

# Efficient One-Way Secret-Key Agreement and Private Channel Coding via Polarization

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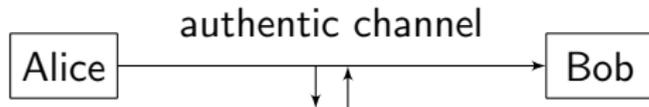
ASIACRYPT 2013, Bangalore



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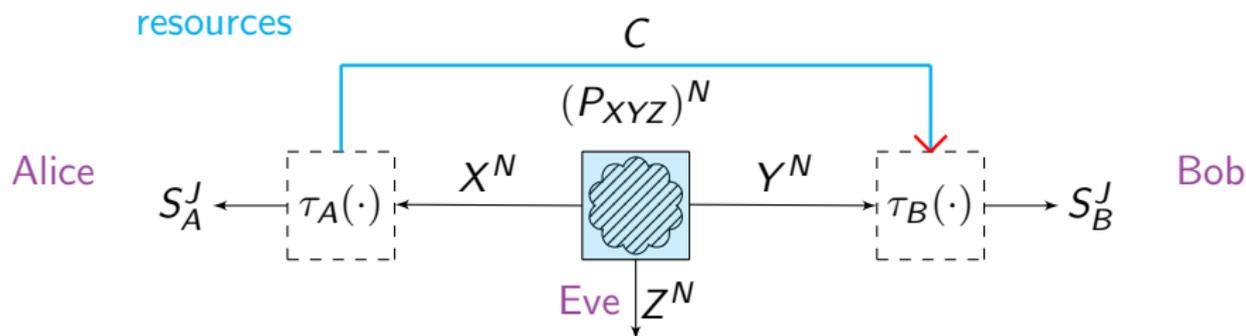
# Information Theoretic Cryptography

**Goal:** information-theoretically secure private communication



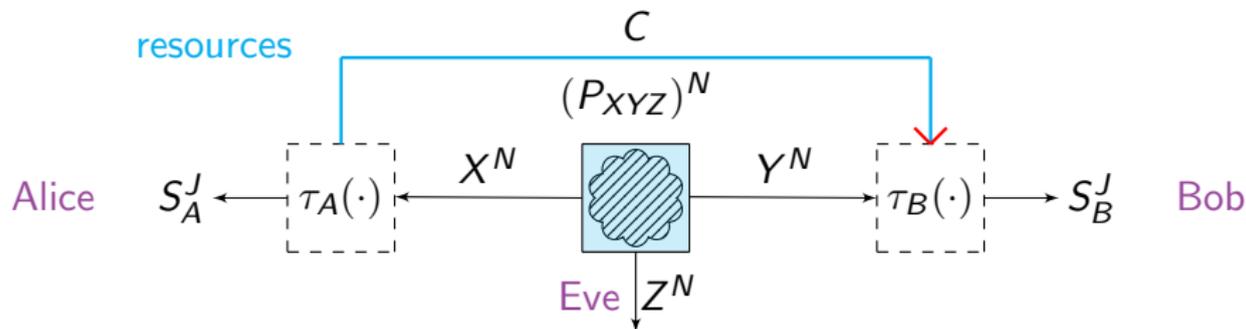
- impossible [Shannon'48]
- possible when assuming correlated randomness [Maurer'93]
  - one-way secret key agreement
  - private channel coding over a wiretap channel

# One-Way Secret-Key Agreement (SKA)



- reliability  $\lim_{J \rightarrow \infty} \Pr [S_A^J \neq S_B^J] = 0$
  - (strong) secrecy  $\lim_{N \rightarrow \infty} \|P_{S_A^J, Z^N, C} - \bar{P}_{S_A^J} \times P_{Z^N, C}\|_1 = 0$
- uniformly distributed

