
The development of Public Key Cryptography *a personal view*

Ralph C. Merkle

Georgia Tech College of Computing

Fall 1974

- **No terminology**
- **No understanding of problem**
- **Talking with people about the problem now called public key distribution produced confusion**

- **Undergraduate at Berkeley**
- **Enrolled in CS 244, “Computer Security Engineering,” Lance Hoffman**
- **Required to submit two project proposals**
 - One was data compression.
 - The other....

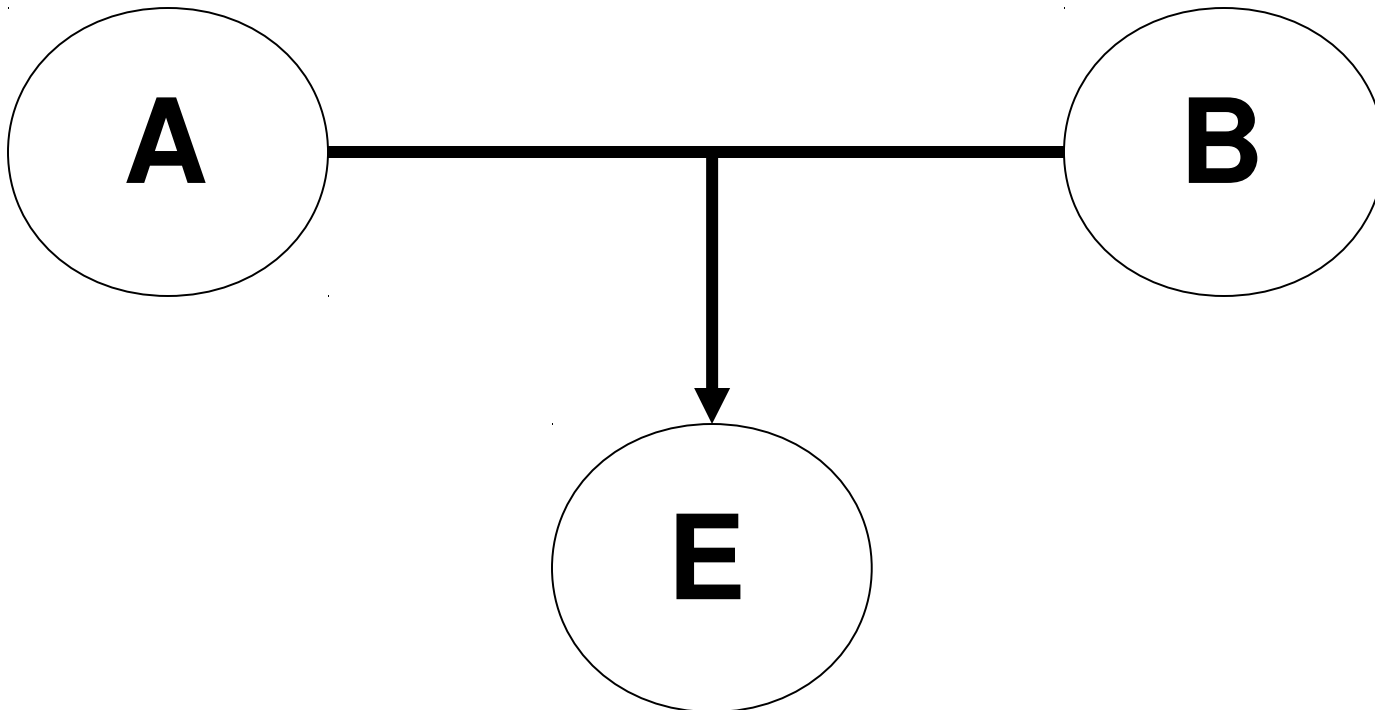
- **One way functions could protect passwords even if system security were completely compromised**
- **But encryption keys, once compromised, required establishing new keys**
- **Could new keys (between e.g. a terminal and the system) be established over normal channels?**

Fall 1974

- **This is the public key distribution problem.**
- **First thought: this must be impossible, let's prove that it can't be done**
- **Easy to prove that PKD is impossible if either communicant is fully deterministic and I/O is monitored.**

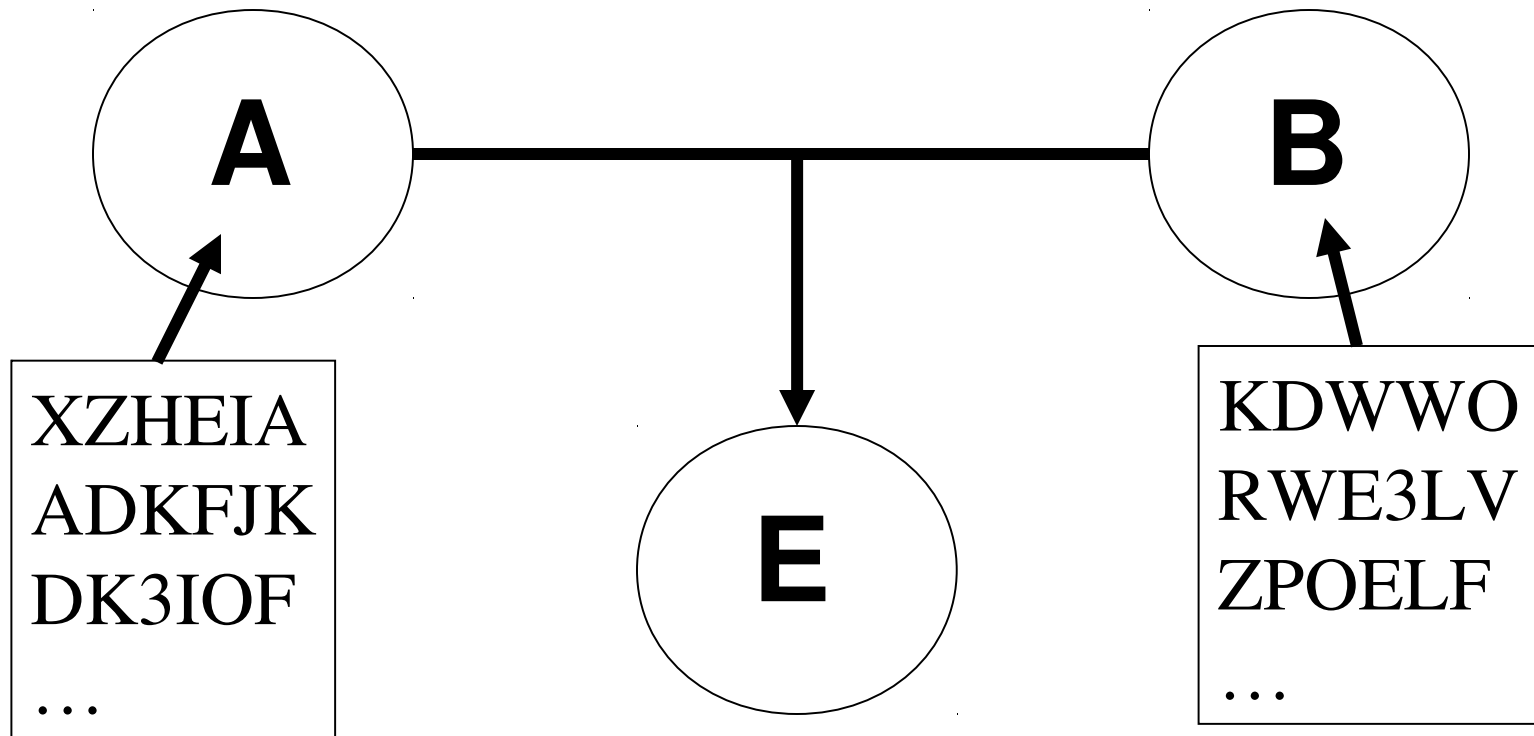
Fall 1974

PKD impossible if
A or B is deterministic

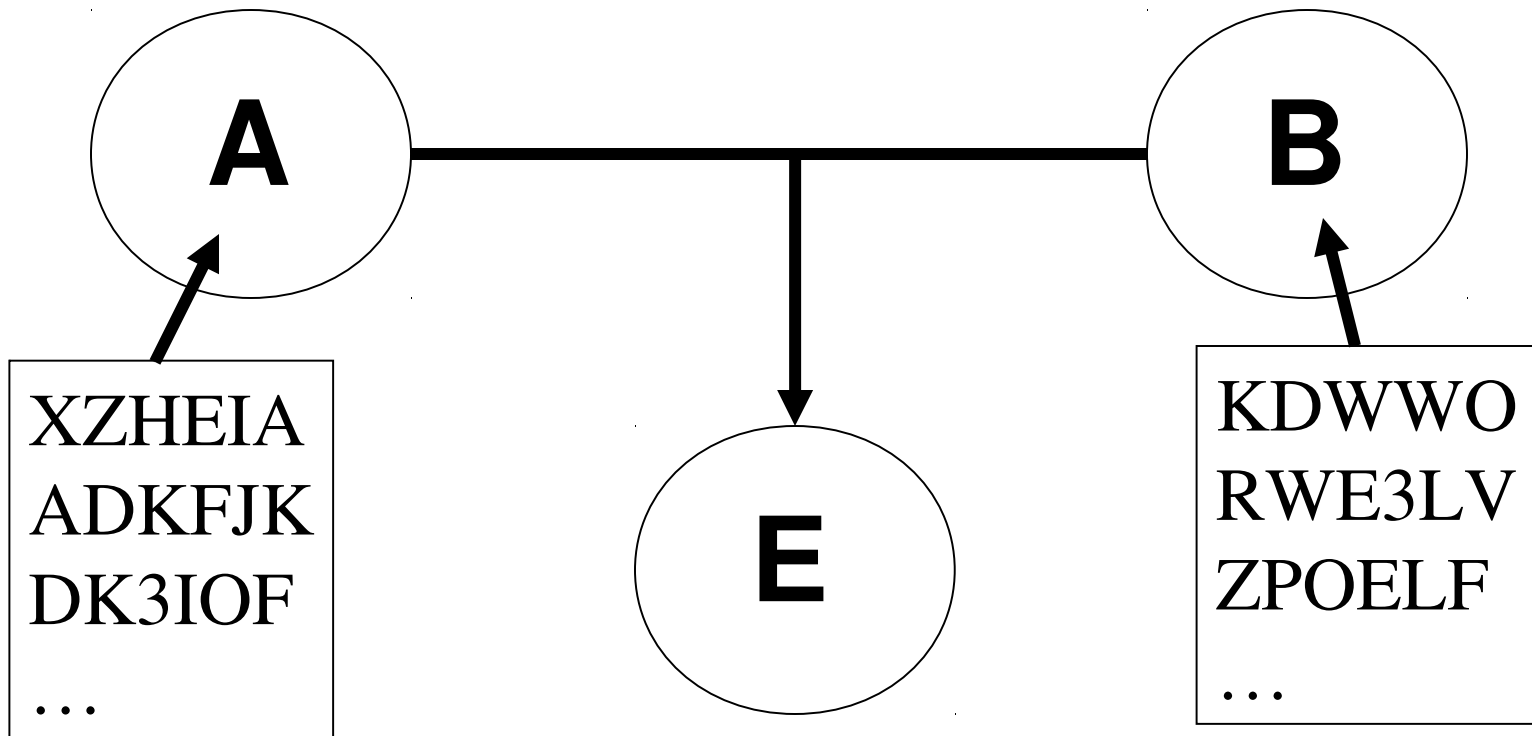


Fall 1974

But what if A and B
both have random number generators?



