



Stream Ciphers: Dead or Alive?

Adi Shamir
Computer Science Dept
The Weizmann Institute
Israel

ASIACRYPT 2004

At the RSA 2004

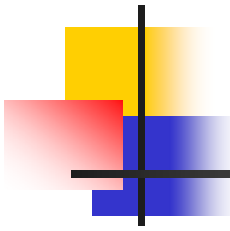
Cryptographers' Panel:

- I reviewed recent developments in cryptography
- I mentioned that stream ciphers are in trouble
- I predicted their death as a long term trend
- I was almost lynched as a result...

In this talk I would like to:



- Explain the past:
 - Why they were popular in the past
 - Why they became an endangered species
- Review the present:
 - What kind of stream ciphers are being proposed
- Predict the future:
 - Review promising new research directions
 - List some action items for our community



The Standard classification of Cryptosystems:

- Secret key algorithms
 - stream ciphers
 - block ciphers
- Public key algorithms
 - ...



What **is** a stream cipher?

- The general idea is quite clear
- However, some schemes do not fall neatly into one of the two categories
- I tried to find precise definitions in some standard sources



What **is** a stream cipher?

- Menezes et al. Handbook of Applied Cryptography:

Stream ciphers encrypt individual characters (usually binary digits) of a plaintext one at a time, using an encryption transformation which varies with time. By contrast, **block ciphers** tend to simultaneously encrypt groups of characters of a plaintext message using a fixed encryption transformation.



What **is** a stream cipher?

- R. Rueppel survey on stream ciphers:

Block ciphers operate with a fixed transformation on large blocks of plaintext data; **stream ciphers** operate with a time-varying transformation on individual plaintext digits.



What **is** a stream cipher?

- RSA Labs FAQ:

While block ciphers operate on large blocks of data, stream ciphers typically operate on smaller units of plaintext, usually bits. The encryption of any particular plaintext with a block cipher will result in the same ciphertext when the same key is used. With a stream cipher, the transformation of these smaller plaintext units will vary, depending on when they are encountered during the encryption process.



What **is** a stream cipher?

- **Mentioned:** the small plaintext **size** and transformation **variability**
- **Ignored:** The **separation** between key processing and plaintext processing, and the **simplicity** of the plaintext processing
- In fact, pure block and stream ciphers are two concrete **points** on a continuous design space, and we increasingly use mixed modes



The Decline of Stream Ciphers:

- Is a **clear trend** over the last 30 years
- Is driven by basic **technological changes**
- Is **unlikely to be reversed** in the near future



The Best Evidence for the Crisis:

- I looked at the list of **session names** at ASIACRYPT 2004:
 - Block ciphers
 - Public key encryption
 - Number theory and algebra
 - Secure computation
 - Hash functions
 - Key management
 - Identification
 - XL-algorithms
 - Digital signatures
 - Public key cryptanalysis
 - Symmetric key cryptanalysis
 - Protocols



Possible Reasons to Prefer Block Ciphers Today:

- Availability of **standardized** schemes
- More **versatile** building block
- Better **understanding** of security issues
- Better **covered** by textbooks and courses



Possible Reasons to Prefer Stream Ciphers today:

- A smaller **footprint** in low-end hardware implementations
- Higher encryption **speed**
- Smaller input/output **delay**
- Simpler **protocols** for handling small or variable sized inputs



However, They Are of Diminishing Importance:

- Hardware gets **larger** AND **cheaper**
- More applications can be handled in **software**
- Encryption is typically not a **speed** bottleneck
- The input/output **delay** is usually insignificant
- Standardized packets make it **unnecessary** to handle small or variable sized inputs



I Believe That Stream Ciphers Will Become Niche Products:

- In large **hardware** implementations, speed is not a problem (block cipher counter mode can be easily parallelized to get arbitrarily high speed)
- In **software** implementations on a PC, footprint size is not a problem (program size and memory are plentiful)



I Believe That Stream Ciphers Will Become Niche Products:

- I believe that stream ciphers will remain **competitive** in two types of applications:
 - a hardware oriented scheme with exceptionally small footprint (gates, power consumption, etc)
 - a software oriented scheme with exceptionally high speed



Others Seem to Agree:

- The **State of the Art in Stream Ciphers** (SASC) workshop was held in October 2004 in Belgium
- Steve Babbage from Vodafone presented a paper: **Stream Ciphers – What Does the Industry Want?**
- His main conclusion:
 - “stream ciphers are useful for:
 - Very high speed: multigigabit per second communication links (e.g., routers)
 - Efficient/compact in constrained devices (e.g., RFID’s)”



Types of Security Applications:

- **Data storage**: block oriented
- **Data transmission**: stream oriented, but:
 - Until the early 20-th century: messages written on paper were also block oriented
 - From the late 20-th century: computer, internet, satellite, VOIP use packets which are block oriented
 - There was a short period in the middle of the 20-th century when transmissions were stream oriented, using Morse or teletype codes



The Early Years of Cryptography

- Until the 1920's, essentially all the deployed cryptosystems were based on **paper and pencil** techniques, replacing and shuffling letters
- Schemes based on letter **substitutions** (with multiple alphabets) looked like stream ciphers
- Schemes based on letter **permutations** (moving them around a grid) looked like block ciphers
- Since most schemes used combinations of the two techniques to get reasonable security they should be classified as **block ciphers**.



