

New Complexity Trade-Offs for the (Multiple) Number Field Sieve Algorithm in Non-Prime Fields

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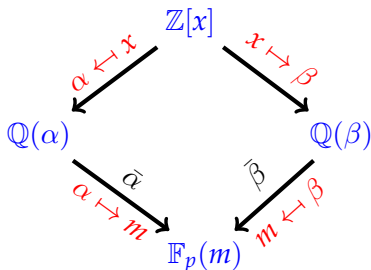
Eurocrypt 2016

NUMBER FIELD SIEVE FOR DLP IN \mathbb{F}_{p^n}

Choose $f(x), g(x) \in \mathbb{Z}[x]$, such that

$f(x) \bmod p$ and $g(x) \bmod p$, have a common irreducible factor $\varphi(x)$ of degree n over \mathbb{F}_p .

$\mathbb{Q}(\alpha) := \frac{\mathbb{Q}[x]}{\langle f(x) \rangle}$, $\mathbb{Q}(\beta) := \frac{\mathbb{Q}[x]}{\langle g(x) \rangle}$ and $\mathbb{F}_{p^n} := \frac{\mathbb{F}_p[x]}{\langle \varphi(x) \rangle} = \mathbb{F}_p(m)$, $m \in \mathbb{F}_{p^n}$.

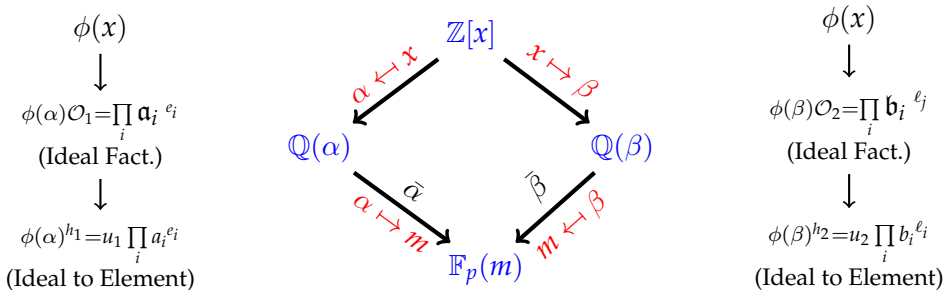


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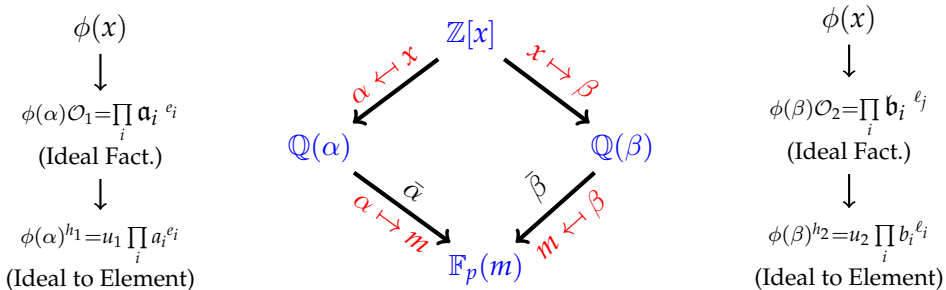


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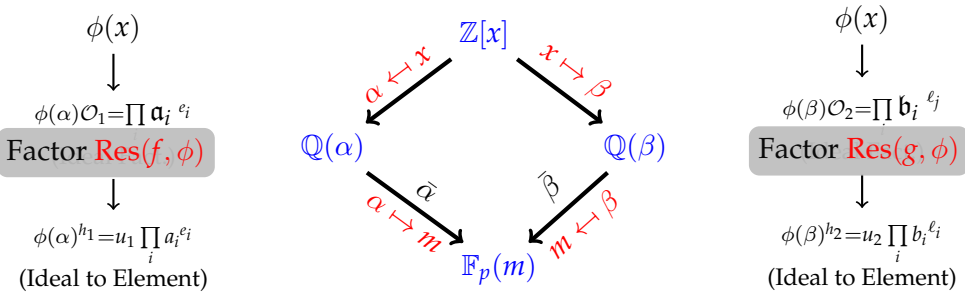
Since $\overline{\phi(\alpha)} = \overline{\phi(\beta)}$, we get a relation.