How do A and B
differ from E?

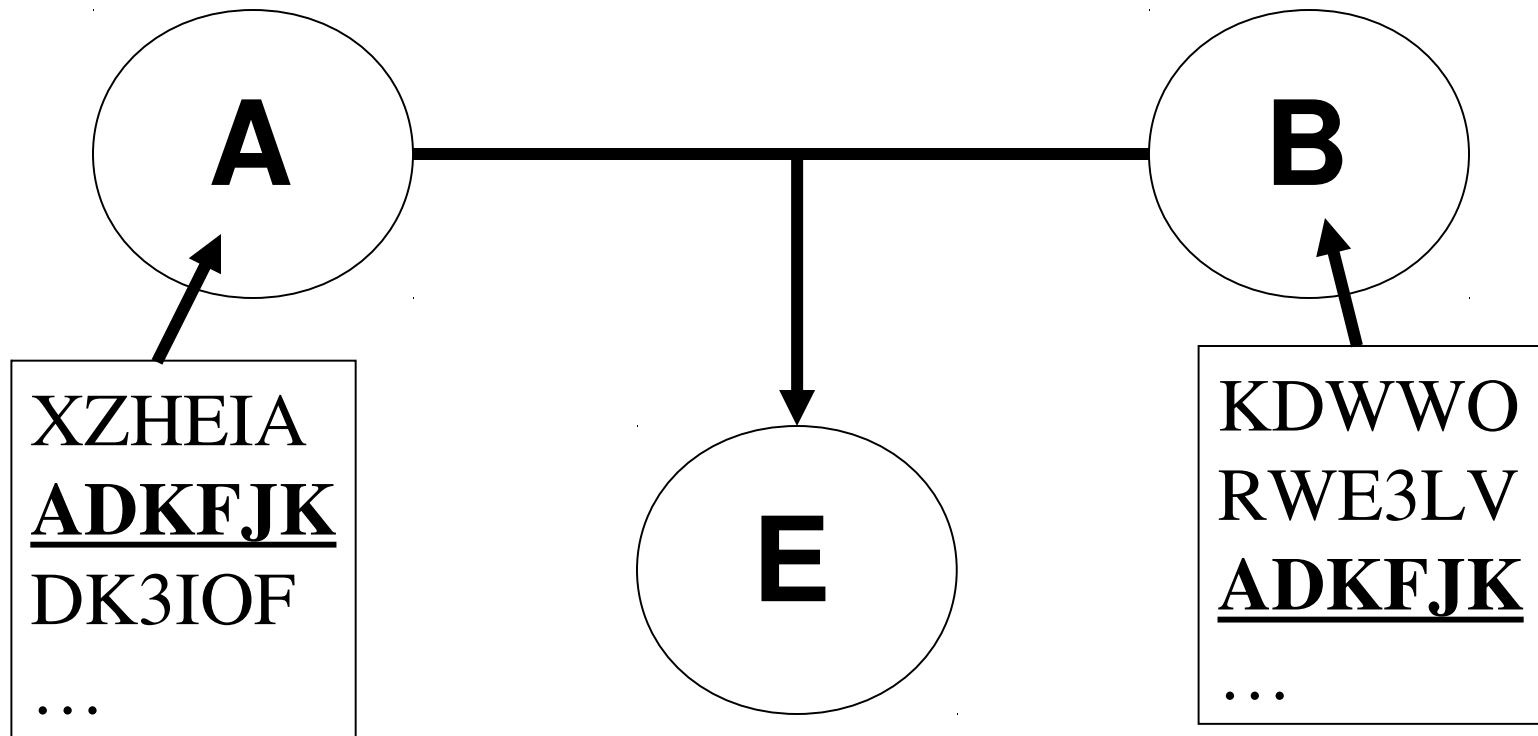


Fall 1974

- **Failed to prove PKD impossible if random numbers are provided**
- **Switched gears – how to do it**
- **Aha! A and B might generate the same random number by chance!**

Fall 1974

By chance, A and B
might generate the same number



- **If A and B select N random numbers from a space of N^2 possible numbers, there is a good probability of a collision.**
- **So just keep picking random numbers until a collision occurs, which it will with high probability if A and B keep generating random numbers**

- **But how to detect a collision?**
- **Have A apply a one way function F to each random number r_i , and send $F(r_i)$ to B**
- **B applies the same one way function to his random numbers and looks for a collision.**
- **When B finds a collision, A and B are in possession of a common key**

- The enemy, E, saw $\sim N$ values $F(r_i)$ go from A to B, and saw one value $F(r_{\text{common}})$ returned from B to A.
- Total effort by both A and B was about N.
- Total effort by E to analyze r_{common} will be about N^2 .

- **This was the method as first conceived, and best illustrates the development of the idea**
- **The method as published is different, using “puzzles” to minimize both A and B’s storage requirements (which in the simple method are $\sim N$)**

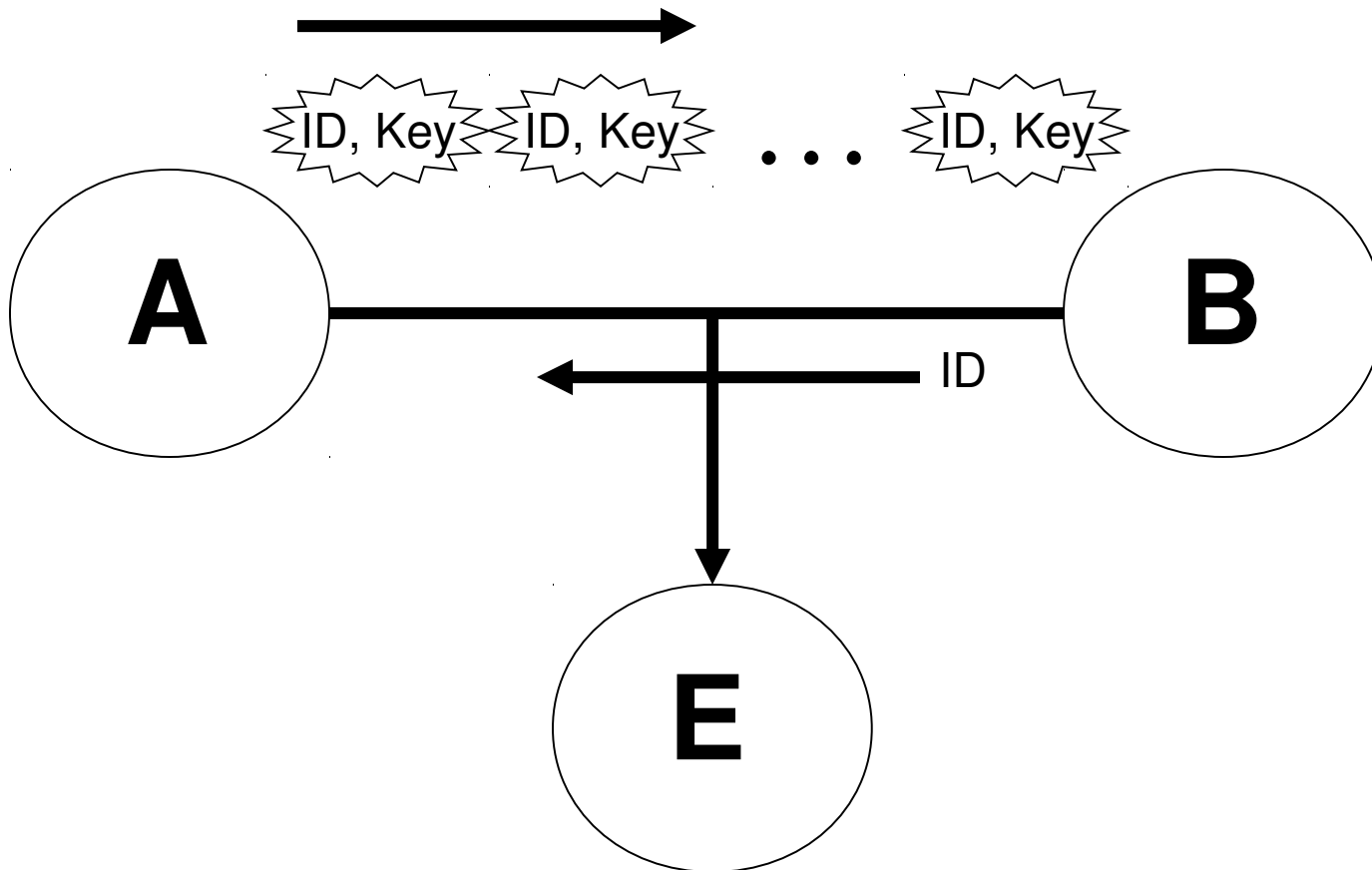
Puzzles

- **Puzzles are created by selecting a random key from one of N possibilities, then encrypting a random puzzle id, a random cryptographic key, and some redundant information.**
- **A puzzle is broken by exhaustively searching the space of N possible keys**

