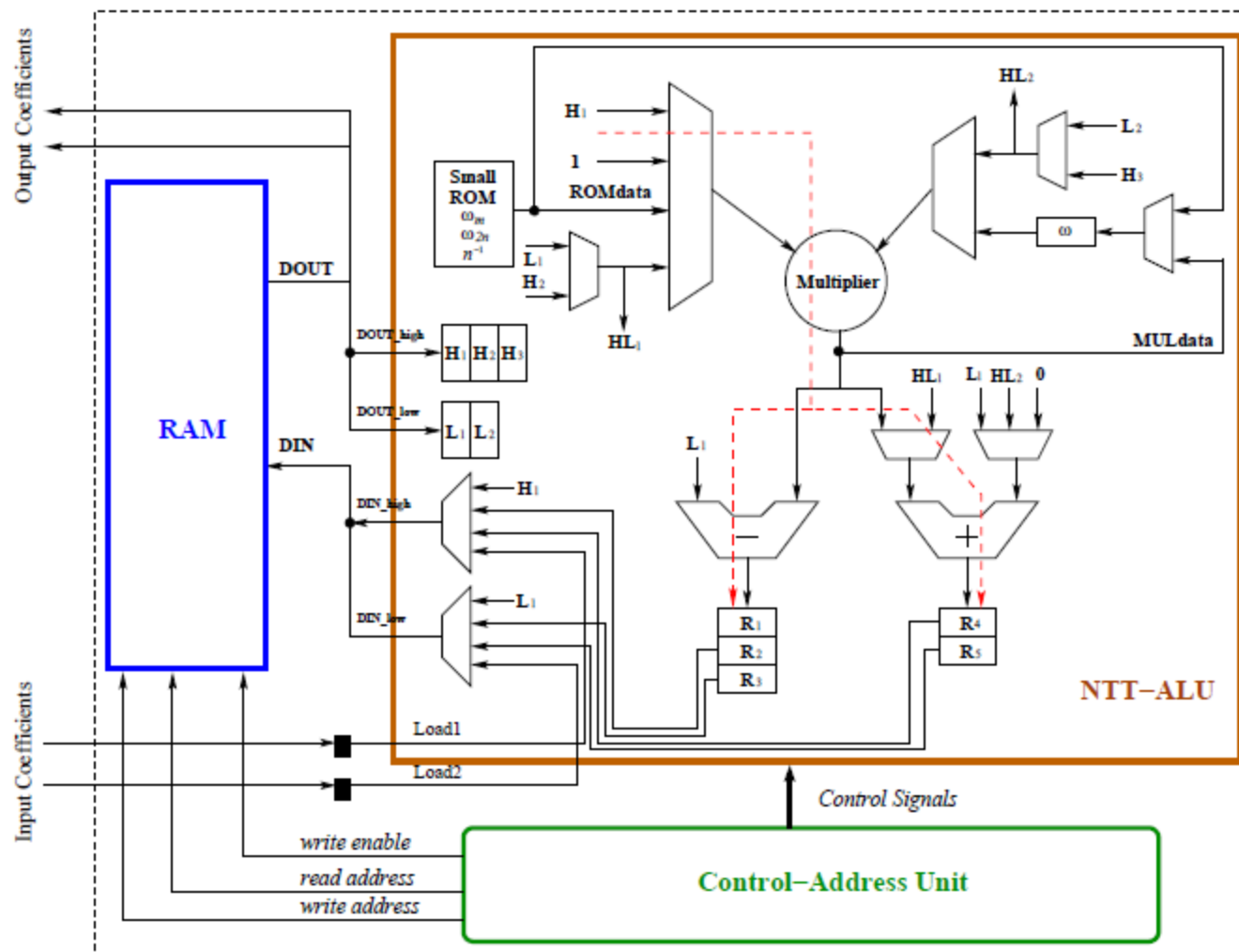
$$m' = INTT(\tilde{c}_1 * \tilde{r}_2 + \tilde{c}_2)$$

$$\#NTT = 4$$

The NTT Core

The NTT Core

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Memory Efficient NTT

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Iterative NTT : Memory Efficient Version

Input: Polynomial $a(x) \in \mathbb{Z}_q[x]$ of degree $n - 1$ and n -th primitive root $\omega_n \in \mathbb{Z}_q$ of unity