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$$\phi(x)$$



$$\phi(\alpha)\mathcal{O}_1 = \prod a_i^{e_i}$$

Factor $\text{Res}(f, \phi)$



$$\phi(\alpha)^{h_1} = u_1 \prod_i a_i^{e_i}$$

(Ideal to Element)

Kalkbrener

$$|\text{Res}(f, \phi) \times \text{Res}(g, \phi)|$$

$$\approx (\|f\|_\infty \|g\|_\infty)^{t-1} E^{(\deg f + \deg g)2/t}$$

where $t = \deg(\phi) + 1$ and

$$\text{Coefficient}(\phi) \in \left[-E^{2/t}, E^{2/t}\right]$$

$$\phi(x)$$



$$\phi(\beta)\mathcal{O}_2 = \prod b_i^{e_i}$$

Factor $\text{Res}(g, \phi)$



$$\phi(\beta)^{h_2} = u_2 \prod_i b_i^{e_i}$$

(Ideal to Element)

SOME OF THE POLYNOMIAL SELECTION METHODS

Given n and p , choose $f(x), g(x) \in \mathbb{Z}[x]$, such that

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Algorithm: Generalised Joux-Lercier(GJL)[Barbulescu et al., D. Matyukhin]

Let $r \geq n$;

repeat

- ▶ Choose $f(x)$ irr of deg $(r + 1)$ in $\mathbb{Z}[x]$, having small coefficients(= $O(\ln p)$).
- ▶ Modulo p , $f(x)$ has a factor $\varphi(x)$ of degree n .
- ▶ $g(x) = \text{LLL}(M_{\varphi,r})$

until $f(x)$ and $g(x)$ are irr over \mathbb{Z} and $\varphi(x)$ is irr over \mathbb{F}_p ;

Note: $\deg(f) = r + 1$ and $\deg(g) = r$

$$\|f\|_{\infty} = O(\ln p) \quad \text{and} \quad \|g\|_{\infty} = O\left(p^{n/(r+1)}\right)$$

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Algorithm: Conjugation Method(Conj) [Barbulescu et al.]

Let $r \geq n$;

repeat

- ▶ Choose a quadratic monic $\mu(x)$ irr in $\mathbb{Z}[x]$, having small coefficients(= $O(\ln p)$) and has a root \mathbf{t} in \mathbb{F}_p .
- ▶ Choose $g_0(x)$ and $g_1(x)$ with small coefficients such that $\deg g_1 < \deg g_0 = n$.
- ▶ Let (u, v) be such that $\mathbf{t} \equiv u/v \bmod p$.
- ▶ $g(x) = vg_0(x) + ug_1(x), f(x) = \text{Res}_y(\mu(y), g_0(x) + yg_1(x))$.

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until $f(x)$ and $g(x)$ are irr over \mathbb{Z}

$$\begin{aligned} \deg(g) &= n, \|g\|_\infty = O(\sqrt{p}) \\ \deg(f) &= 2n, \|f\|_\infty = O(\ln p) \end{aligned}$$

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- ▶ Let (u, v) be such that $\mathbf{t} \equiv u/v \bmod p$. **LLL**
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until $f(x)$ and $g(x)$ are irr over \mathbb{Z}

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BASIC IDEA

We note the following:

- ▶ Both GJL and Conjugation methods use **LLL**, directly or indirectly.
- ▶ GJL uses all the coefficients of $\varphi(x)$ for doing LLL.
- ▶ Conjugation uses only one coefficient for LLL.
- ▶ In there anything in between? The answer is YES and is given by a new polynomial selection algorithm which both subsumes and generalises to GJL and Conjugation method.
- ▶ The new polynomial selection algorithm is parametrised by a divisor d of n and a value $r \geq n/d$.

Algorithm: \mathcal{A} : A new method of polynomial selection.

Input: p, n, d (a factor of n) and $r \geq n/d$.

Output: $f(x), g(x)$ and $\varphi(x)$.

Let $k = n/d$;

repeat

Randomly choose a monic irr $A_1(x)$ with small coeff.:

$\deg A_1 = r + 1; \text{ mod } p$, $A_1(x)$ has an irr factor $A_2(x)$ of $\deg k$.

Choose monic $C_0(x)$ and $C_1(x)$: $\deg C_0 = d$ and $\deg C_1 < d$.

Define

$$f(x) = \text{Res}_y (A_1(y), C_0(x) + y C_1(x));$$

$$\varphi(x) = \text{Res}_y (A_2(y), C_0(x) + y C_1(x)) \text{ mod } p;$$

$$\psi(x) = \text{LLL}(M_{A_2, r});$$

$$g(x) = \text{Res}_y (\psi(y), C_0(x) + y C_1(x)).$$

until $f(x)$ and $g(x)$ are irr over \mathbb{Z} and $\varphi(x)$ is irr over \mathbb{F}_p ;

return $f(x), g(x)$ and $\varphi(x)$.