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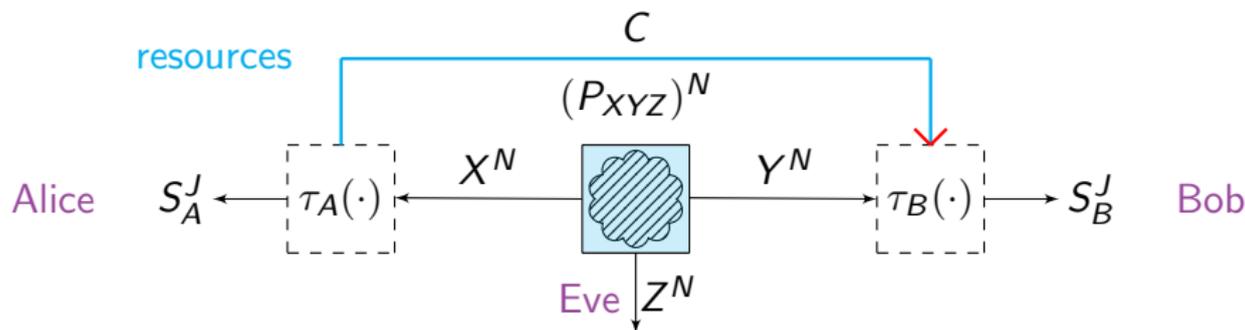


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- (strong) secrecy  $\lim_{N \rightarrow \infty} \|P_{S_A^J, Z^N, C} - \bar{P}_{S_A^J} \times P_{Z^N, C}\|_1 = 0$

Historically

- (weak) secrecy  $\lim_{N \rightarrow \infty} \frac{1}{N} I(S_A^J; Z^N, C) = 0$  ← insufficient [Maurer&Wolf'00]
- (strong) secrecy  $\lim_{N \rightarrow \infty} I(S_A^J; Z^N, C) = 0$
- $\lim_{N \rightarrow \infty} \delta(P_{S_A^J}, \bar{P}_{S_A^J}) = 0$

# One-Way Secret-Key Agreement (SKA)

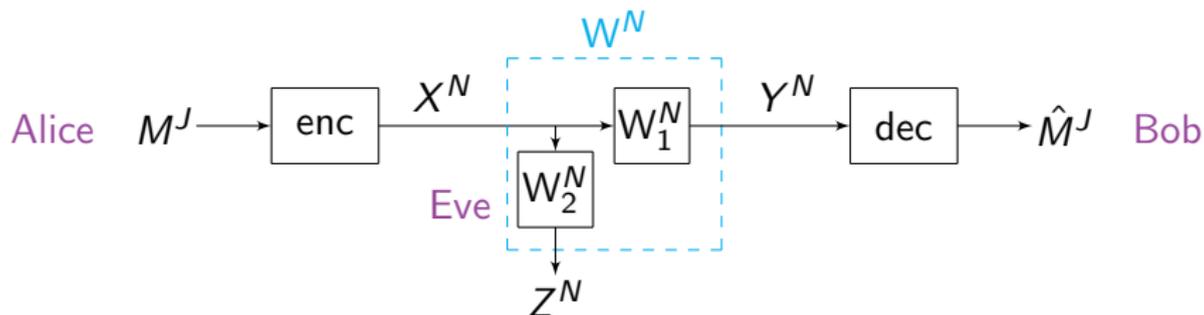


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**Thm [Csiszár&Körner'78]:** One-way secret-key rate

$$S_{\rightarrow}(X; Y|Z) = \begin{cases} \max_{P_{U,V}} & H(U|Z, V) - H(U|Y, V) \\ \text{s.t.} & V \circ - U \circ - X \circ - (Y, Z), \\ & |\mathcal{V}| \leq |\mathcal{X}|, |\mathcal{U}| \leq |\mathcal{X}|^2. \end{cases}$$

# Private Channel Coding (PCC)



- reliability  $\lim_{J \rightarrow \infty} \Pr [M^J \neq \hat{M}^J] = 0$
- (strong) secrecy  $\lim_{N \rightarrow \infty} \|P_{M^J, Z^N, C} - P_{M^J} \times P_{Z^N, C}\|_1 = 0$