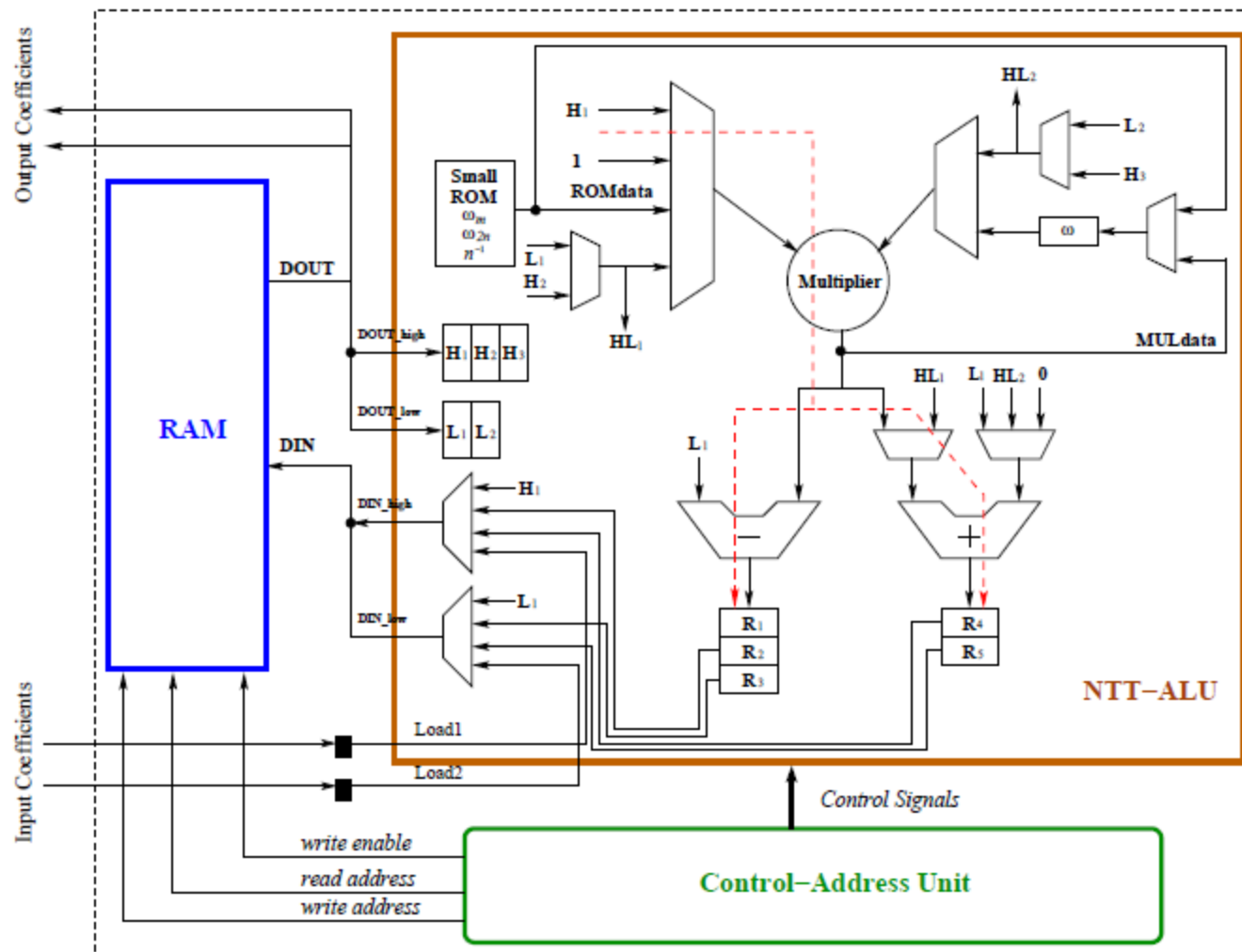
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The NTT Core