Puzzles

- **A creates N puzzles and sends them to B**
- **B randomly selects one puzzle, discarding all the others**
- **B spends $\sim N$ effort to crack the chosen puzzle**
- **B sends the puzzle ID back to A**

Puzzles



Idea meets people

- **New ideas are typically rejected, and so it was with this strange key distribution problem and CS 244: after repeated rejection I dropped the course**
- **But kept working on the idea.**

Idea meets people

- **Among others, I explained the puzzles method to Peter Blatman who, as it happened, knew Whit Diffie.**

Idea meets people

- **“I was convinced you couldn't do it [PKD] and I persuaded Blatman you couldn't do it. But I went back to thinking about the problem. And so I think Merkle plays a very critical role.”**
—Whitfield Diffie, circa 1974/1975

Idea meets people

- **Sounding out faculty members at Berkeley produced mostly negative results (i.e., “No, I couldn’t supervise a thesis in this area because <fill in blank>”)**
- **Bob Fabry, however, read my draft paper and said “Publish, win fame and fortune!”**
- **So I submitted to CACM in August 1975**

Idea meets people

- **The response from CACM:**
- **“Enclosed is a referee report by an experienced cryptography expert on your manuscript...”**
- **“I am sorry to have to inform you that the paper is not in the main stream of present cryptography thinking and I would not recommend that it be published...”**

Linking up

- **February 7th 1976: “About 3 days ago, a copy of your working paper, Multiuser Cryptographic Techniques, fell into my hands.”**
- **And the rest is history**

Some thoughts on nanotechnology

Crypto and nano

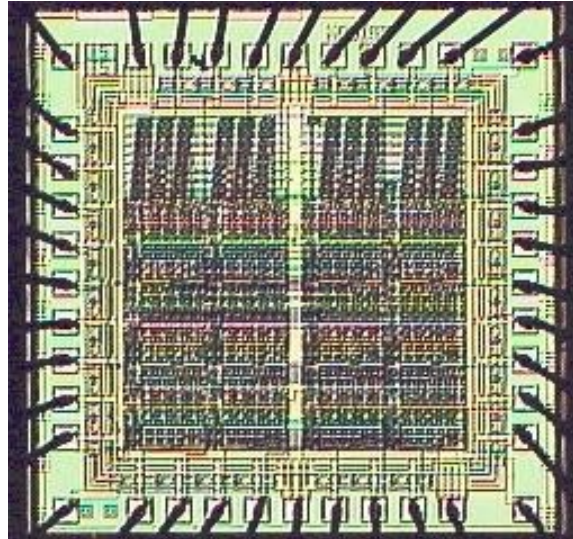
**Today's crypto systems must resist
attack by tomorrow's computers**

**Nanotechnology explores the limits of
what we can make**

**Future computers will likely benefit
decisively from nanotechnology**

Arranging atoms

- Flexibility
- Precision
- Cost



Richard Feynman, 1959



**There's plenty of room
at the bottom**

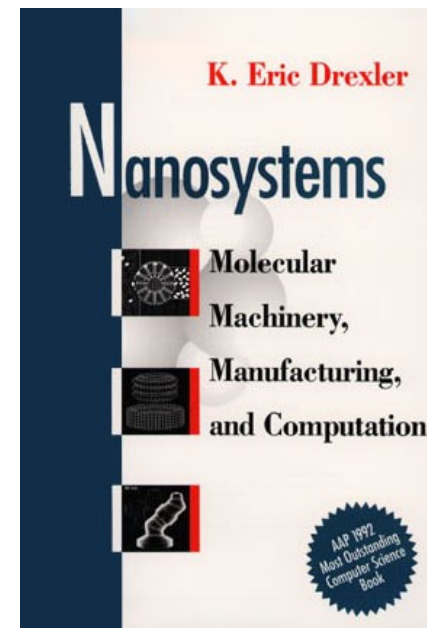
<http://www.zyvex.com/nanotech/feynman.html>

1980's, 1990's

Experiment and theory



First STM
By Binnig and Rohrer



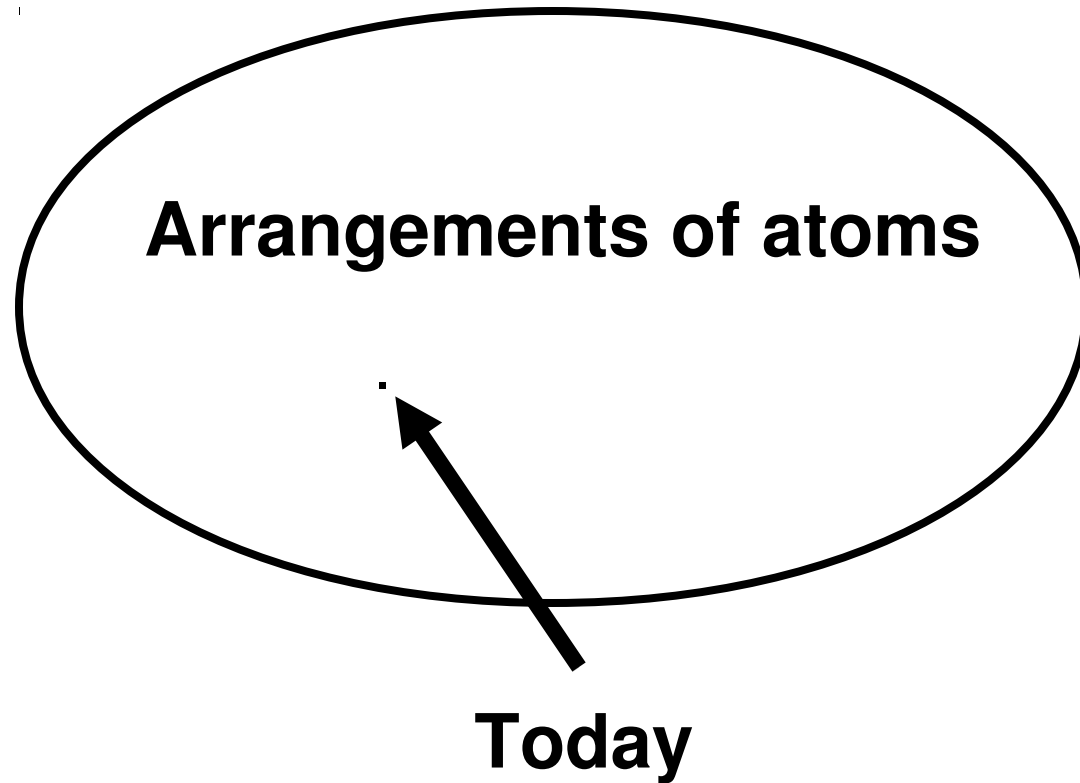
President Clinton, 2000

The National Nanotechnology Initiative

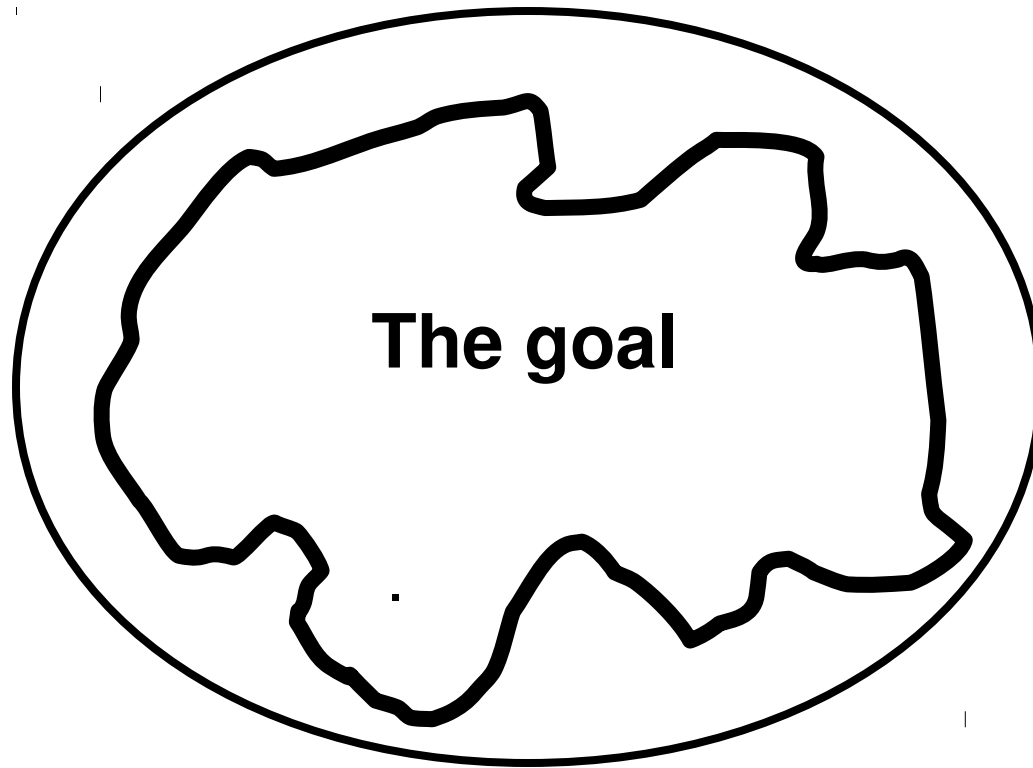
“Imagine the possibilities: materials with ten times the strength of steel and only a small fraction of the weight -- shrinking all the information housed at the Library of Congress into a device the size of a sugar cube -- detecting cancerous tumors when they are only a few cells in size.”