**Thm[Csiszár&Körner'78]:** Secrecy capacity

$$C_s = \begin{cases} \max_{P_{V,X}} & H(V|Z) - H(V|Y) \\ \text{s.t.} & V \circ - X \circ - (Y, Z), \\ & |\mathcal{V}| \leq |\mathcal{X}|. \end{cases}$$

# Efficient, Optimal Protocols

- efficient  $\neq$  practically efficient  essentially linear complexity
- optimal = achieve the highest possible rate
- (practically) efficient one-way secret-key agreement
  - only weak secrecy, degradability assumptions [Abbe'12]
  - shared key, degradability assumptions [Chou et al.'13]
- (practically) efficient private channel coding
  - only weak secrecy, degradability assumptions [MahdaviFar&Vardy'11]
  - binary symmetric wiretap channels (degradability?!) [Bellare et al.'12]
  - degraded wiretap channels [Sasoglu&Vardy'13]

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getting rid of these assumptions

## Polarization Phenomenon - Polar Codes

- let  $(X^N, Y^N) \sim (P_{X,Y})^N$  polar transform  
let  $U^N = G_N X^N$ , where  $G_N := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}^{\otimes \log N}$
- For  $\epsilon \in (0, 1)$ , define a **high**- and a **low**-entropy set

$$\mathcal{R}_\epsilon^N(X|Y) := \left\{ i \in [N] : H(U_i | U^{i-1}, Y^N) \geq 1 - \epsilon \right\}$$

$$\mathcal{D}_\epsilon^N(X|Y) := \left\{ i \in [N] : H(U_i | U^{i-1}, Y^N) \leq \epsilon \right\}$$

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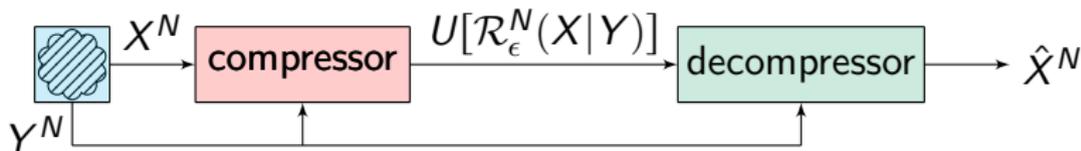
**Thm[Arıkan'09]:** Polarization Phenomenon: For any  $\epsilon \in (0, 1)$

$$\lim_{N \rightarrow \infty} \frac{|\mathcal{R}_\epsilon^N(X|Y)|}{N} = H(X|Y) \quad \text{and} \quad \lim_{N \rightarrow \infty} \frac{|\mathcal{D}_\epsilon^N(X|Y)|}{N} = 1 - H(X|Y)$$

- Heart of polar codes (for source and channel coding)

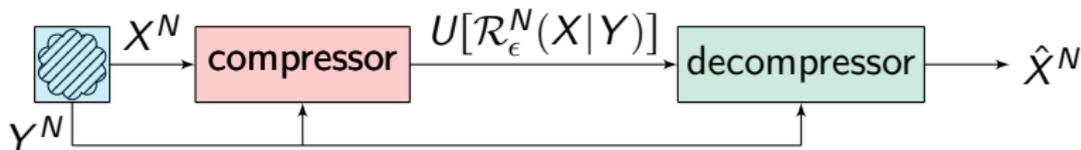
# Optimal Lossless Source Coding Using Polar Codes