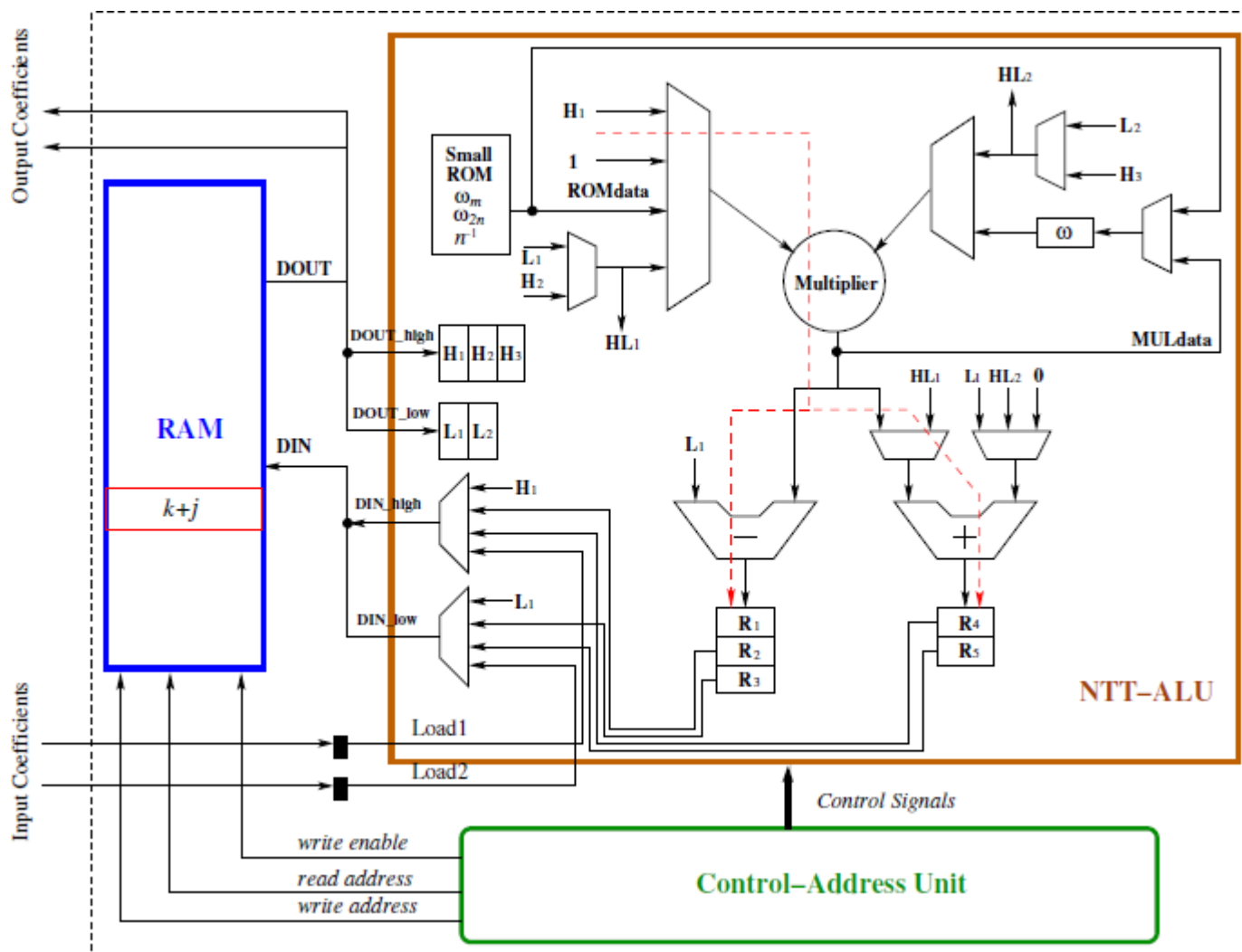
34

$(H_1, L_1) \leftarrow (A[k + j + m/2], A[k + j])$ /* From MEMORY[k+j] */ ;
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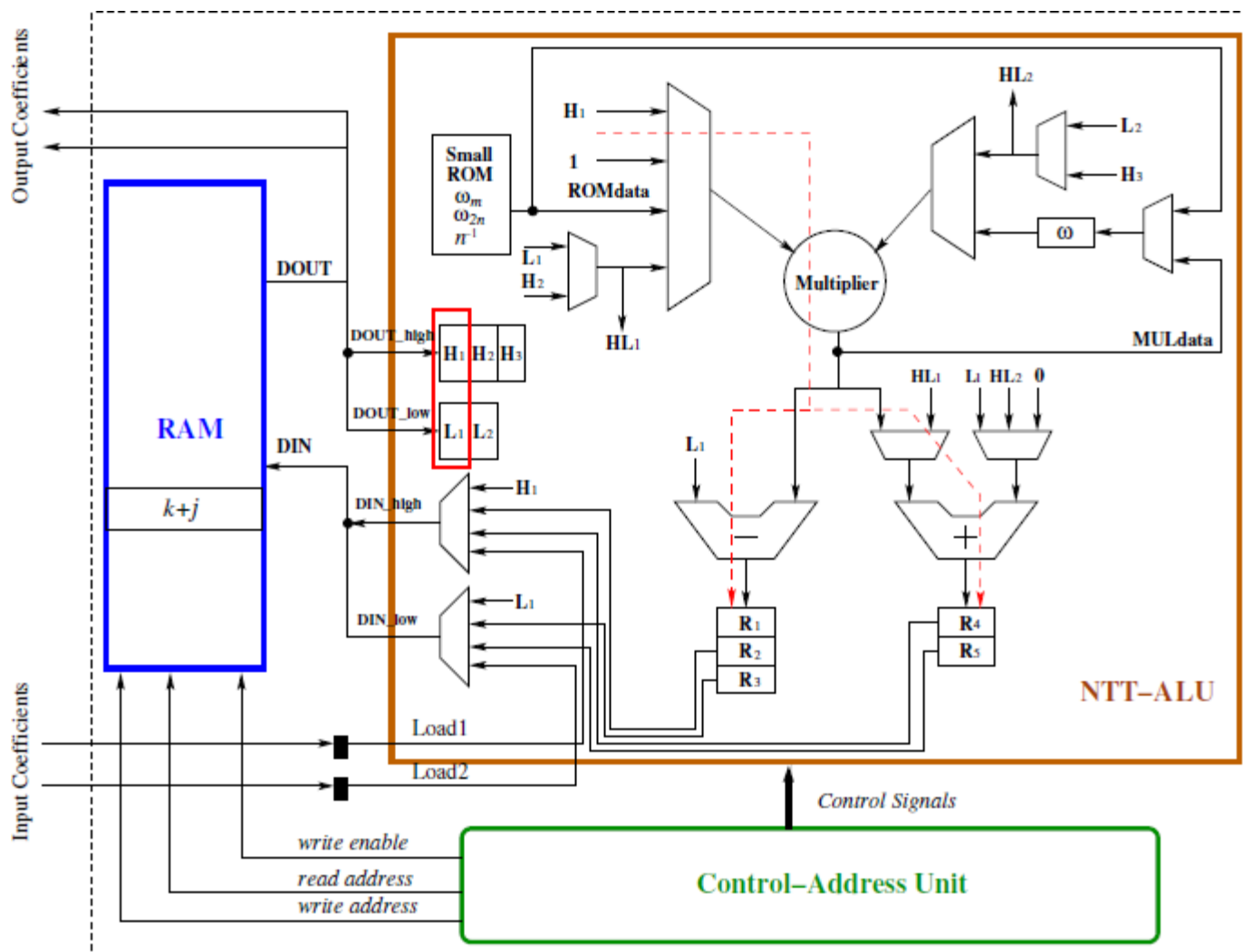
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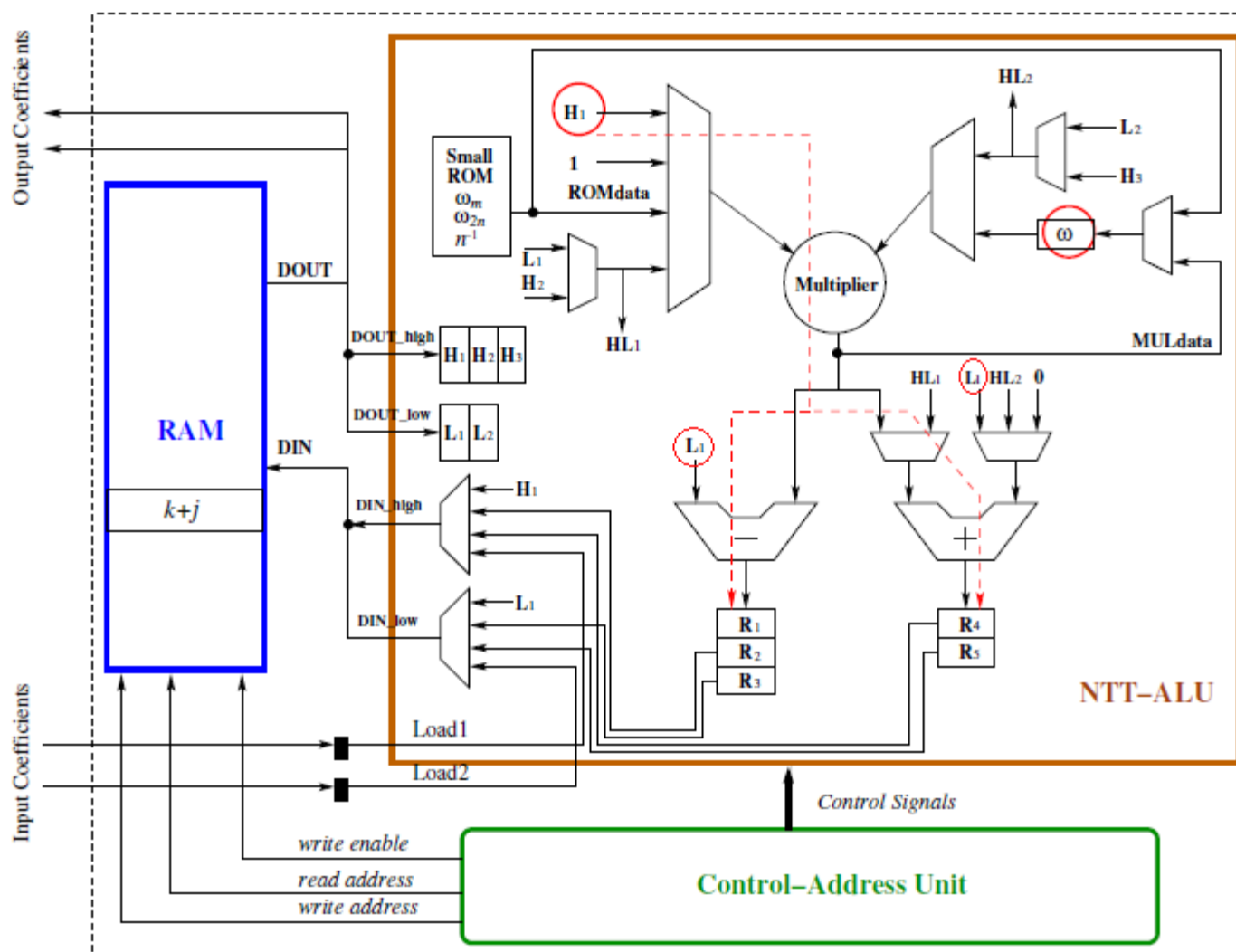