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Table: Parameter estimates of various polynomial selection methods($t = 2$)

Methods	$\deg f$	$\deg g$	$\ f\ _\infty$	$\ g\ _\infty$	$\ f\ _\infty \ g\ _\infty E^{(\deg f + \deg g)}$
JLSV1	n	n	$Q^{\frac{1}{2n}}$	$Q^{\frac{1}{2n}}$	$E^{2n} Q^{\frac{1}{n}}$
GJL ($r \geq n$)	$r + 1$	r	$O(\ln p)$	$Q^{\frac{1}{r+1}}$	$E^{2r+1} Q^{\frac{1}{r+1}}$
Conjugation	$2n$	n	$O(\ln p)$	$Q^{\frac{1}{2n}}$	$E^{3n} Q^{\frac{1}{2n}}$
\mathcal{A} ($d n, r \geq n/d$)	$d(r + 1)$	dr	$O(\ln p)$	$Q^{\frac{1}{d(r+1)}}$	$E^{d(2r+1)} Q^{1/(d(r+1))}$

until $f(x)$ and $g(x)$ are irr over \mathbb{Z} and $\varphi(x)$ is irr over \mathbb{F}_p ;

return $f(x), g(x)$ and $\varphi(x)$.

EXAMPLE 1

Let $n = 6$, and p is a 201-bit prime given below.

$$p = 1606938044258990275541962092341162602522202993782792835361211$$

Taking $d = 1$ and $r = n/d$, we get

$$\begin{aligned} f(x) &= x^7 + 18x^6 + 99x^5 - 107x^4 - 3470x^3 - 15630x^2 - 30664x - 23239 \\ g(x) &= 712965136783466122384156554261504665235609243446869x^6 + 16048203858903 \\ &\quad 260691766216702652575435281807544247712x^5 + 14867720774814154920358989 \\ &\quad 0852868028274077107624860184x^4 + 7240853845391439257955648357229262561 \\ &\quad 71920852986660372x^3 + 194693204195493982969795038496468458378024972218 \\ &\quad 5345772x^2 + 2718971797270235171234259793142851416923331519178675874x \\ &\quad + 1517248296800681060244076172658712224507653769252953211 \end{aligned}$$

Note that $\|g\|_\infty \approx 2^{180}$.

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$$f(x) = x^8 - x^7 - 5x^6 - 50x^5 - 181x^4 - 442x^3 - 801x^2 - 633x - 787$$

$$g(x) = 833480932500516492505935839185008193696457787x^6 + 2092593616641287655$$

$$065740032896986343580698615x^5 + 1298540899568952261791537743468335194$$

$$3188533320x^4 + 21869741590966357897620167461539967141532970622x^3 + 6$$

$$4403097224634262677273803471992671747860968564x^2 + 558647116952815842$$

$$+ 83909455665521092749502793807x + 921778354059077827252784356704871327$$

$$10722661831$$

Note that $\|g\|_\infty \approx 2^{156}$.

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Taking $d = 3$ and $r = n/d$, we get

$$\begin{aligned} f(x) &= x^9 - 4x^8 - 54x^7 - 174x^6 - 252x^5 \\ &\quad - 174x^4 - 76x^3 - 86x^2 - 96x - 42 \\ g(x) &= 2889742364508381557593312392497801006712x^6 + 83633695370646306085610 \\ &\quad 87765146274738509x^5 + 10828078806524085705506412783408772941877x^4 + \\ &\quad 41812824889730400169000397417267197701179x^3 + 149742134777532476213 \\ &\quad 31508897969482387354x^2 + 240946716989443210293442965552611305592194x \\ &\quad + 151696455655104744403073743333940426598833 \end{aligned}$$

Note that $\|g\|_\infty \approx 2^{137}$.

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$$f(x) = x^{12} + 3x^{10} + 10x^9 + 53x^8 + 112x^7 + 163x^6$$

$$g(x) = +184x^5 + 177x^4 + 166x^3 + 103x^2 + 72x + 48$$

$$g(x) = -666878138402353195498832669848x^6 - 1867253271074924746011849188889x^5$$

$$-5601759813224774238035547566667x^4 - 6668753801765210948063915265053x^3$$

$$-4268003536420067847037882226971x^2 - 6935516090029480629033212906363x$$

$$-7469013084299698984047396755556$$

Note that $\|g\|_\infty \approx 2^{102}$.