**Task:** compress  $X^N$  w.r.t. side information  $Y^N$



# Optimal Lossless Source Coding Using Polar Codes

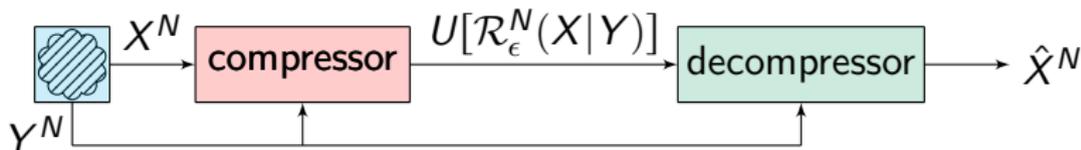
**Task:** compress  $X^N$  w.r.t. side information  $Y^N$



- compression
  - $U^N = G_N X^N$
  - take only  $U[\mathcal{R}_\epsilon^N(X|Y)]$
- decompression
  - Likelihood estimation using side information  $Y^N$

# Optimal Lossless Source Coding Using Polar Codes

**Task:** compress  $X^N$  w.r.t. side information  $Y^N$



- **compression**

- $U^N = G_N X^N$

- take only  $U[\mathcal{R}_\epsilon^N(X|Y)]$

$O(N \log N)$

- **decompression**

- Likelihood estimation using side information  $Y^N$

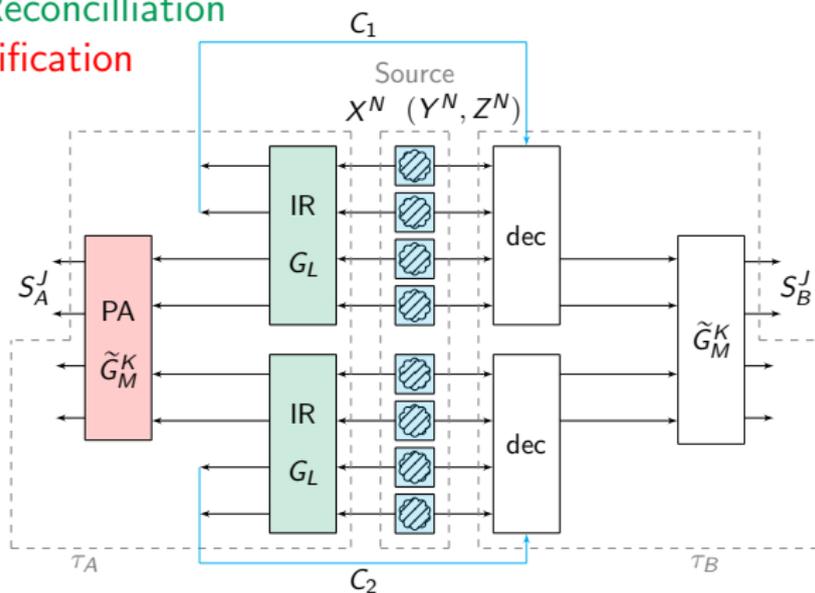
- reliable [Arkan'10]  $\Pr[X^N \neq \hat{X}^N] = O(2^{-N^\beta})$  for  $\beta < \frac{1}{2}$

- optimal [Slepian&Wolf'73],  $H(X|Y) = \lim_{N \rightarrow \infty} \frac{1}{N} |\mathcal{R}_\epsilon^N(X|Y)|$