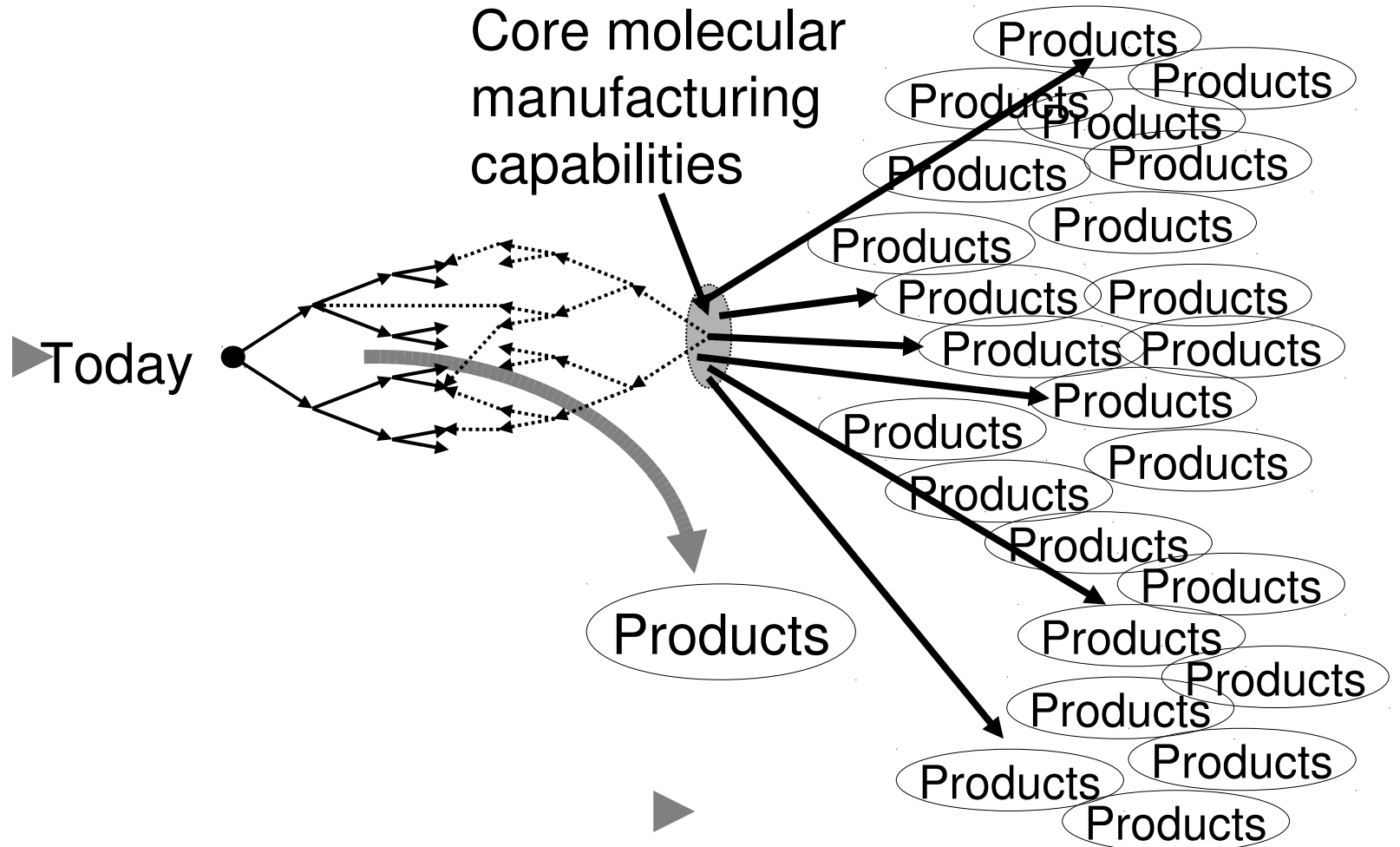
The goal



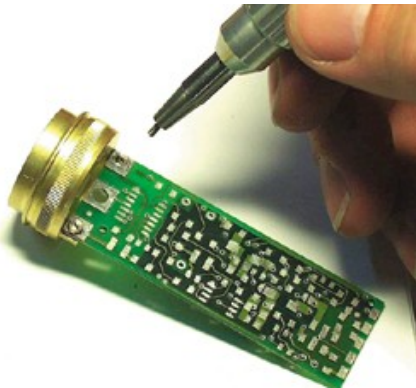
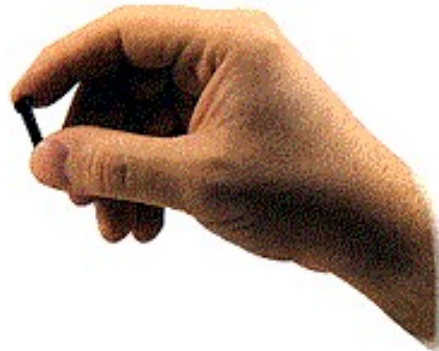
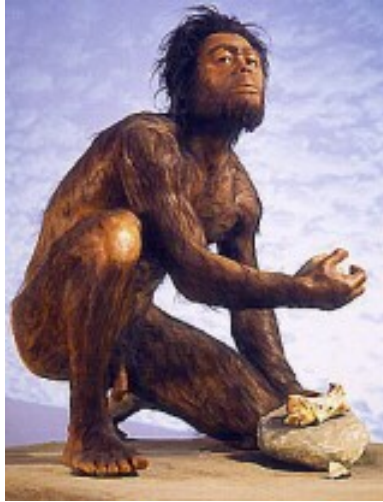
The goal



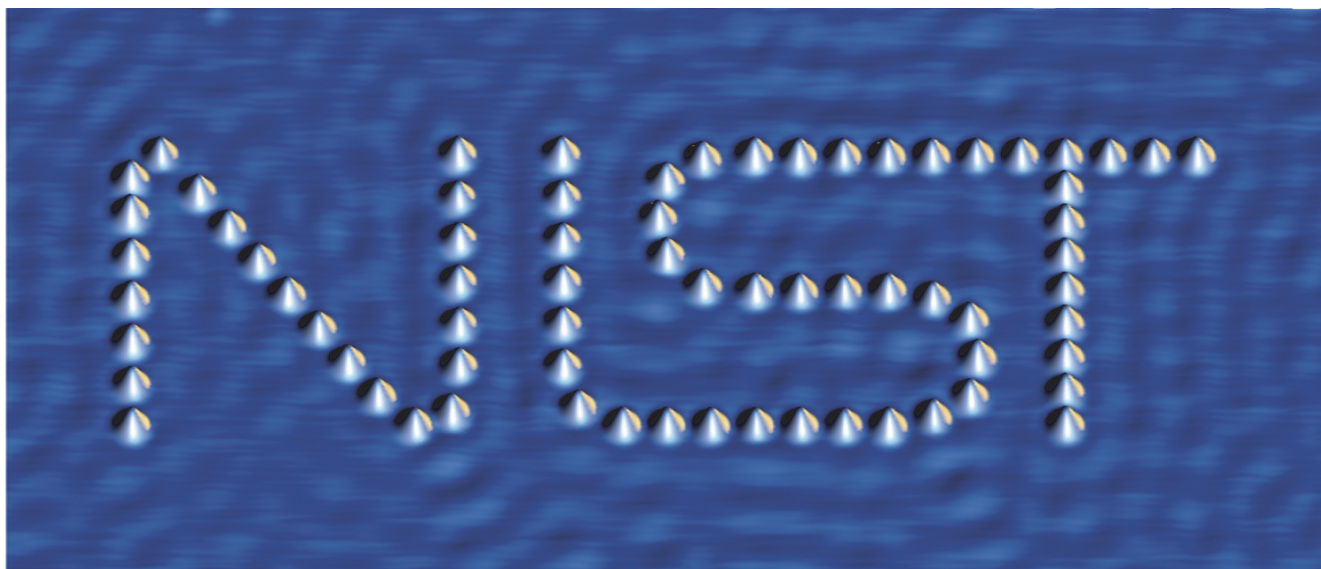
Many routes



A powerful method: positional assembly



Experimental



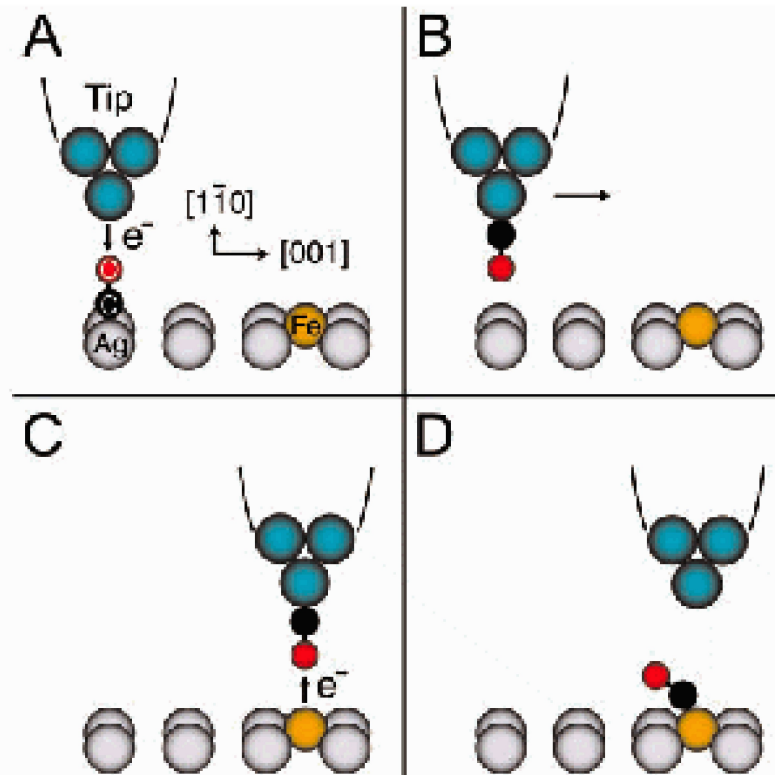
**A 40-nanometer-wide NIST logo made
with cobalt atoms on a copper surface**