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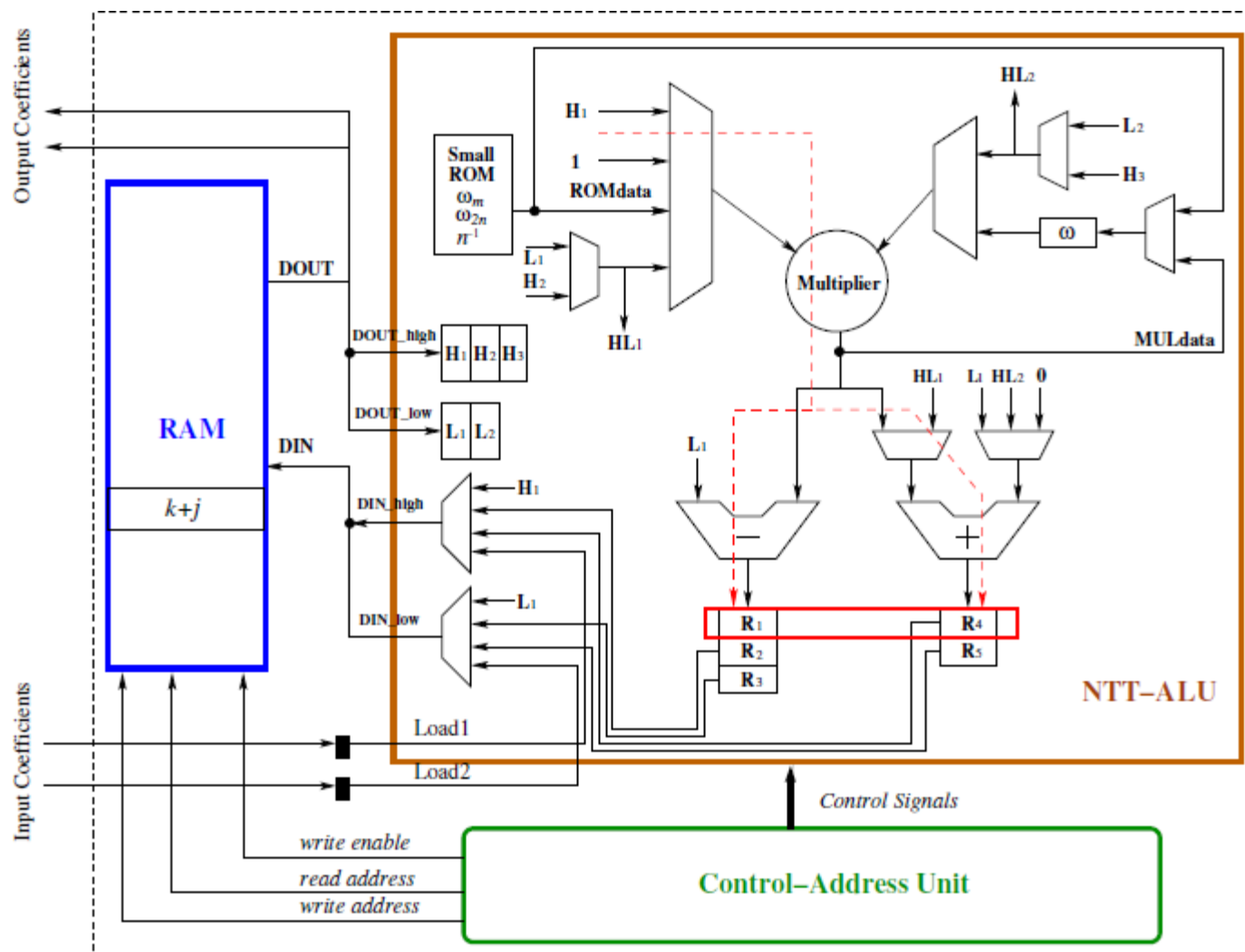
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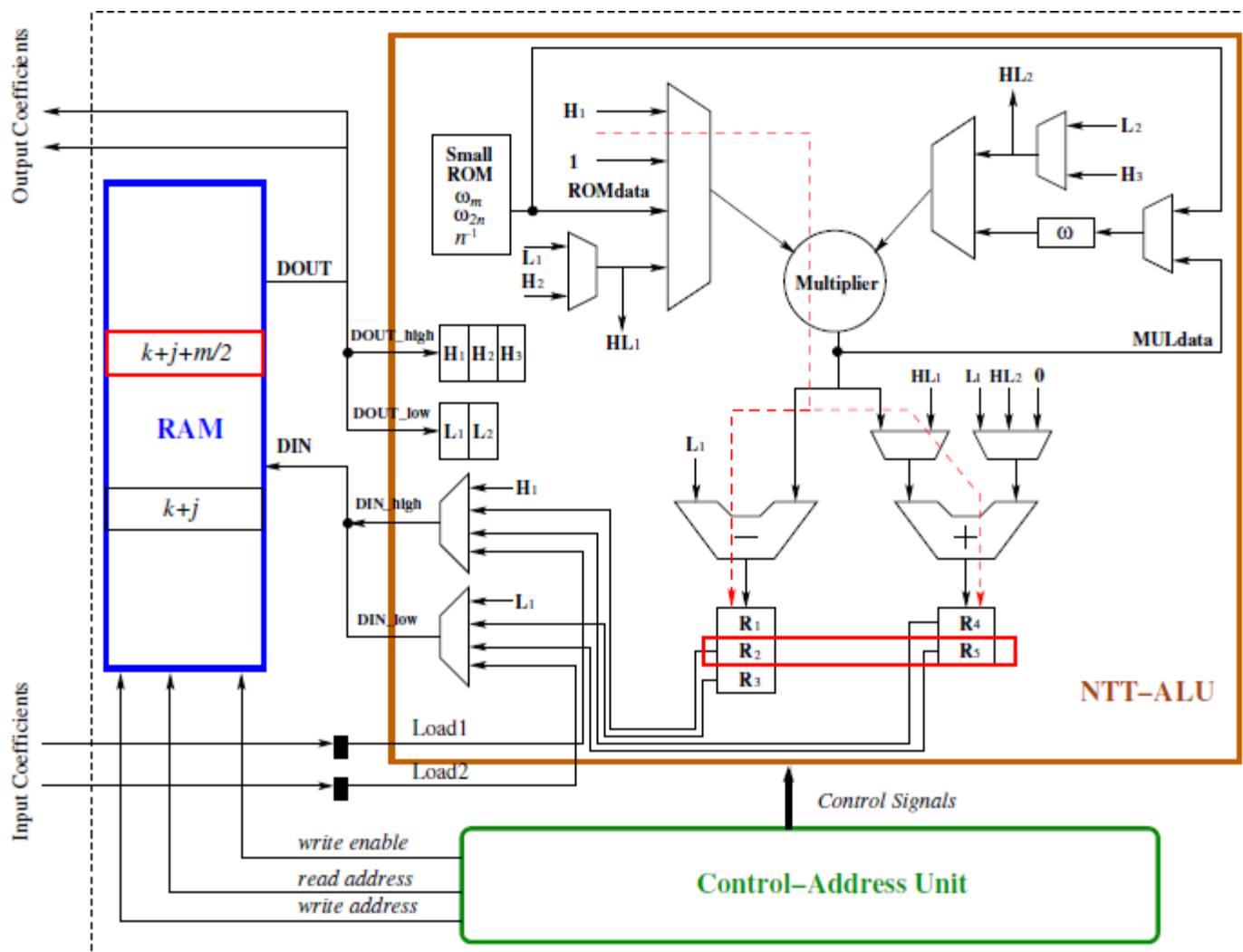
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$$(R_1, R_4) \leftarrow (L_1 - \omega \cdot H_1, L_1 + \omega \cdot H_1);$$