# One-Way Secret-Key Agreement Protocol (M=2, L=4)

Information Reconciliation

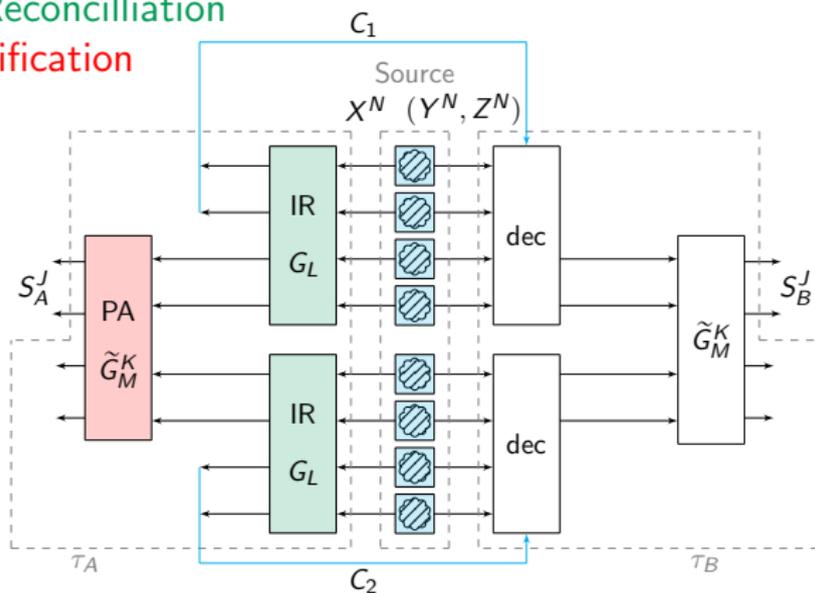
Privacy Amplification



# One-Way Secret-Key Agreement Protocol (M=2, L=4)

Information Reconciliation

Privacy Amplification



- no degradability assumptions
- no shared key needed

# One-Way Secret-Key Agreement Characteristics

For any  $\beta < \frac{1}{2}$

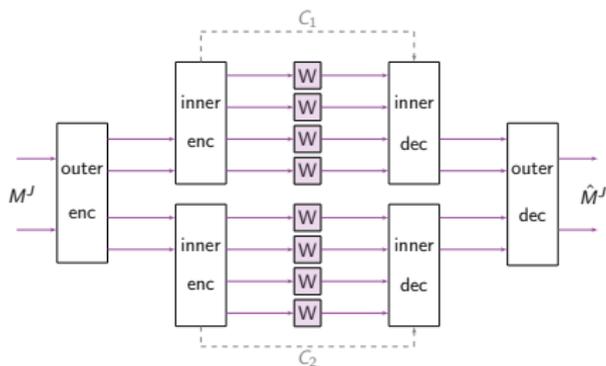
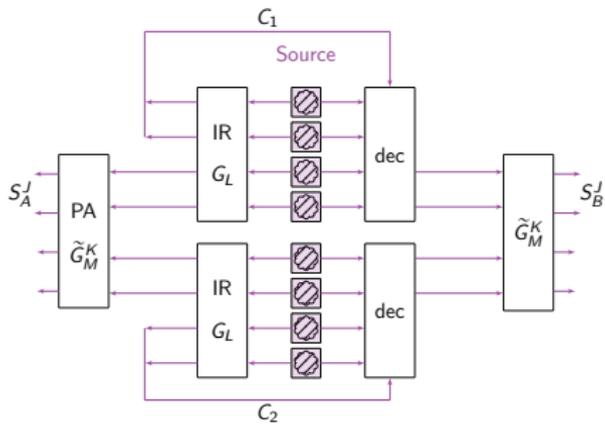
- **Reliability:**  $\Pr[S_A^J \neq S_B^J] = O(M2^{-L^\beta})$
- **Secrecy:**  $\left\| P_{S_A^J, Z^N, C} - \bar{P}_{S_A^J} \times P_{Z^N, C} \right\|_1 = O\left(\sqrt{N}2^{-\frac{N^\beta}{2}}\right)$
- **Rate:**  $R := \frac{J}{N} \geq \max\left\{0, H(X|Z) - H(X|Y) - \frac{o(N)}{N}\right\}$
- **Complexity:**  $O(N \log N)$

$M = \#$  inner blocks

$L = \#$  inputs per inner block

$N = ML$  (blocklength)