Controlling the Dynamics of a Single Atom in Lateral Atom Manipulation

Joseph A. Stroscio and Robert J. Celotta, *Science*, Vol 306, Issue 5694, 242-247, 8 October 2004

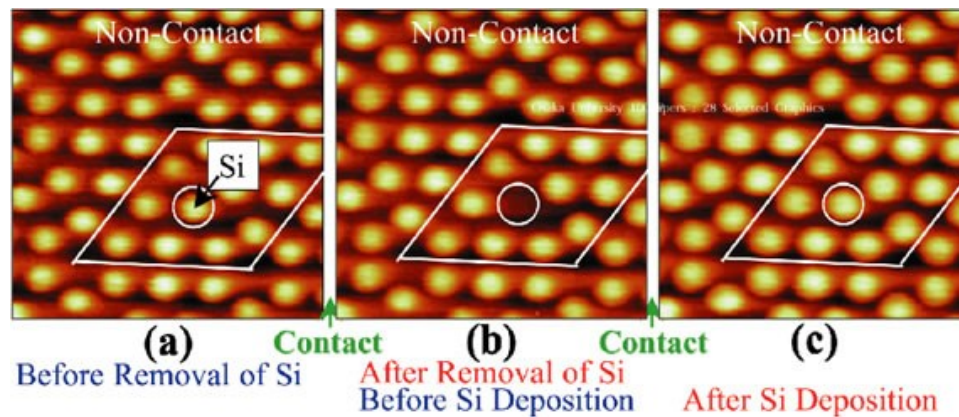
http://www.nist.gov/public_affairs/releases/hiphopatoms.htm

Experimental



H. J. Lee and W. Ho, SCIENCE **286**, p. 1719, NOVEMBER 1999

Experimental



Mechanical vertical manipulation of selected single atoms by soft nanoindentation using near contact atomic force microscopy, Noriaki Oyabu, Oscar Custance, Insook Yi, Yasuhiro Sugawara, Seizo Morita¹, Phys. Rev. Lett. 90(2 May 2003):176102.

Theoretical

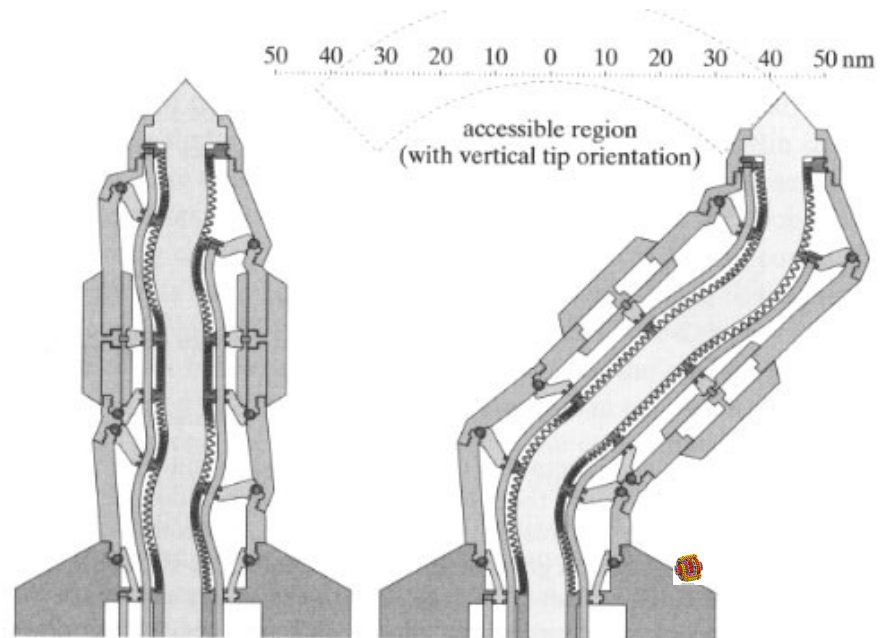
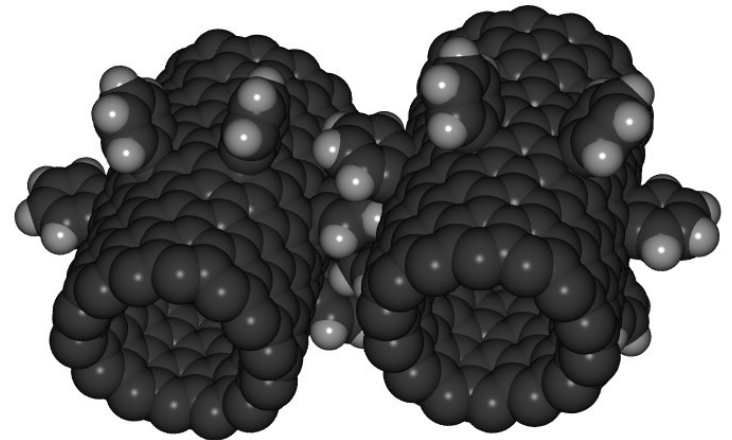
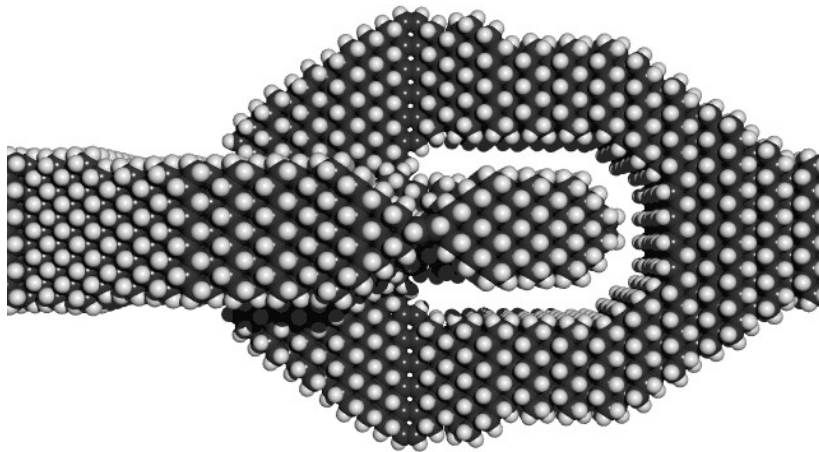
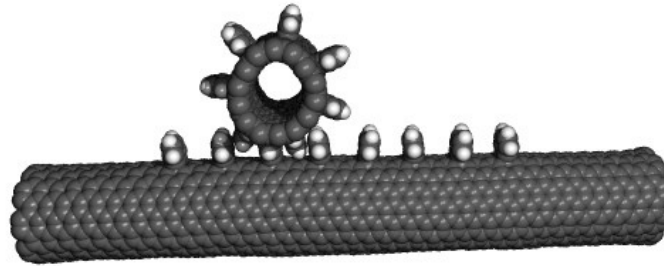
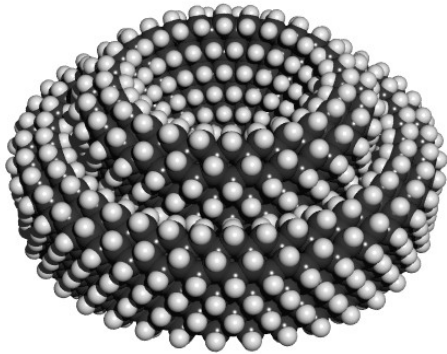
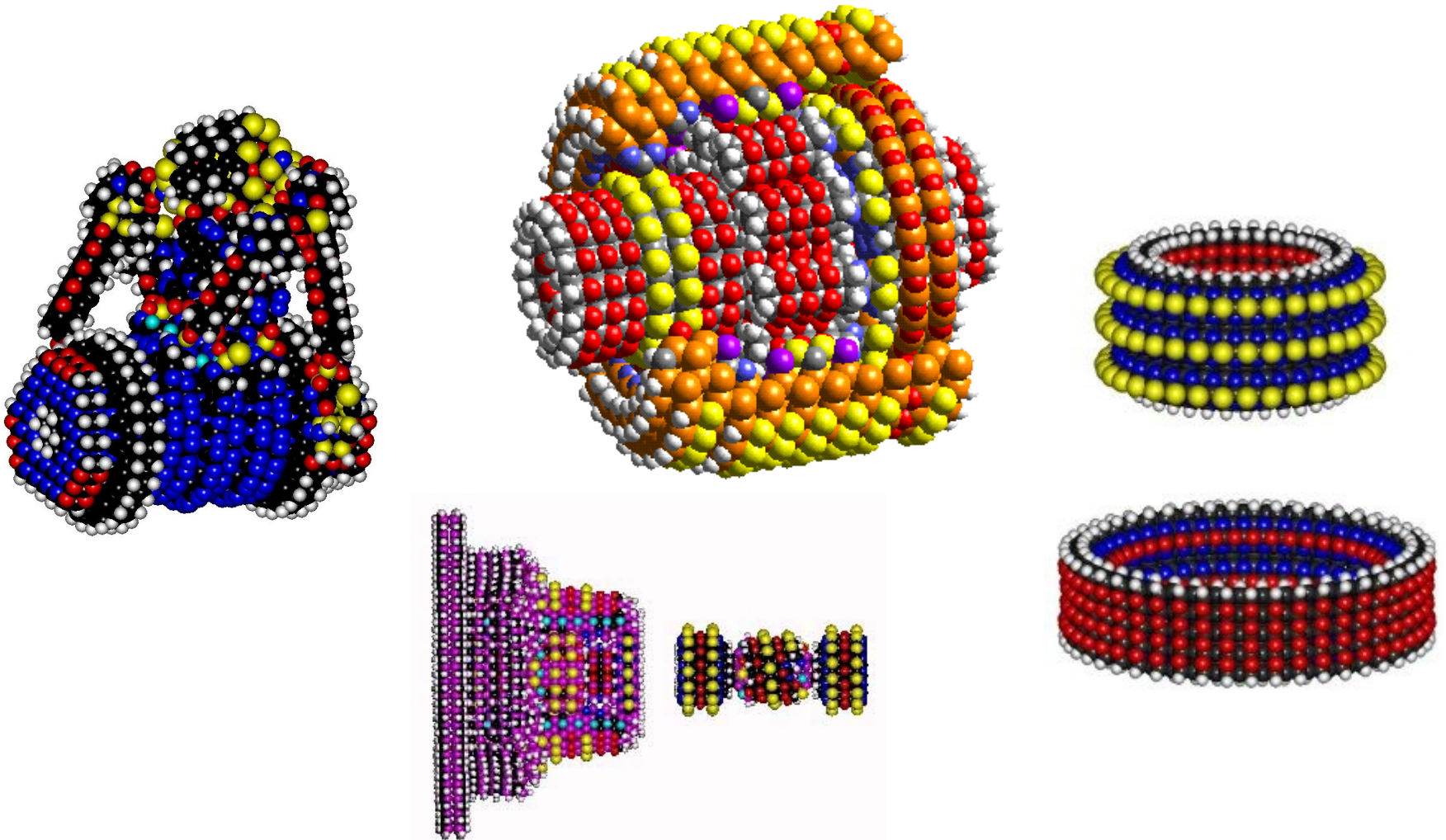


Figure 13.14. Cross section of a stiff manipulator arm, showing its range of motion (schematic).