EXAMPLE 2

Let $n = 2$, and p is a 201-bit prime given below.

$$p = 1606938044258990275541962092341162602522202993782792835301611$$

Taking $d = 2$ and $r = n/d = 1$, we get

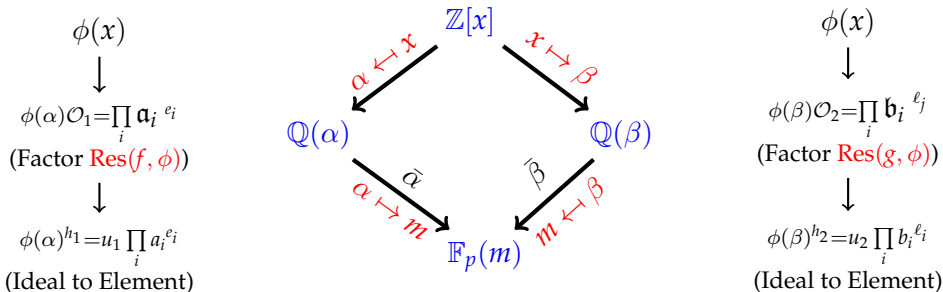
$$\begin{aligned}f(x) &= x^4 - x^3 - 2x^2 - 7x - 3 \\g(x) &= 717175561486984577278242843019x^2 + 2189435313197775056442946543188x \\&\quad + 2906610874684759633721189386207\end{aligned}$$

Note that $\|g\|_\infty \approx 2^{101}$. If we take $d = 2$ and $r = 2$, we get the following set of polynomials where $\|g\|_\infty \approx 2^{69}$.

$$\begin{aligned}f(x) &= x^6 - 4x^5 - 53x^4 - 147x^3 - 188x^2 - 157x - 92 \\g(x) &= 15087279002722300985x^4 + 124616743720753879934x^3 \\&\quad + 451785460058994237397x^2 + 749764394939964245000x \\&\quad + 567202989572349792620\end{aligned}$$

ASYMPTOTIC COMPLEXITY ANALYSIS

Recap (\mathbb{F}_Q where $Q = p^n$)



$$\mathcal{F}_1 = \left\{ \begin{array}{l} \text{prime ideals } \mathbf{a}_i \text{ in } \mathcal{O}_1, \text{ either having norm less than } B \\ \text{or lying above the prime factors of } l(f) \end{array} \right\}$$

$$\mathcal{F}_2 = \left\{ \begin{array}{l} \text{prime ideals } \mathbf{b}_j \text{ in } \mathcal{O}_2, \text{ either having norm less than } B \\ \text{or lying above the prime factors of } l(g) \end{array} \right\}$$

ASYMPTOTIC COMPLEXITY ANALYSIS

- ▶ The size of the factor basis = $B^{1+o(1)} \approx B$. **Cost of Linear Algebra** $\approx B^2$.
- ▶ Let E be such that the coefficients of ϕ are in $[-\frac{1}{2}E^{2/t}, \frac{1}{2}E^{2/t}]$ i.e. $\|\phi\|_\infty \approx E^{2/t}$. Total number of polynomial considered is E^2 , which is, in fact, the **cost of relation collection** step.

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Let π be the probability of getting a single relation.

Requirements:

- ▶ **Cost(L. A.)=Cost(R. C.)**
- ▶ **Sufficient Relations**

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Let $B = L_Q(b, c_b) = E$, for some $0 < b < 1$

ASYMPTOTIC COMPLEXITY ANALYSIS..

π is Computed using Canfield-Erdős-Pomerance theorem.

Canfield-Erdős-Pomerance (CEP) theorem

Let $\pi = \Psi(\Gamma, B)$ be the probability that a random positive integer which is at most Γ is B -smooth. Let $\Gamma = L_Q(z, \zeta)$ and $B = L_Q(b, c_b)$. Then

$$(\Psi(\Gamma, B))^{-1} = L_Q\left(z - b, (z - b)\frac{\zeta}{c_b}\right). \quad (2)$$

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We have Γ equal to,

$$\begin{aligned} |\text{Res}(f, \phi) \times \text{Res}(g, \phi)| &\approx (\|f\|_\infty \|g\|_\infty)^{t-1} \times E^{2(\deg f + \deg g)/t} \\ &= O\left(E^{2d(2r+1)/t} \times Q^{(t-1)/(d(r+1))}\right). \end{aligned}$$

ASYMPTOTIC COMPLEXITY ANALYSIS..

We have,

$$p = L_Q(a, c_p) \text{ and } B = L_Q(b, c_b) \quad (3)$$

Lemma

Let $n = kd$ for positive integers k and d . Using the expressions for p and $E (= B)$ given by (3), we obtain the following.

$$\left. \begin{aligned} E_i^{\frac{2}{t}d(2r+1)} &= L_Q \left(1 - a + b, \frac{2c_b(2r+1)}{c_p k t} \right); \\ Q^{\frac{t-1}{d(r+1)}} &= L_Q \left(a, \frac{k c_p (t-1)}{(r+1)} \right). \end{aligned} \right\} \quad (4)$$

