Zero-Knowledge Arguments for Lattice-Based Accumulators: Logarithmic-Size Ring Signatures and Group Signatures without Trapdoors



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2 Our Accumulator and Its Supporting Zero-Knowledge Argument



Accumulator [BdM'93]: a function hashing a large data set $R = \{d_0, \ldots, d_{N-1}\}$ into a constant-size value u.

- For any d ∈ R, there is a short witness w that d was accumulated into u.
- It is infeasible to compute a valid witness w^* for some $d^* \notin R$.
- Numerous applications in authentication mechanisms.
- In many scenarios, a ZK proof of an input-witness pair (d, w) is desirable.

- 2 main families of number-theoretic accumulators: based on groups of hidden order, or on pairings (strong RSA and strong DH assumptions).
- \bullet A $3^{\rm rd}$ family relies on Merkle trees: hardly compatible with ZK proofs.
 - Known methods require non-standard assumptions in groups of hidden order [BCG'14] or non-falsifiable knowledge assumptions [BSCG+'14].
 - [PSTY'13]: SIS-based Merkle tree; supporting ZK proofs were not considered.

Our Results

First lattice-based accumulator supported by logarithmic-size ZK arguments.

• We build Merkle trees from a family of SIS-based CRHF

 $\mathcal{H}: D \times D \to D.$

• We demonstrate in ZK the possession of a Merkle tree path (hash chain).

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Applications:

- First lattice-based logarithmic-size ring signature.
- First group signature without lattice trapdoors. Previous constructions [GKV'10,CNR'12,LLLS'13,LNW'15,NZZ'15] rely on trapdoors for key generation and/or for enabling tracing. Being trapdoor-less: smaller parameters, shorter key and signature sizes.

User's signing key in our scheme has size of several KBs, compared with \approx 90 GBs in [NZZ'15].



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3 Applications to Ring and Group Signatures

Khoa Nguyen (NTU, Singapore) ZK arguments for lattice-based accumulators

A Family of Lattice-Based CRHF

Let *n* be the security parameter, $q = \widetilde{\mathcal{O}}(n)$, $k = \lceil \log_2 q \rceil$, and m = 2nk. Define:

$$\mathbf{G} = \begin{bmatrix} 1 \ 2 \ 4 \ \dots \ 2^{k-1} & & \\ & & \dots & \\ & & & 1 \ 2 \ 4 \ \dots \ 2^{k-1} \end{bmatrix} \in \mathbb{Z}_q^{n \times nk}.$$

For all $\mathbf{v} \in \mathbb{Z}_q^n$: $\mathbf{v} = \mathbf{G} \cdot bin(\mathbf{v})$, where $bin(\mathbf{v}) \in \{0,1\}^{nk}$ - the bin. rep. of \mathbf{v} .

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Define the family $\mathcal{H}: \{0,1\}^{nk} \times \{0,1\}^{nk} \to \{0,1\}^{nk}$ as $\mathcal{H} = \{h_{\mathsf{A}} \mid \mathsf{A} \in \mathbb{Z}_q^{n \times m}\}$, where for $\mathsf{A} = [\mathsf{A}_0|\mathsf{A}_1]$ with $\mathsf{A}_0, \mathsf{A}_1 \in \mathbb{Z}_q^{n \times nk}$, and $(\mathsf{u}_0, \mathsf{u}_1) \in \{0,1\}^{nk} \times \{0,1\}^{nk}$,

 $h_{\mathbf{A}}(\mathbf{u}_0,\mathbf{u}_1) = \operatorname{bin}(\mathbf{A}_0 \cdot \mathbf{u}_0 + \mathbf{A}_1 \cdot \mathbf{u}_1 \mod q) \in \{0,1\}^{nk}.$

- Note that $h_{\mathbf{A}}(\mathbf{u}_0,\mathbf{u}_1) = \mathbf{u} \Leftrightarrow \mathbf{A}_0 \cdot \mathbf{u}_0 + \mathbf{A}_1 \cdot \mathbf{u}_1 = \mathbf{G} \cdot \mathbf{u} \mod q$.
- \mathcal{H} is collision-resistant, assuming that $SIS_{n,m,q,1}^{\infty}$ is hard.

From CRHF to Merkle-tree-style Accumulators



- A Merkle tree with $2^3 = 8$ leaves, which accumulates the data blocks d_0, \ldots, d_7 into the value u at the root.
- The value at each non-leaf node is the hash of its two children.
- The brown nodes together with the bit string $(j_3, j_2, j_1) = (1, 0, 1)$ form a witness to the fact that \mathbf{d}_5 is accumulated into \mathbf{u} .