# Private Channel Coding ( $L = 4, M = 2$ )



Secret-key agreement



Private channel coding

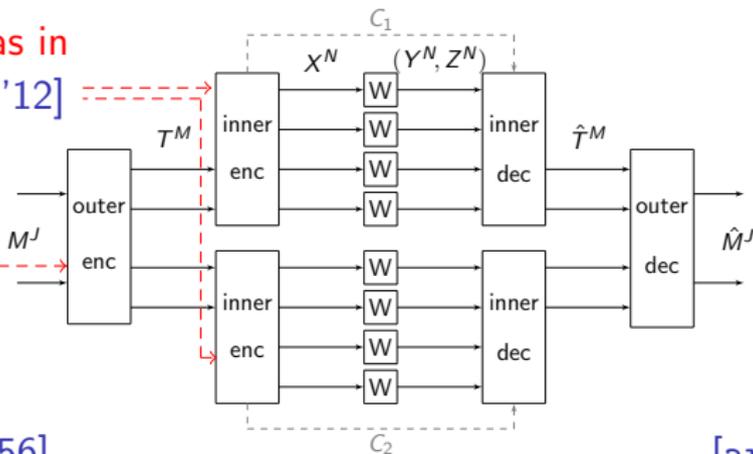


Wiretap channel

# Private Channel Coding ( $L = 4, M = 2$ )

generate bits as in  
[Honda&Yam.'12]

generate bits  
as in  
[arXiv:1205.3756]



concept  
introduced in  
[arXiv:1205.3756]

- Run secret-key agreement scheme in reverse
- Mimic redundant bits
- Approx. of the secret-key agreement scenario (shaping) →

## Private Channel Coding: Characteristics

For any  $\beta < \frac{1}{2}$

- **Reliability:**  $\Pr[M^J \neq \hat{M}^J] = O(M2^{-L^\beta})$
- **Secrecy:**  $\|P_{M^J, Z^N, C} - \bar{P}_{M^J} \times P_{Z^N, C}\|_1 = O\left(\sqrt{N}2^{-\frac{N^\beta}{2}}\right)$
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- **Complexity:**  $O(N \log N)$

$M = \#$  inner blocks

$L = \#$  inputs per inner block

$N = ML$  (blocklength)

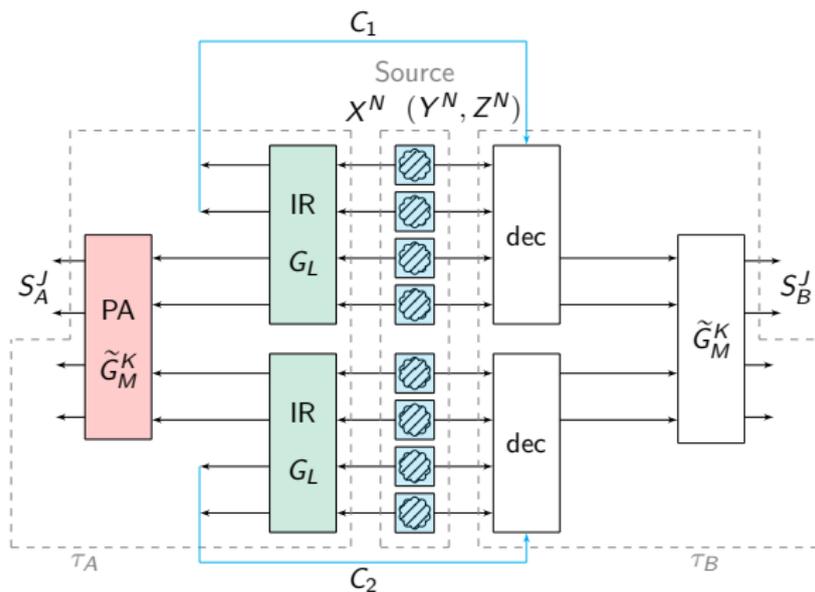
## Summary

arXiv:1304.3658

### One-way secret-key agreement and private channel coding

- at the optimal rate
- strong secrecy
- $O(N \log N)$  computational complexity
- no degradability assumptions
- no preshared key

# Code Construction



Find index set at IR and PA layer

- IR: can be done in linear time [Tal&Vardy'11]
- PA: not fully solved yet