Hydrocarbon machines



Molecular machines



Molecular robotics

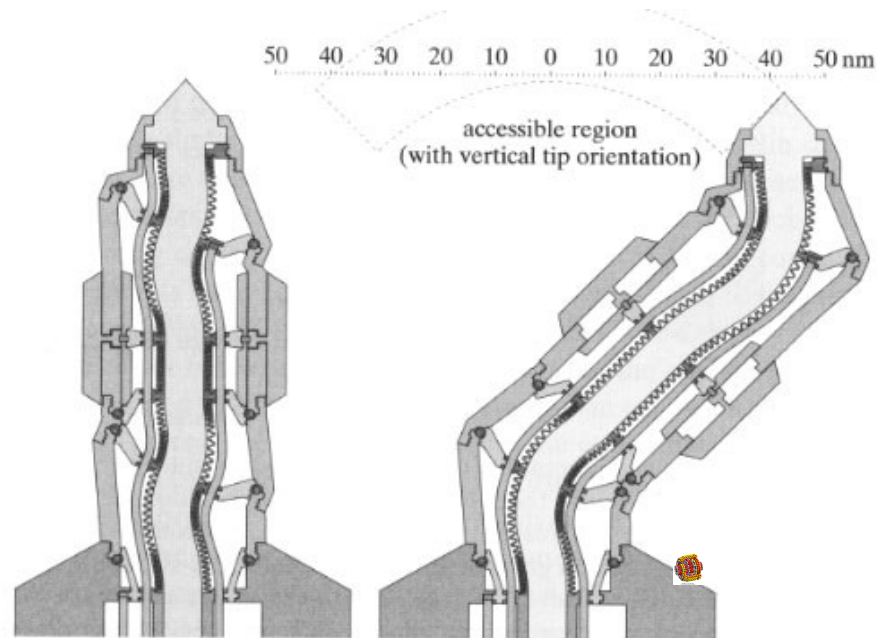


Figure 13.14. Cross section of a stiff manipulator arm, showing its range of motion (schematic).

Diamond physical properties

Property	Diamond's value	Comments
Chemical reactivity		Extremely low
Hardness (kg/mm ²)	9000	CBN: 4500 SiC: 4000
Thermal conductivity (W/cm-K)	20	Ag: 4.3 Cu: 4.0
Tensile strength (pascals)	3.5×10^9 (natural)	10^{11} (theoretical)
Compressive strength (pascals)	10^{11} (natural)	5×10^{11} (theoretical)
Band gap (ev)	5.5	Si: 1.1 GaAs: 1.4
Resistivity (W-cm)	10^{16} (natural)	
Density (gm/cm ³)	3.51	
Thermal Expansion Coeff (K ⁻¹)	0.8×10^{-6}	SiO ₂ : 0.5×10^{-6}
Refractive index	2.41 @ 590 nm	Glass: 1.4 - 1.8
Coeff. of Friction	0.05 (dry)	Teflon: 0.05

Source: Crystallume

Making diamond today

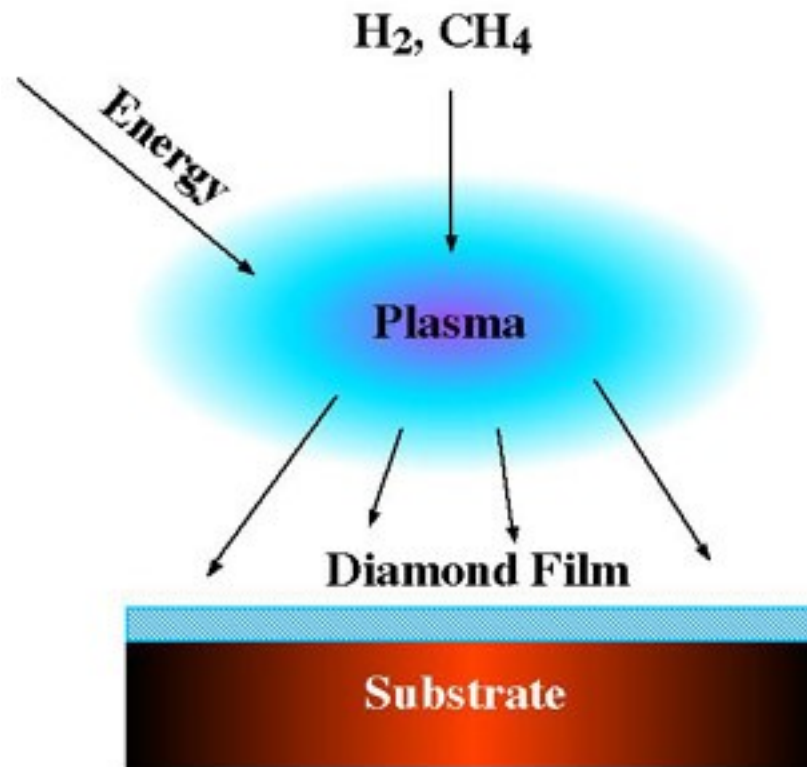
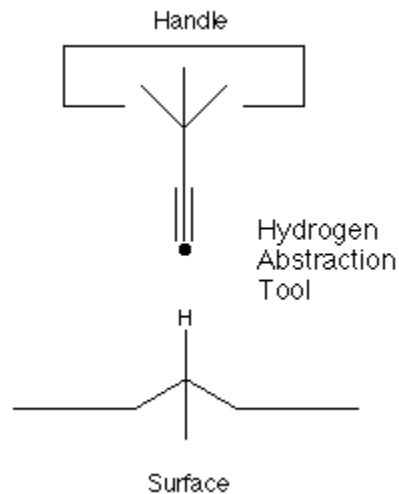


Illustration courtesy of P1 Diamond Inc.

Hydrogen abstraction tool

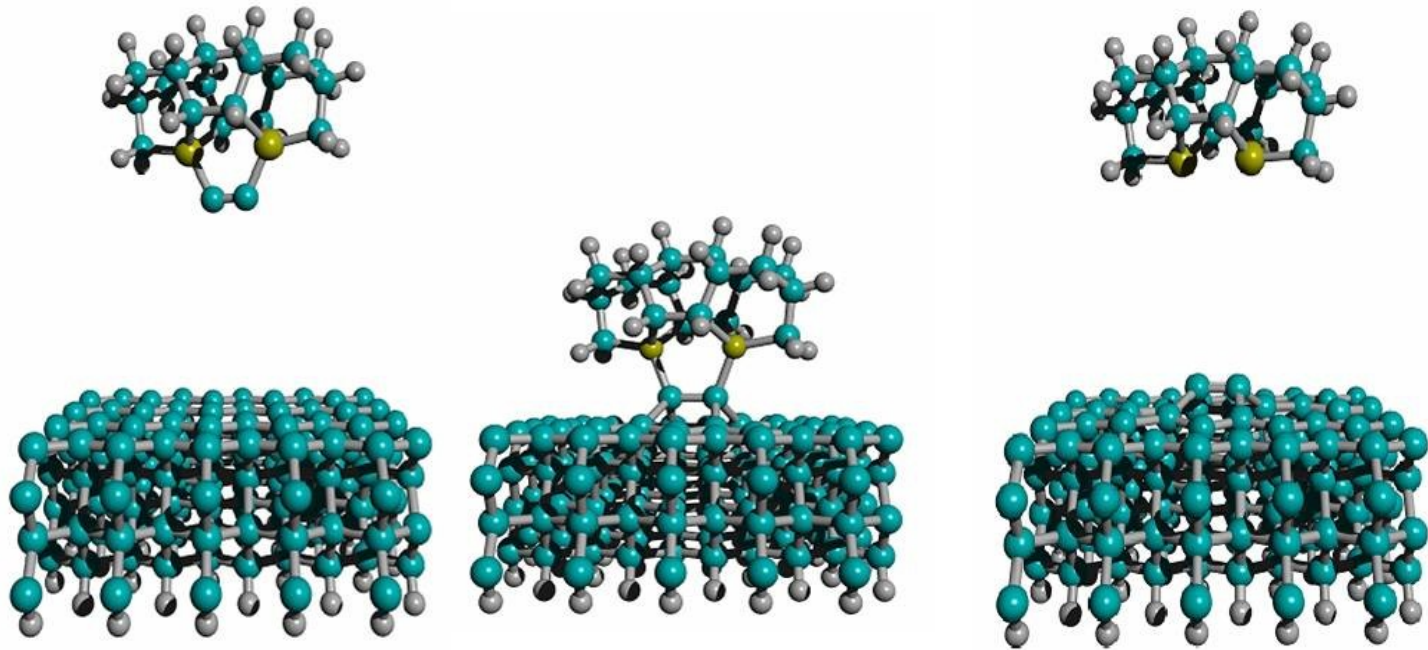


**Surface Patterning by Atomically-
Controlled Chemical Forces:
Molecular Dynamics Simulations**

Naval Research Laboratory

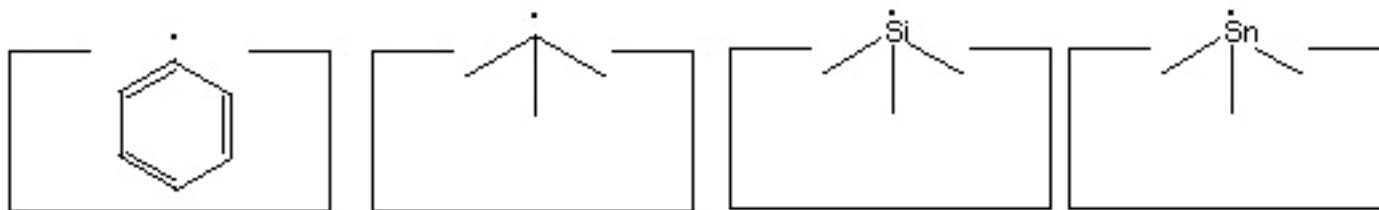
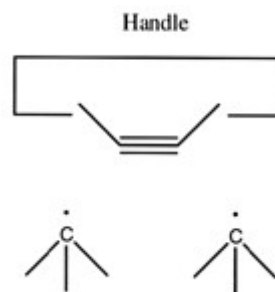
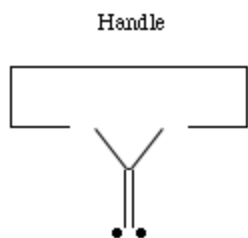
**Supported by the
Office of Naval Research**