- Public input: $A; u = v_0$. Secret input: $(w_\ell, \dots, w_1), (v_\ell, \dots, v_1), (j_\ell, \dots, j_1)$.
- Prover's goal: Proving that

$$\forall i \in \{\ell - 1, \dots, 1, 0\} : \mathbf{v}_i = \begin{cases} h_{\mathsf{A}}(\mathbf{v}_{i+1}, \mathbf{w}_{i+1}), \text{ if } j_{i+1} = 0; \\ h_{\mathsf{A}}(\mathbf{w}_{i+1}, \mathbf{v}_{i+1}), \text{ if } j_{i+1} = 1. \end{cases}$$

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- Previous protocols for SIS-based hash functions ([Lyu'08,09,12], [LNSW'13]) only prove knowledge of a hidden preimage for a given image.
- ? Here, we essentially need to prove knowledge of " ℓ hidden preimage-image pairs nested along a hidden path."

Transformations

For any bit *b* and binary vector **v**, define $\overline{b} = 1 - b$ and $ext(b, \mathbf{v}) = \begin{pmatrix} \overline{b} \cdot \mathbf{v} \\ b \cdot \mathbf{v} \end{pmatrix}$.

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Observe that

$$\mathbf{v}_{i} = \begin{cases} h_{\mathbf{A}}(\mathbf{v}_{i+1}, \mathbf{w}_{i+1}), \text{ if } j_{i+1} = 0; \\ h_{\mathbf{A}}(\mathbf{w}_{i+1}, \mathbf{v}_{i+1}), \text{ if } j_{i+1} = 1. \end{cases}$$

is equivalent to:

$$\mathbf{v}_i = \overline{j}_{i+1} \cdot h_{\mathsf{A}}(\mathbf{v}_{i+1}, \mathbf{w}_{i+1}) + j_{i+1} \cdot h_{\mathsf{A}}(\mathbf{w}_{i+1}, \mathbf{v}_{i+1})$$

 $\Leftrightarrow \quad \bar{j}_{i+1} \cdot \left(\mathbf{A}_0 \cdot \mathbf{v}_{i+1} + \mathbf{A}_1 \cdot \mathbf{w}_{i+1} \right) + j_{i+1} \cdot \left(\mathbf{A}_0 \cdot \mathbf{w}_{i+1} + \mathbf{A}_1 \cdot \mathbf{v}_{i+1} \right) = \mathbf{G} \cdot \mathbf{v}_i \bmod q$

$$\Leftrightarrow \mathbf{A} \cdot \left(\begin{array}{c} \overline{j_{i+1}} \cdot \mathbf{v}_{i+1} \\ j_{i+1} \cdot \mathbf{v}_{i+1} \end{array}\right) + \mathbf{A} \cdot \left(\begin{array}{c} j_{i+1} \cdot \mathbf{w}_{i+1} \\ \overline{j_{i+1}} \cdot \mathbf{w}_{i+1} \end{array}\right) = \mathbf{G} \cdot \mathbf{v}_i \bmod q$$

 $\Leftrightarrow \quad \mathbf{A} \cdot \mathrm{ext}(j_{i+1}, \mathbf{v}_{i+1}) + \mathbf{A} \cdot \mathrm{ext}(\overline{j}_{i+1}, \mathbf{w}_{i+1}) = \mathbf{G} \cdot \mathbf{v}_i \bmod q.$

Developing Stern's Protocol

Now, the task is to prove in ZK the possession of $\{j_i, \mathbf{v}_i, \mathbf{w}_i\}_{i=1}^{\ell}$ s.t.

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Stern's protocol [Stern'96]: Main ideas

Proving in ZK the possession of a binary vector **s** with fixed Hamming weight *t*, s.t. $\mathbf{M} \cdot \mathbf{s} = \mathbf{u} \mod q$, for given (\mathbf{M}, \mathbf{u}) .

() Proving the linear equation: show that $M(s+r) = u + M \cdot r [q]$, for random r.

2 Proving the constraint of s: show that $\pi(s)$ has weight t, for random π .

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✓ The first idea can be generalized to prove all ℓ linear equations in (1) hold.
? We'd like to prove the constraints of

 $\mathbf{v}_i \in \{0,1\}^{nk}$, $\mathbf{w}_i \in \{0,1\}^{nk}$, $\mathbf{z}_i = \exp(j_i, \mathbf{v}_i)$ and $\mathbf{y}_i = \exp(\overline{j_i}, \mathbf{w}_i)$

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using random permutations. How?

Proving in ZK that $\mathbf{v}_i, \mathbf{w}_i \in \{0, 1\}^{nk}$

• Extend to $\mathbf{v}_i^*, \mathbf{w}_i^* \in \mathsf{B}_m^{nk}$, res., where $\mathsf{B}_m^{nk} := \{\mathbf{x} \in \{0,1\}^m : \mathsf{wt}(\mathbf{x}) = nk\}$.