$$\text{MEMORY}[k + j] \leftarrow (R_4, R_5);$$

$$\text{MEMORY}[k + j + m/2] \leftarrow (R_2, R_3);$$


The NTT Core

$$(H_1, L_1) \leftarrow (A[k+j+m/2], A[k+j]) \text{ /* From MEMORY}[k+j] \text{ */};$$

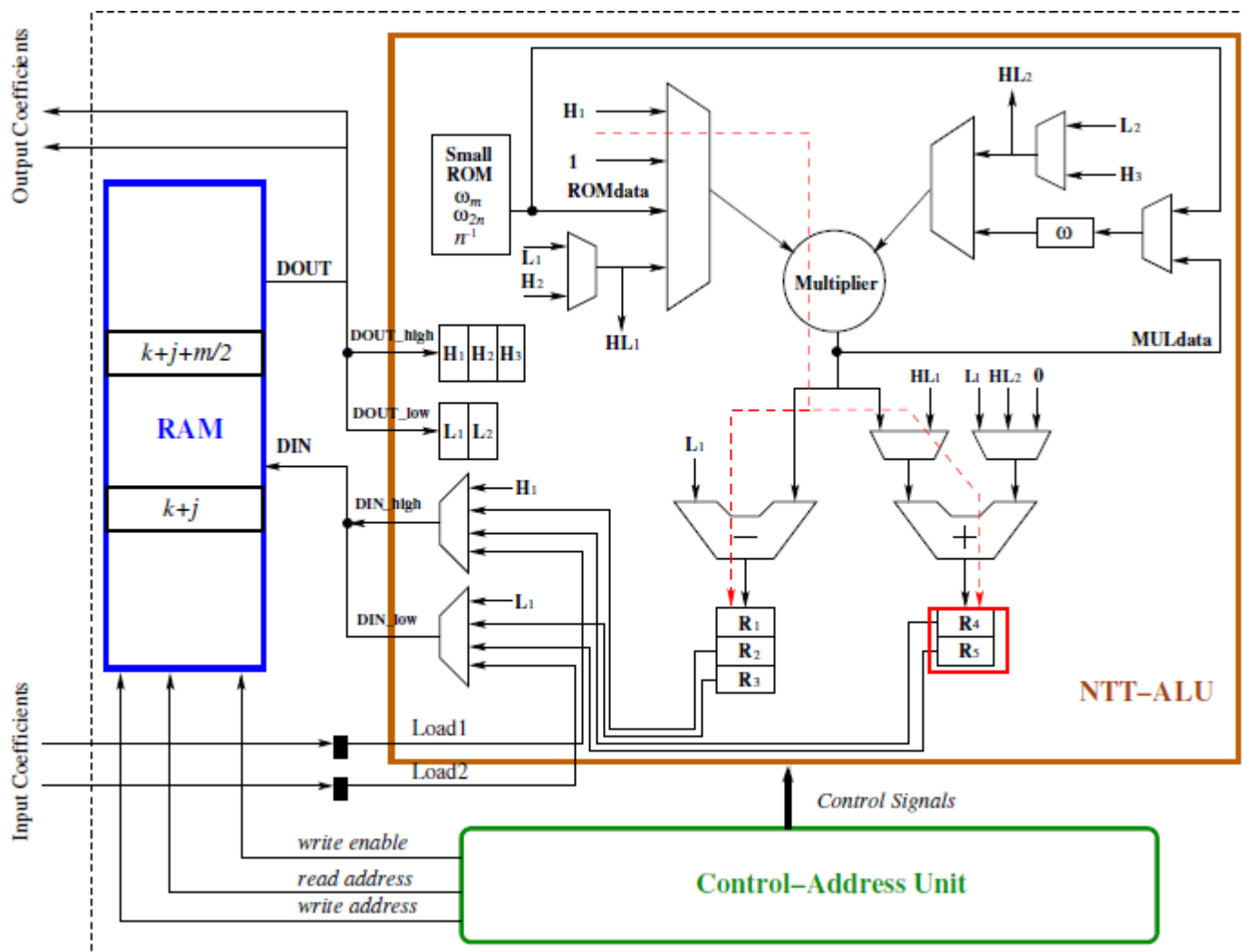
$$(R_1, R_4) \leftarrow (L_1 - \omega \cdot H_1, L_1 + \omega \cdot H_1);$$