Adding carbon



Theoretical Analysis of Diamond Mechano-synthesis. Part II. C₂ Mediated Growth of Diamond C(110) Surface via Si/Ge-Triadamantane Dimer Placement Tools, J. Comp. Theor. Nanosci. 1(March 2004). David J. Mann, Jingping Peng, Robert A. Freitas Jr., Ralph C. Merkle, In press.

Other molecular tools



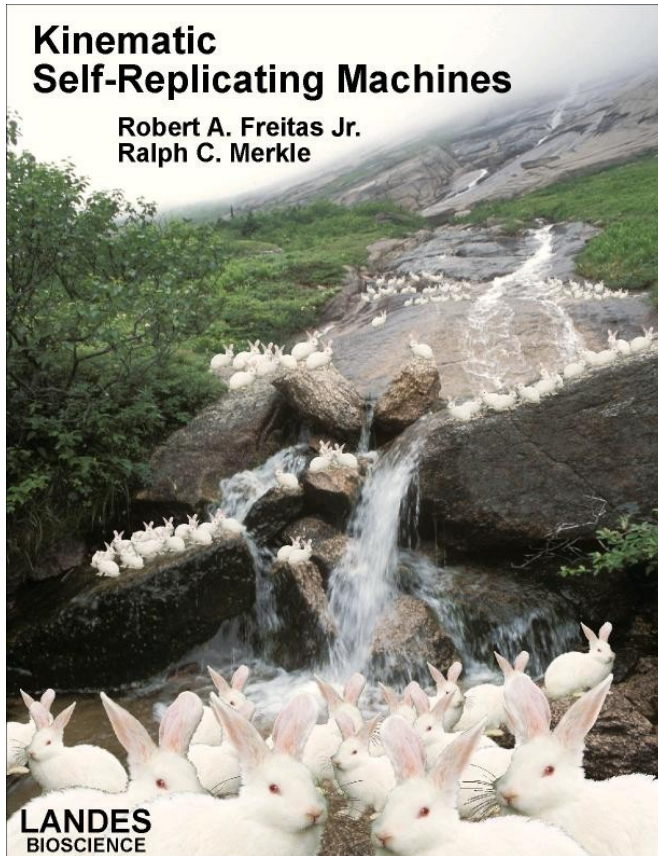
Self replication



**A redwood tree
(*sequoia sempervirens*)
112 meters tall
Redwood National Park**

<http://www.zyvex.com/nanotech/selfRep.html>

Self replication

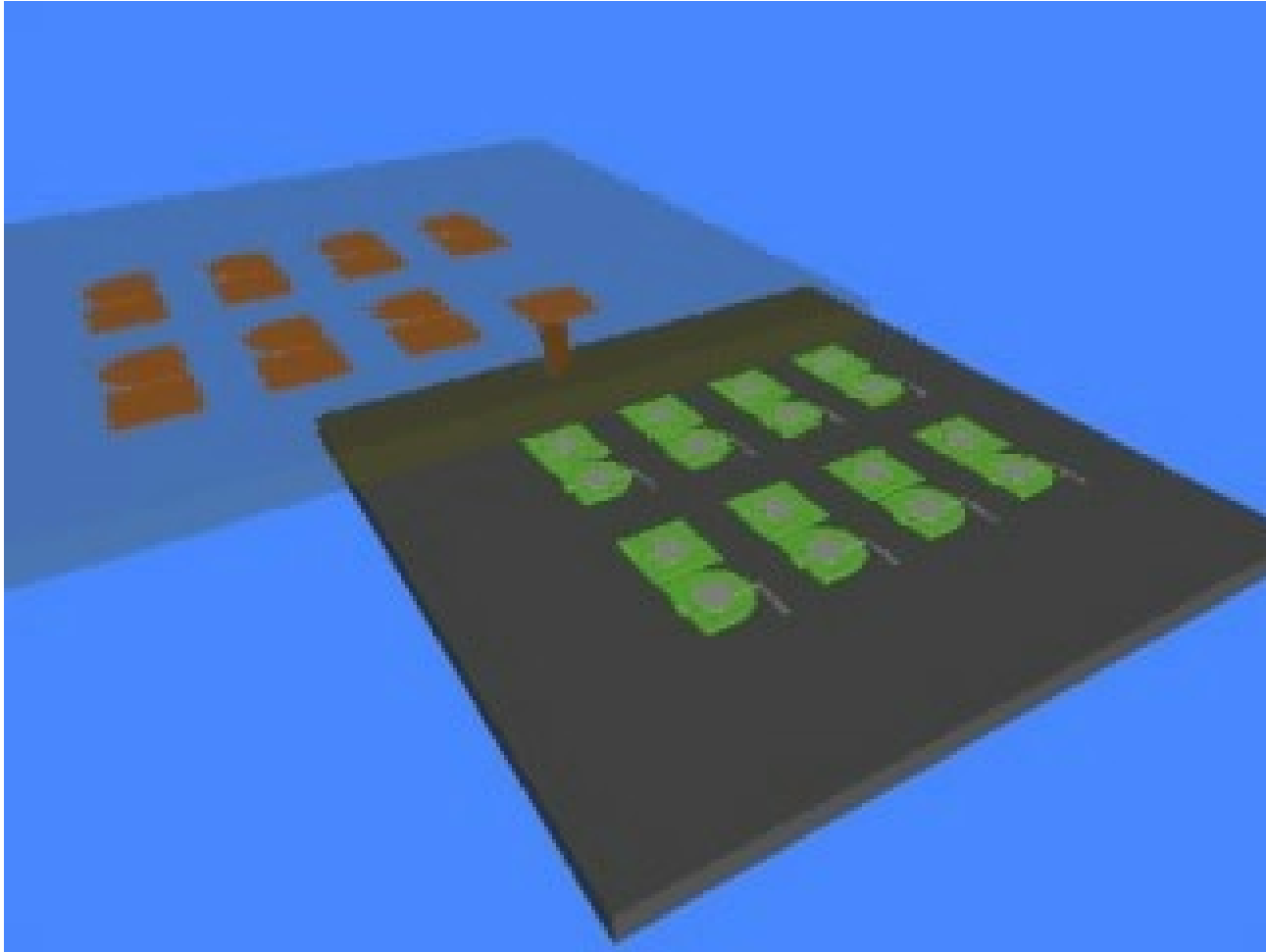


Kinematic Self-Replicating Machines (Landes Bioscience, 2004)

**Reviews the voluminous
theoretical and experimental
literature about physical self-
replicating systems.**

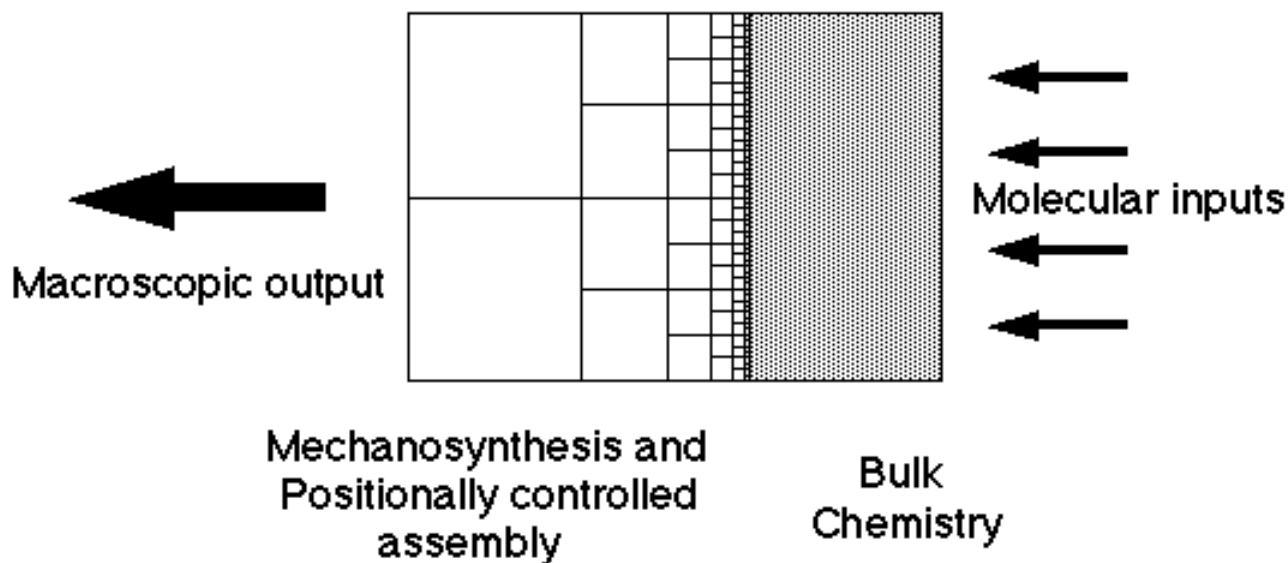
www.molecularassembler.com/KSRM.htm

Exponential assembly



Convergent assembly

Convergent assembly (schematic side view)