BOUNDARY CASE

Let $p = L_Q(2/3, c_p)$ for some $0 < c_p < 1$. Equation (4) becomes

$$\left. \begin{aligned} E_t^{\frac{2}{d}(2r+1)} &= L_Q \left(\frac{1}{3} + b, \frac{2c_b(2r+1)}{c_p k t} \right); \\ Q^{\frac{t-1}{d(r+1)}} &= L_Q \left(\frac{2}{3}, \frac{k c_p (t-1)}{(r+1)} \right). \end{aligned} \right\} \quad (5)$$

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Choosing $b = 1/3$, we get

$$\Gamma = |\text{Res}(f, \phi) \times \text{Res}(g, \phi)| \approx L_Q \left(\frac{2}{3}, \frac{2c_b(2r+1)}{c_p kt} + \frac{kc_p(t-1)}{(r+1)} \right).$$

Using CEP, we get

$$\pi^{-1} = L_Q \left(\frac{1}{3}, \frac{1}{3} \left(\frac{2(2r+1)}{c_p kt} + \frac{kc_p(t-1)}{c_b(r+1)} \right) \right).$$

BOUNDARY CASE..

Since $B = \pi^{-1}$, we get

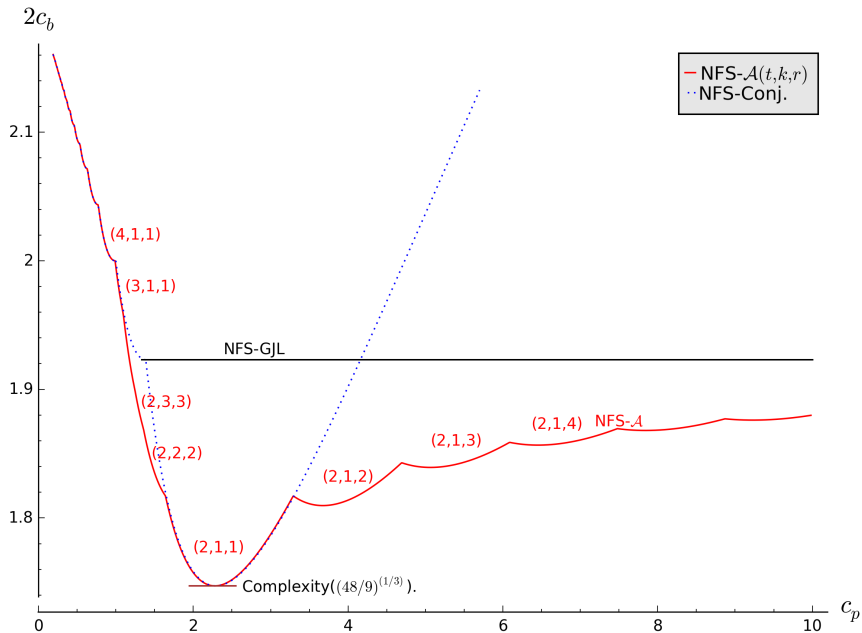
$$c_b = \frac{1}{3} \left(\frac{2(2r+1)}{c_p k t} + \frac{k c_p (t-1)}{c_b (r+1)} \right). \quad (6)$$

Solving the quadratic for c_b and choosing the positive root gives

$$c_b = \frac{2r+1}{3c_p k t} + \sqrt{\left(\frac{2r+1}{3c_p k t} \right)^2 + \frac{k c_p (t-1)}{3(r+1)}}. \quad (7)$$

Overall Complexity is given by $L_Q(1/3, 2c_b)$.

NEW COMPLEXITY TRADE-OFFS FOR NFS



NEW COMPLEXITY TRADE-OFFS FOR NFS

For $k = 1$ and $t = 2$, we have

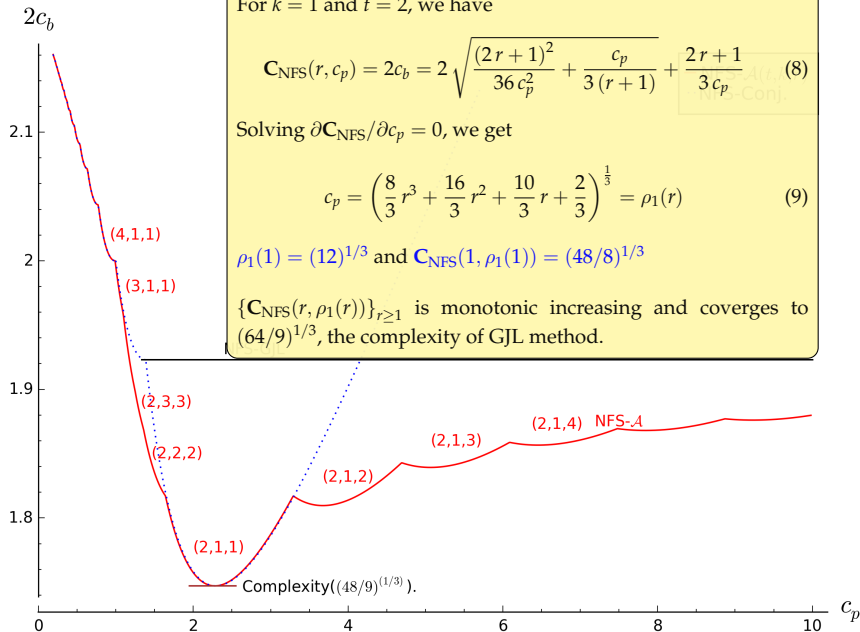
$$C_{\text{NFS}}(r, c_p) = 2c_b = 2 \sqrt{\frac{(2r+1)^2}{36c_p^2} + \frac{c_p}{3(r+1)}} + \frac{2r+1}{3c_p} \quad (8)$$