$$(R_2, R_5) \leftarrow (R_1, R_4);$$

$$(H_1, L_1) \leftarrow (A[k+j+3m/2], A[k+j+m]) \text{ /* From MEMORY}[k+j+m/2] \text{ */};$$

$$(R_1, R_4) \leftarrow (L_1 - \omega \cdot H_1, L_1 + \omega \cdot H_1);$$

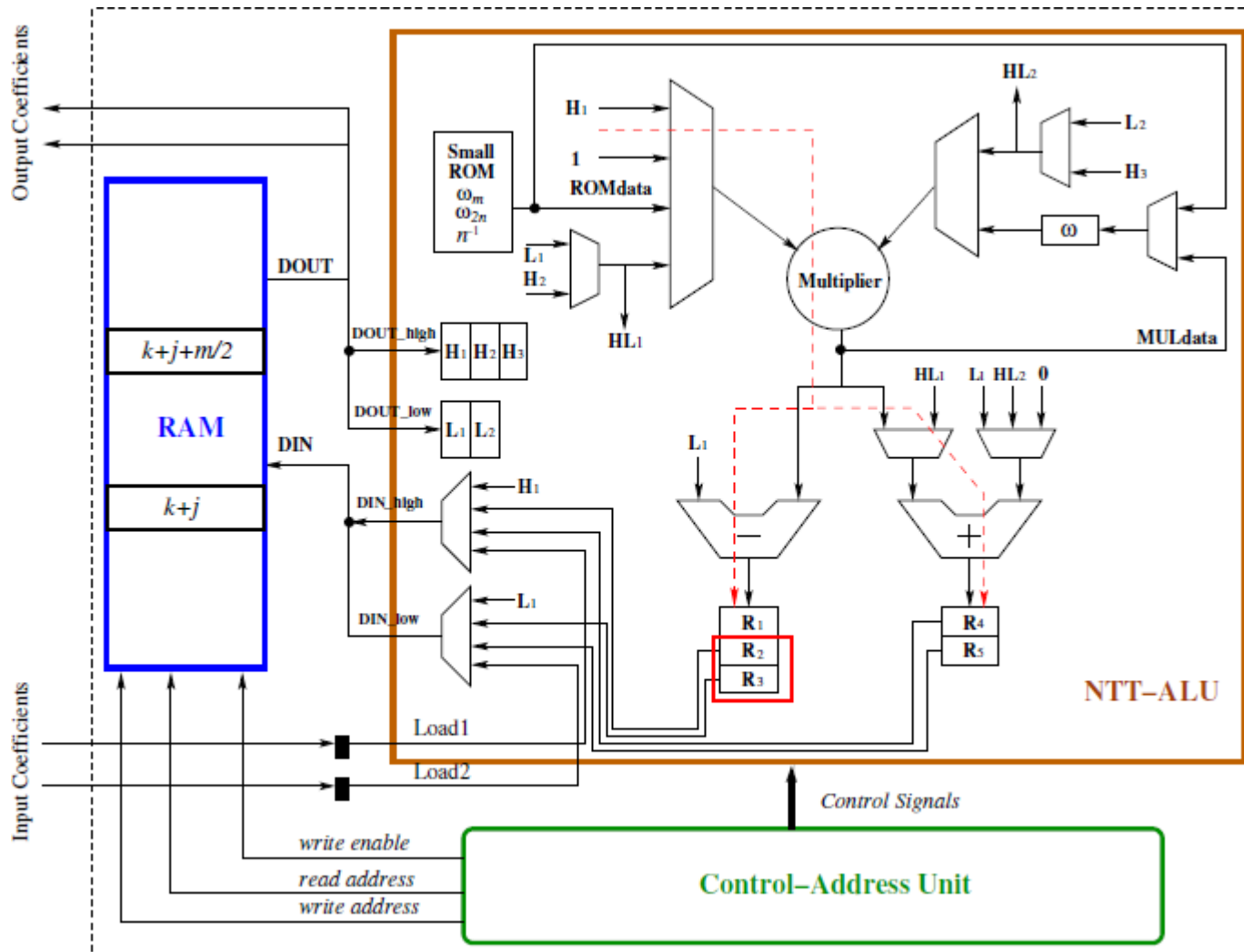
$$\text{MEMORY}[k+j] \leftarrow (R_4, R_5);$$

$$\text{MEMORY}[k+j+m/2] \leftarrow (R_2, R_3);$$


$(H_1, L_1) \leftarrow (A[k + j + m/2], A[k + j])$ /* From MEMORY[k+j] */ ;
 $(R_1, R_4) \leftarrow (L_1 - \omega \cdot H_1, L_1 + \omega \cdot H_1)$;