Making big things

Convergent assembly

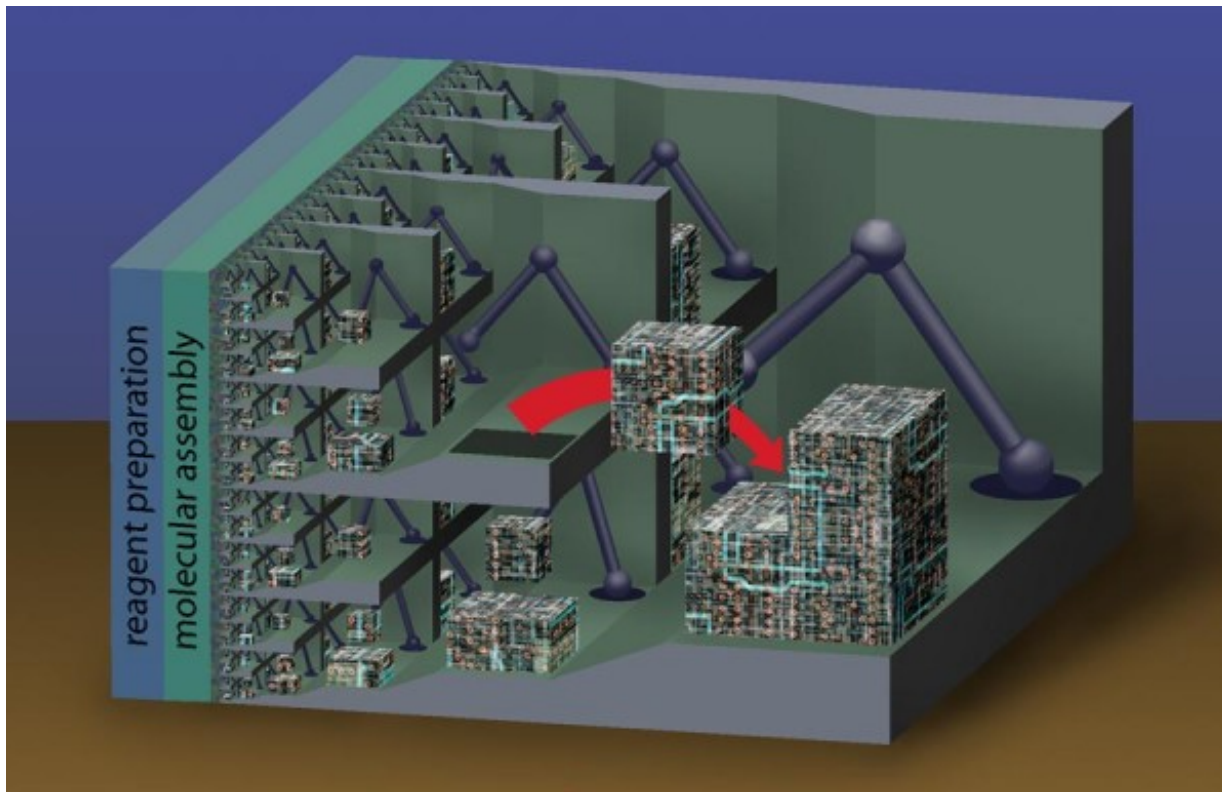


Illustration courtesy of Eric Drexler

<http://www.zyvex.com/nanotech/convergent.html>

Manufacturing costs per kilogram will be low

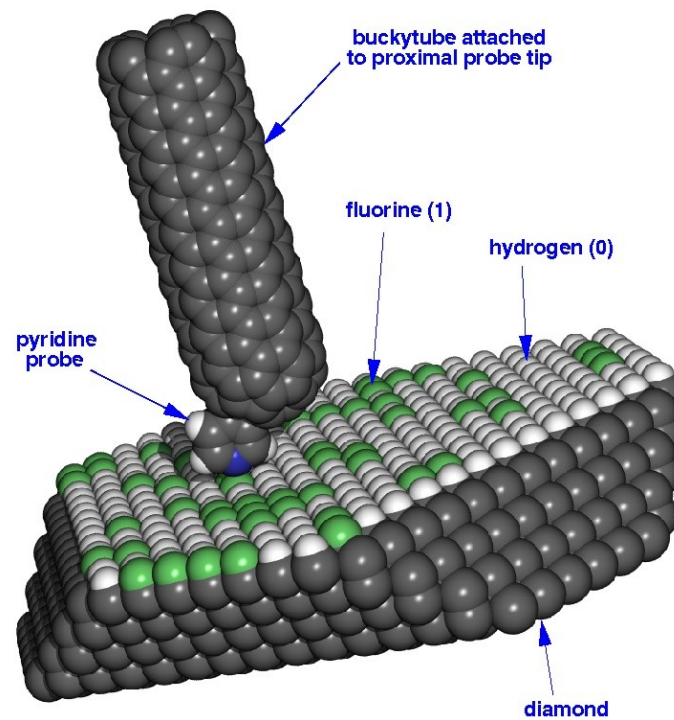
- **Today: potatoes, lumber, wheat, etc. are all about a dollar per kilogram.**
- **Tomorrow: almost *any* product will be about a dollar per kilogram or less. (Design costs, licensing costs, etc. not included)**

**The impact
of a new manufacturing technology
depends on what you make**

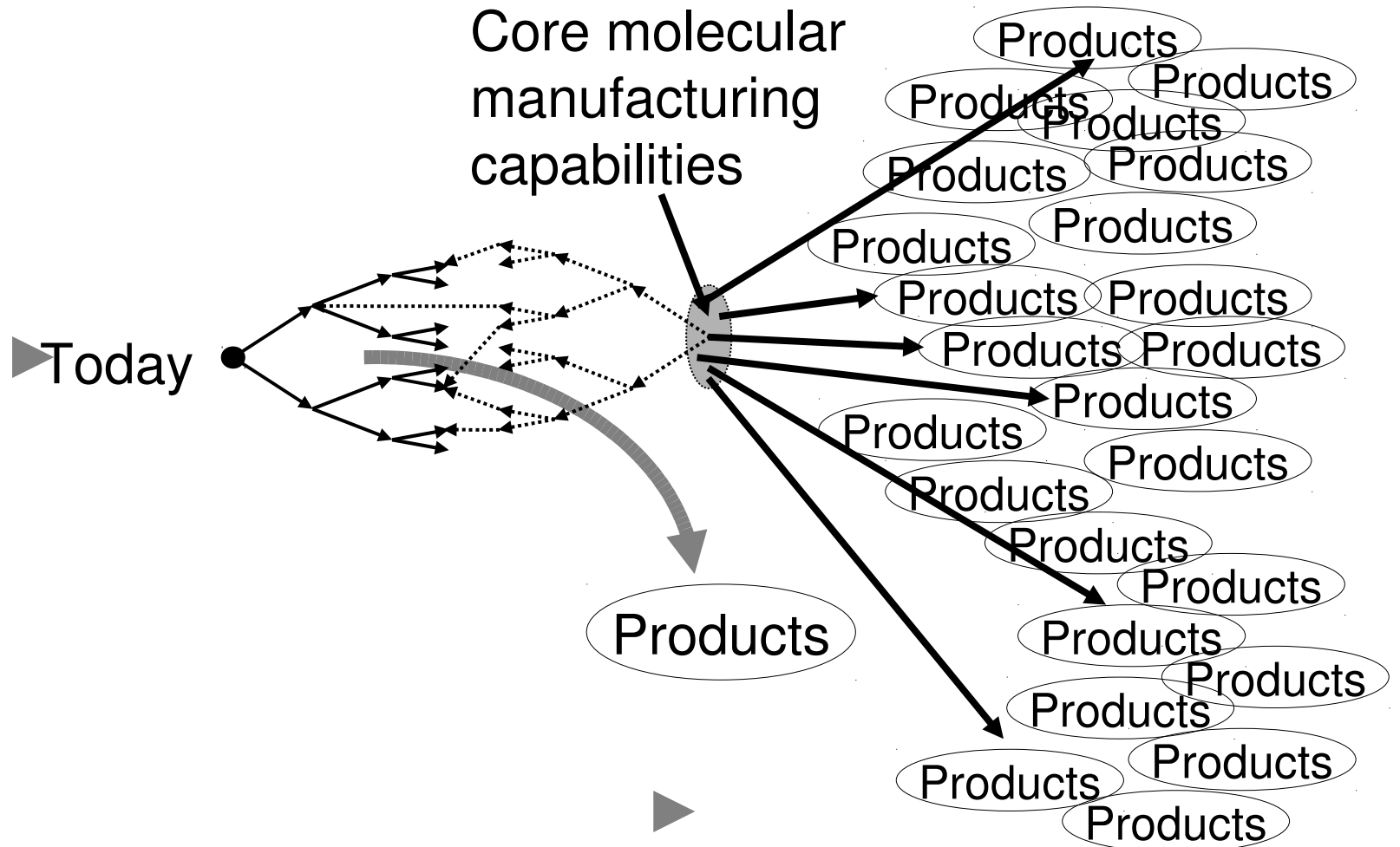
Powerful Computers

- We'll have more computing power in the volume of a sugar cube than the sum total of all the computer power that exists in the world today
- More than 10^{21} bits in the same volume
- Almost a billion Pentiums in parallel

High density memory



Overview



How long?

- Correct scientific answer: I don't know
- Trends in computer hardware suggestive
- Beyond typical 3-5 year planning horizon
- Depends on what we do
- Babbage's computer designed in 1830's

**Nanotechnology offers ...
possibilities for health, wealth,
and capabilities beyond most
past imaginings.**

K. Eric Drexler