Solving $\partial C_{\text{NFS}} / \partial c_p = 0$, we get

$$c_p = \left(\frac{8}{3} r^3 + \frac{16}{3} r^2 + \frac{10}{3} r + \frac{2}{3} \right)^{\frac{1}{3}} = \rho_1(r) \quad (9)$$

$$\rho_1(1) = (12)^{1/3} \text{ and } C_{\text{NFS}}(1, \rho_1(1)) = (48/8)^{1/3}$$

$\{C_{\text{NFS}}(r, \rho_1(r))\}_{r \geq 1}$ is monotonic increasing and converges to $(64/9)^{1/3}$, the complexity of GJL method.



MULTIPLE NUMBER FIELD SIEVE ANALYSIS

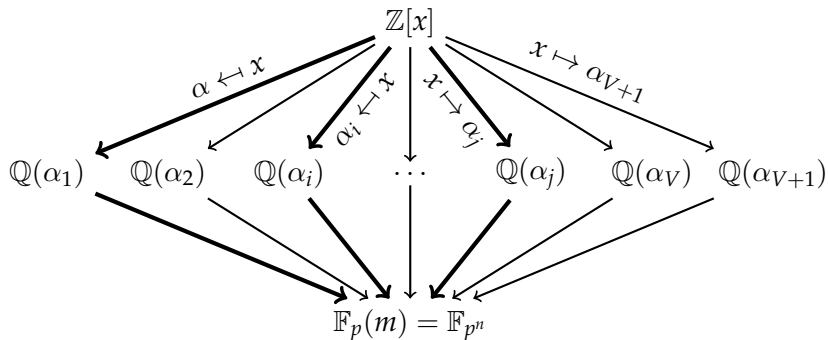


Figure: A work-flow of MNFS.

MULTIPLE NUMBER FIELD SIEVE ANALYSIS

$f_i(x) \bmod p$ should have a common irreducible factor $\varphi(x)$ of degree n over \mathbb{F}_p .

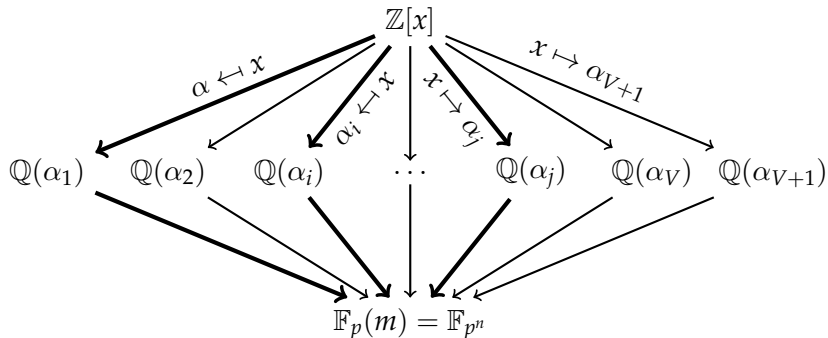
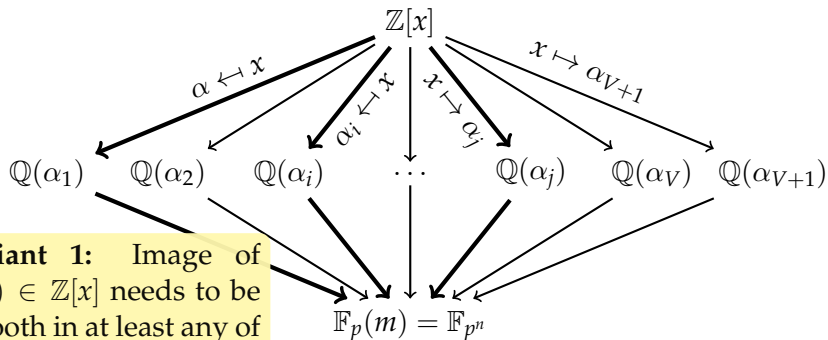


Figure: A work-flow of MNFS.