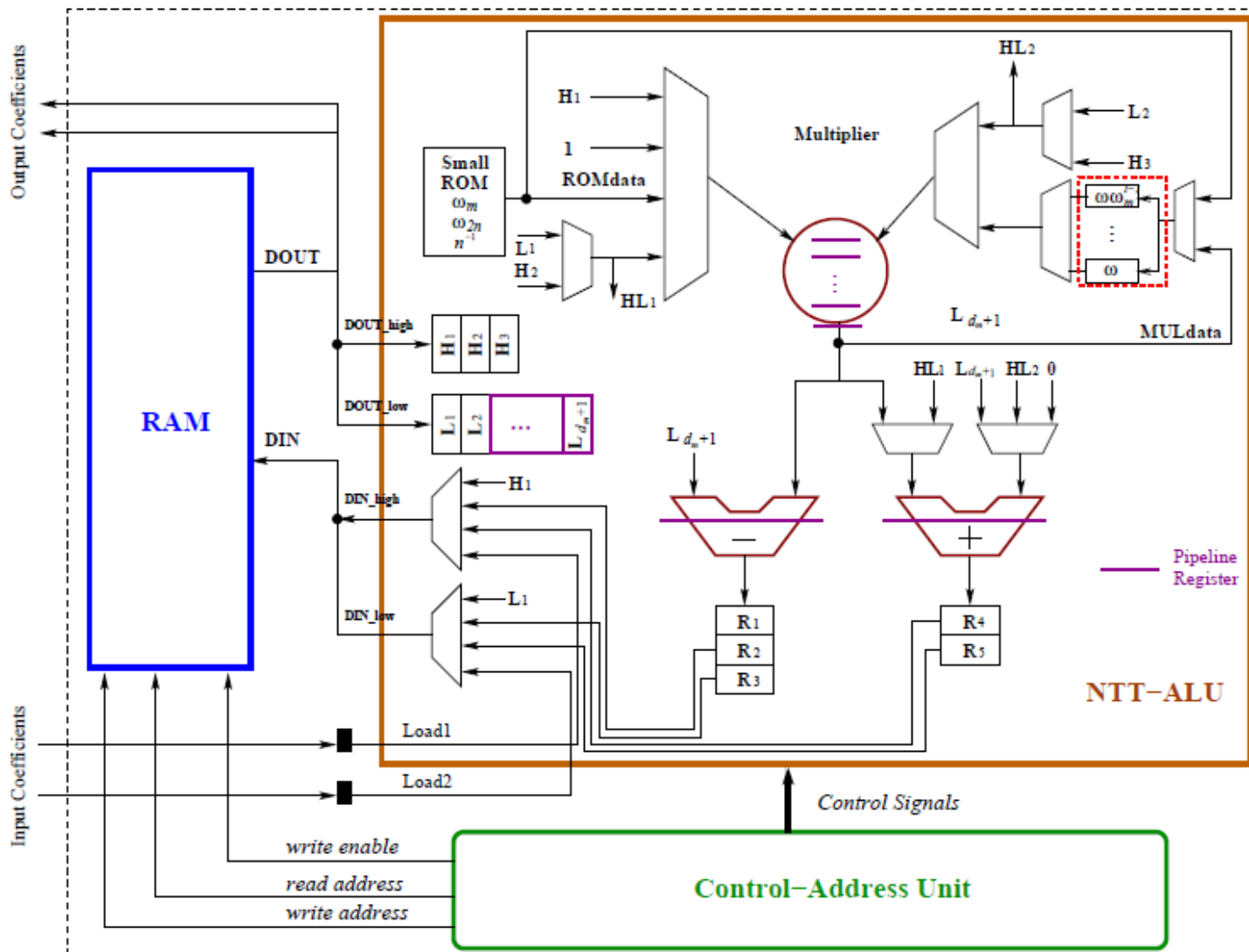
The NTT Core

$(R_2, R_5) \leftarrow (R_1, R_4)$;
 $(H_1, L_1) \leftarrow (A[k + j + 3m/2], A[k + j + m])$ /* From MEMORY[k+j+m/2] */ ;
 $(R_1, R_4) \leftarrow (L_1 - \omega \cdot H_1, L_1 + \omega \cdot H_1)$;
 $MEMORY[k + j] \leftarrow (R_4, R_5)$;
 $MEMORY[k + j + m/2] \leftarrow (R_2, R_3)$;