2 Show the verifier that $\pi(\mathbf{v}_i^*), \phi(\mathbf{w}_i^*) \in \mathsf{B}_m^{nk}$, where $\pi, \phi \stackrel{\$}{\leftarrow} \mathcal{S}_m$.

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Proving in ZK that $\mathbf{z}_i^* = \text{ext}(j_i, \mathbf{v}_i^*)$ and $\mathbf{y}_i^* = \text{ext}(\overline{j}_i, \mathbf{w}_i^*)$

• For $b \in \{0,1\}$, for $\pi \in S_m$, we define the permutation $F_{b,\pi}$ that transforms vector $\mathbf{z} = \begin{pmatrix} \mathbf{z}_0 \\ \mathbf{z}_1 \end{pmatrix} \in \mathbb{Z}_q^{2m}$ to vector $F_{b,\pi}(\mathbf{z}) = \begin{pmatrix} \pi(\mathbf{z}_b) \\ \pi(\mathbf{z}_{\bar{b}}) \end{pmatrix}$.

Proving in ZK that $\mathbf{v}_i, \mathbf{w}_i \in \{0, 1\}^{nk}$

• Extend to $\mathbf{v}_i^*, \mathbf{w}_i^* \in B_m^{nk}$, res., where $B_m^{nk} := {\mathbf{x} \in {\{0,1\}}^m : wt(\mathbf{x}) = nk}$.

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2 For all b, π, ϕ , we have: $\mathbf{z}_{i}^{*} = \exp(j_{i}, \mathbf{v}_{i}^{*}) \iff F_{b,\pi}(\mathbf{z}_{i}^{*}) = \exp(j_{i} \oplus b, \pi(\mathbf{v}_{i}^{*}))$ $\mathbf{v}_{i}^{*} = \exp(\overline{j_{i}}, \mathbf{w}_{i}^{*}) \iff F_{b,\pi}(\mathbf{z}_{i}^{*}) = \exp(j_{i} \oplus b, \pi(\mathbf{v}_{i}^{*}))$

$$F_{ar{b},\phi}^* = \mathsf{ext}(ar{j_i}, \mathbf{w}_i^*) \quad \Longleftrightarrow \quad F_{ar{b},\phi}(\mathbf{y}_i^*) = \mathsf{ext}(ar{j_i} \oplus b\,,\phi(\mathbf{w}_i^*)\,).$$

 $\bigcup_{i} \oplus b$ perfectly hides j_i , if b is a random bit.

Putting everything together, in the framework of Stern's protocol, we obtain a ZK argument system for our accumulator.

- When extending the secret vectors, we also extend the public matrices **A**, **G** (by inserting zero-columns) to preserve the equations.
- To prove that the same **v**_i is "nested" in 2 equations, we use the same permutation at both places.
- Each round has communication cost $\widetilde{\mathcal{O}}(\ell \cdot n) = \widetilde{\mathcal{O}}(\log N \cdot n)$.
- Each round has soundness error 2/3, which can be made negligible by repeating $\kappa = \omega(\log n)$ times in parallel.



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3 Applications to Ring and Group Signatures

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From ZK-for-Accumulator to Ring Signatures



One more hashing layer is added: Each user picks sk = x ← {0,1}^m, and outputs pk = d = bin(A ⋅ x mod q) ∈ {0,1}^{nk}.

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- One more hashing layer is added: Each user picks sk = x ^{\$} {0,1}^m, and outputs pk = d = bin(A ⋅ x mod q) ∈ {0,1}^{nk}.
- Signing w.r.t. a ring $R = (pk_0, \dots, pk_{N-1})$ using sk = x s.t. $pk \in R$:
 - Accumulate R into u.
 - Extend the ZK-argument-for-accumulator to additionally prove knowledge of x s.t. the value at the secret leaf is bin(A · x mod q).
 - The argument is transformed into a signature via Fiat-Shamir.

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- To be trapdoor-less: Use the Naor-Yung double-encryption paradigm [NY'90] with the multi-bit version of Regev's LWE-based encryption [Reg'05].
- The argument system for the ring signature is extended to additionally prove that the two ciphertexts correspond to the same plaintext (j₁,..., j_l).

We propose:

- A Merkle-tree-style lattice-based accumulator, supported by short zero-knowledge argument.
- The first lattice-based RS with logarithmic-size signatures.
- The first lattice-based GS without trapdoors. Also the first logarithmic-size GS in the [BMW'03] model that does not use a full-fledged digital signature for generating group members' private keys.

Thank you!