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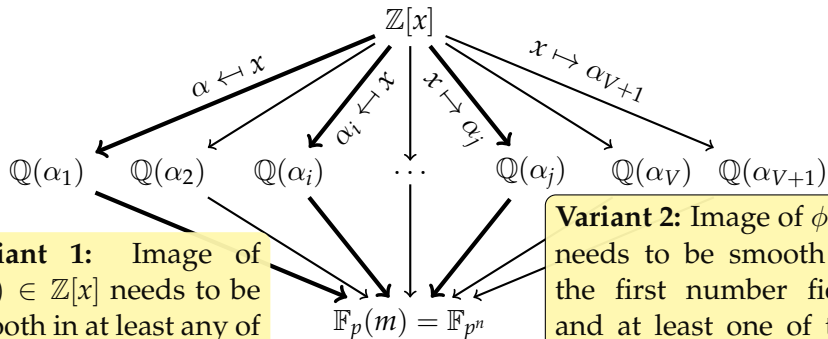


Variant 1: Image of $\phi(x) \in \mathbb{Z}[x]$ needs to be smooth in at least any of the two number fields.

Figure: A work-flow of MNFS.

MULTIPLE NUMBER FIELD SIEVE ANALYSIS

$f_i(x) \bmod p$ should have a common irreducible factor $\varphi(x)$ of degree n over \mathbb{F}_p .



Variant 1: Image of $\phi(x) \in \mathbb{Z}[x]$ needs to be smooth in at least any of the two number fields.

Variant 2: Image of $\phi(x)$ needs to be smooth in the first number field and at least one of the other V number fields.

Figure: A work-flow of MNFS.

POLYNOMIAL SELECTION IN MNFS

Recall that,

- ✓ Algorithm \mathcal{A} produces $f(x)$ and $g(x)$ of degrees $d(r+1)$ and dr respectively.
- ✓ $g(x) = \text{Res}_y(\psi(y), C_0(x) + yC_1(x))$ where $\psi(x) = \text{LLL}(M_{A_2, r})$.

- ▶ Let $g_1(x) = g(x)$.
- ▶ $g_2(x) = \text{Res}_y(\psi'(y), C_0(x) + yC_1(x))$, where $\psi'(x)$ be the polynomial defined by the second row of the matrix $\text{LLL}(M_{A_2, r})$.
- ▶ $g_i(x) = s_i g_1(x) + t_i g_2(x)$, for $i = 3, \dots, V$. Note that the coefficients s_i and t_i are of the size of \sqrt{V} .

All the g_i 's have degree dr . Asymptotically $\|\psi\|_\infty = \|\psi'\|_\infty = Q^{1/(d(r+1))}$.

ASYMPTOTIC ANALYSIS OF MNFS

- ▶ Let B and B' be the bounds on the norms of the ideals for factor basis defined by f and each of the g_i 's respectively.
- ▶ So, the size of the entire factor basis is $B + VB'$. Let $B \approx VB'$.
- ▶ Cost of linear algebra is $4B^2 \approx B^2$.
- ▶ As before, let $\|\phi\|_\infty \approx E^{2/t}$, and so the **cost of relation collection** step is E^2 .
- ▶ Let π be the probability of getting a relation.

ASYMPTOTIC ANALYSIS OF MNFS

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Requirements:

- ▶ **Cost(L. A.)=Cost(R. C.)**
- ▶ **Sufficient Relations**

$$E^2\pi = B \text{ and } B^2 = E^2 \Rightarrow E = B = \pi^{-1}$$

ASYMPTOTIC ANALYSIS OF MNFS..

Similar to NFS case, let π be the probability of getting a relation.

$$\pi = \Psi(\Gamma_1, B) \vee \Psi(\Gamma_2, B') \text{ where } \Gamma_1 = \text{Res}_x(f(x), \phi(x))$$
$$\Gamma_2 = \text{Res}_x(g_i(x), \phi(x))$$

We have all the necessary tools available to compute π i.e.,

$$\|\phi\|_\infty \approx E^{2/t}, \|f\|_\infty \approx O(\ln p) \text{ and } \|g\|_\infty \approx Q^{1/d(r+1)}$$

ASYMPTOTIC ANALYSIS OF MNFS..