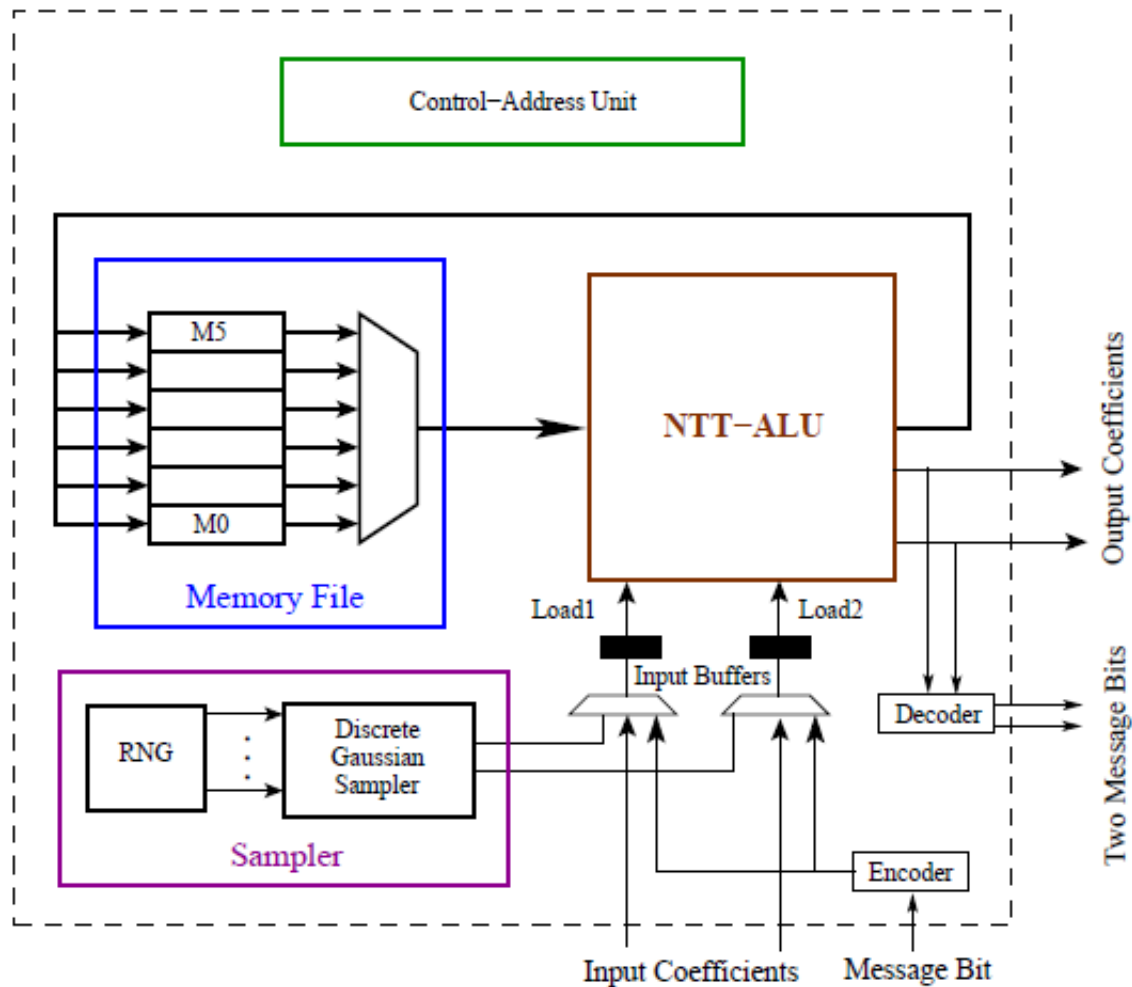
The NTT Core : Pipeline

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The Ring-LWE Cryptoprocessor

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Instruction Set

LOAD

ENCODE-LOAD

GAUSSIAN-LOAD

FNTT

INTT

ADD

CMULT

REARRANGE

READ

Results

Our Ring-LWE Cryptoprocessor : Results on Virtex 6

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Parameters	Device	LUTs/FFs/ DSPs/BRAM18	Freq (MHz)	Cycles/Time(μs)	
				Encryption	Decryption
(256,7681,11.32)	V6LX75T	1349/860/1/2	313	6.3k/20.1	2.8k/9.1
(512,12289,12.18)		1536/953/1/3	278	13.3k/47.9	5.8k/21

Comparison with previous Ring-LWE Implementation

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	Parameters	Device	LUTs/FFs/ DSPs/BRAM18	Freq (MHz)	Cycles/Time(μs)	
					Encryption	Decryption
1	(256,7681,11.32)	V6LX75T	1349/860/1/2	313	6.3k/20.1	2.8k/9.1
	(512,12289,12.18)		1536/953/1/3	278	13.3k/47.9	5.8k/21
2	(256,7681,11.32)	V6LX75T	4549/3624/1/12	262	6.8k/26.2	4.4k/16.8
	(512,12289,12.18)	V6LX75T	5595/4760/1/14	251	13.7k/54.8	8.8k/35.4

1 RLWE, Our Implementation

2 RLWE, Pöppelmann et al. SAC 2013

Comparison with ECC (ECIES)

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	Parameters	Device	LUTs/FFs/ DSPs/BRAM18	Freq (MHz)	Cycles/Time(μs)	
					Encryption	Decryption
1	(256,7681,11.32)	V6LX75T	1349/860/1/2	313	6.3k/20.1	2.8k/9.1
2	Binary-233	V5LX85T	18097/-/5644/0	156	$\approx 3.8k/24.6$	$\approx 1.9k/12.3$

1 RLWE, Our Implementation

2 ECC, Rebeiro et al. CHES 2012

Conclusions and Future Work

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Conclusions

- Hardware implementation of an instruction-set ring-LWE processor
 - Optimizations in the NTT
 - Architecture level accelerations
 - Best area-time performance

Future Work

- Lattice based signature scheme and fully-homomorphic encryption
 - Discrete Gaussian sampling
 - Larger polynomial multiplier

Thank You

Backup Slides

Comparison with NTRU

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Implementation Algorithm	Parameters	Device	LUTs/FFs/ DSPs/BRAM18	Freq (MHz)	Cycles/Time(μs)	
					Encryption	Decryption
RLWE	(256,7681,11.32)	V6LX75T	1349/860/1/2	313	6.3k/20.1	2.8k/9.1
	(512,12289,12.18)		1536/953/1/3	278	13.3k/47.9	5.8k/21
NTRU (ICM-09) Kamal et al.	NTRU-251	XCV1600E	27292/5160/14352/0	62.3	-/1.54	-/1.41

Comparison with McEliece

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	Implementation Algorithm	Security	Device	LUTs/FFs/ DSPs/BRAM18	Freq (MHz)	Cycles/Time(μs)	
						Encryption	Decryption
1	RLWE	≈ 128	Virtex 6	1349/860/1/2	313	6.3k/20.1	2.8k/9.1
2	McEliece	≈ 128	Virtex 6	$\approx 20k/-/-/488$	254	1.2k/4.7	233k/920

1 RLWE, Our Implementation

2 McEliece Cryptosystem by Ghosh et al. IEEE TC, 2014

All Comparisons

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Implementation Algorithm	Parameters	Device	LUTs/FFs/ DSPs/BRAM18	Freq (MHz)	Cycles/Time(μs)	
					Encryption	Decryption
RLWE	(256,7681,11.32)	V6LX75T	1349/860/1/2	313	6.3k/20.1	2.8k/9.1
	(512,12289,12.18)		1536/953/1/3	278	13.3k/47.9	5.8k/21
RLWE (<i>SAC-13</i>) Pöppelmann et al.	(256,7681,11.32)	V6LX75T	4549/3624/1/12	262	6.8k/26.2	4.4k/16.8
	(512,12289,12.18)	V6LX75T	5595/4760/1/14	251	13.7k/54.8	8.8k/35.4
RLWE (<i>ISCAS-14</i>) Pöppelmann et al.	(256,4096,8.35)	S6LX9	317/238/95/1	144	136k/946	-
			112/87/32/1	189	-	66k/351
ECC (CHES-12) Rebeiro et al.	Binary-233	V5LX85T	18097/-/5644/0	156	$\approx 3.8k/24.6$	$\approx 1.9k/12.3$
NTRU (ICM-09) Kamal et al.	NTRU-251	XCV1600E	27292/5160/14352/0	62.3	-/1.54	-/1.41