Let,

$$B = L_Q(1/3, c_b) \text{ and } V = L_Q(1/3, c_v), \text{ so } B' = L_Q(1/3, c_b - c_v).$$

Assume $p = L_Q(\frac{2}{3}, c_p)$, proceeding similar to the NFS case, we get

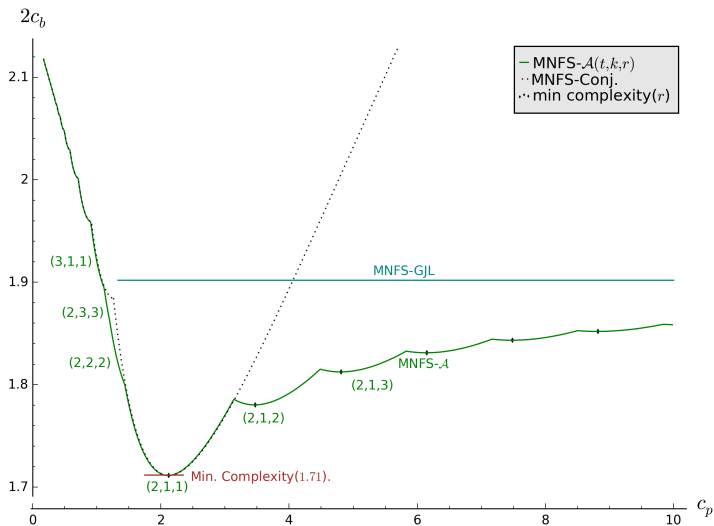
$$c_b = \frac{4r+2}{6ktp} + \sqrt{\frac{r(3r+2)}{(3ktp)^2} + \frac{c_p k(t-1)}{3(r+1)}}. \quad (10)$$

Hence the overall complexity of MNFS for the boundary case is $L_Q(\frac{1}{3}, 2c_b)$.

For $t = 2$ and $k = 1$:

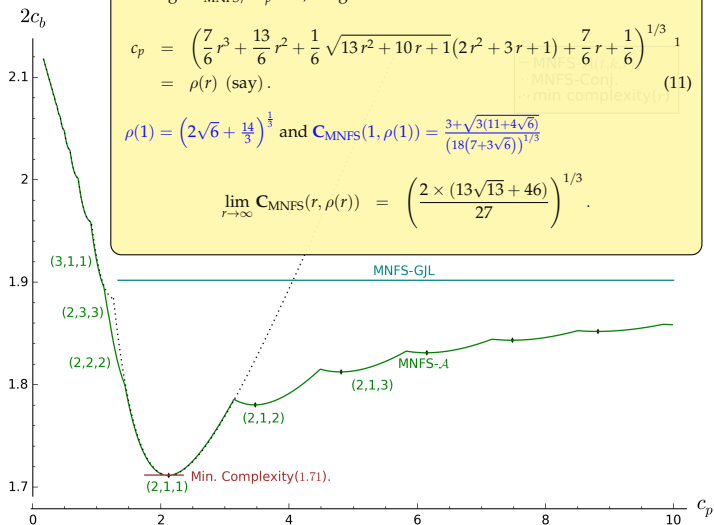
$$C_{\text{MNFS}}(c_p, r) = 2c_b = 2 \sqrt{\frac{c_p}{3(r+1)} + \frac{(3r+2)r}{36c_p^2} + \frac{2r+1}{3c_p}}.$$

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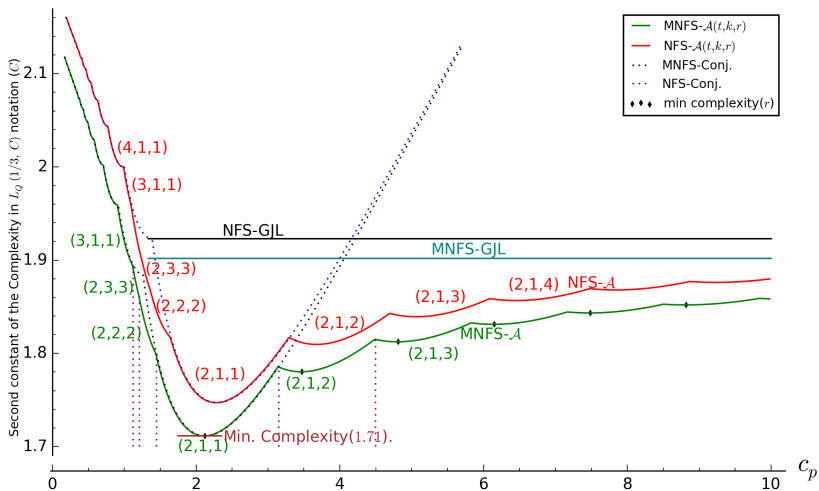
¹This equation is incorrect in the proceedings version.

NEW COMPLEXITY TRADE-OFFS FOR MNFS



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NEW COMPLEXITY TRADE-OFFS



Questions?

Thank You!