Block Ciphers Were Preferred Since:

- They were more general
- They were stronger
- Memory was cheap and plentiful (paper)
- Both stored and transmitted messages (letters) were in a form of a block of data, which was available all at once.



The 1920's revolution

- **Radio** was introduced, revolutionizing long range military and commercial mobile communication
- Transmitted data changed from **parallel to serial**
- The weakness of paper and pencil schemes was exposed in books such as "**The Black Chamber**"
- Rotor-based **electromechanical** encryption devices were developed and adopted all over the world
- Memory on these devices became very expensive: they could keep an **internal state** but not **user data**



The 1920's revolution

- This created a window of opportunity for stream ciphers, since input letters had to be dealt with **serially** during the encryption process
- The move to stream ciphers was supported by the development of the **one time pad** as the first theoretically unbreakable scheme



The Age of the Stream cipher

- Until the 1960's, everyone was using stream ciphers: Military and diplomatic services, spy organizations, telecommunication providers, major companies, etc.
- The schemes were either a one time pad, or a related scheme based on the electromechanical generation of pseudo randomness
- Mainframe computers were available, but were used more in cryptanalysis than in cryptography.



The Age of the Stream cipher

- In the 1960's, transistor-based electronic encryption devices started to appear
- The new devices also had very little memory, so stream ciphers continued to be much more popular than block ciphers
- A new design element was adopted: the linear feedback shift register
- It was supported by a well developed mathematical theory

The Emergence of the Modern Block Cipher in the late 20-th Century:



- Computers, satellites, telephony started to use block oriented **packets**
- VLSI-based electronic gates, memories and microprocessors started to appear, relaxing speed and circuit size constraints
- Block ciphers became easy to construct
- Military services continued to prefer stream ciphers, but new commercial applications demanded block ciphers

Some Implementors Switched to Block Ciphers at an Early Stage:



- Smart cards initially had very weak processors
- Hardware DES coprocessors were added
- Today's 16 and 32 bit smart card processors can easily handle any standard block cipher

Some Implementors are replacing stream cipher by block ciphers:



- Cellular telephony (GSM):
 - 2-nd generation: A5/x stream cipher
 - 3-rd generation: Kasumi block cipher
- Wireless networking (Wi-Fi):
 - 802.11a/b: RC4 stream cipher
 - 802.11i: AES block cipher



Some Holdouts:

- Bluetooth: E0 stream cipher.
- Very limited footprint size and power consumption in bluetooth applications
- Development stopped by Ericsson



Some Future Applications:

- RFID's are extensively tested (in Korea and elsewhere).
- I believe it will be a very important and successful technology in the next decade
- The security aspects of RFID had not been standardized so far
- I expect them to use stream ciphers rather than block ciphers



Why Stream Ciphers Seem to be Inherently Weaker Than Block Ciphers

- Attacks on block ciphers (like **differential attacks**) are applicable also to stream ciphers
- Attacks on stream ciphers (like **correlation attacks**) are not applicable to block ciphers
- **Algebraic attacks** seem to be more useful against stream ciphers (especially LFSR based)



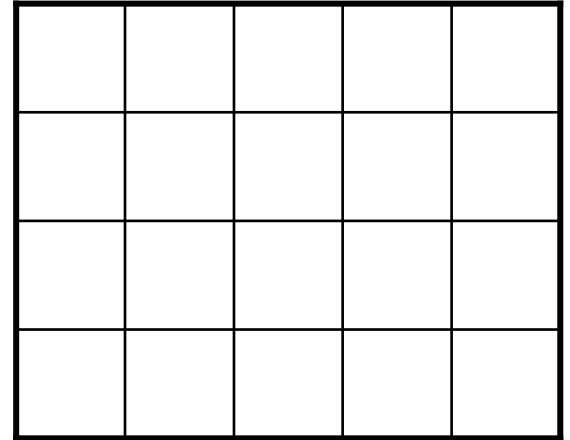
Why Stream Ciphers Seem to be Inherently Weaker Than Block Ciphers

- **Guess and set** attacks on stream ciphers can recover either the key or any state
- Generic **time/memory tradeoff attacks** on stream ciphers ($TM^2D^2=N^2$) are stronger than the corresponding attacks on block ciphers ($TM^2=N^2$) since they can exploit the availability of a lot of data

Why Stream Ciphers Seem to be Inherently Weaker Than Block Ciphers

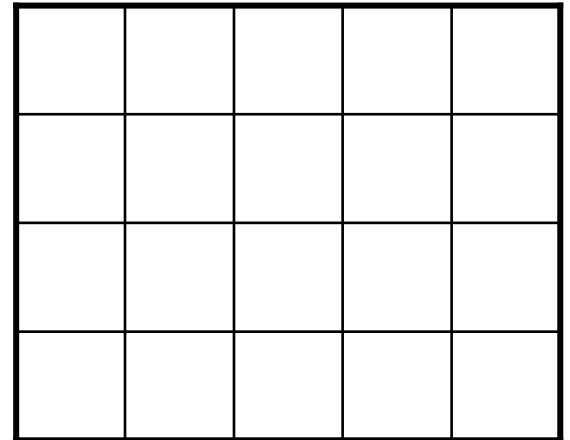
- Stream ciphers:

time



- Block ciphers:

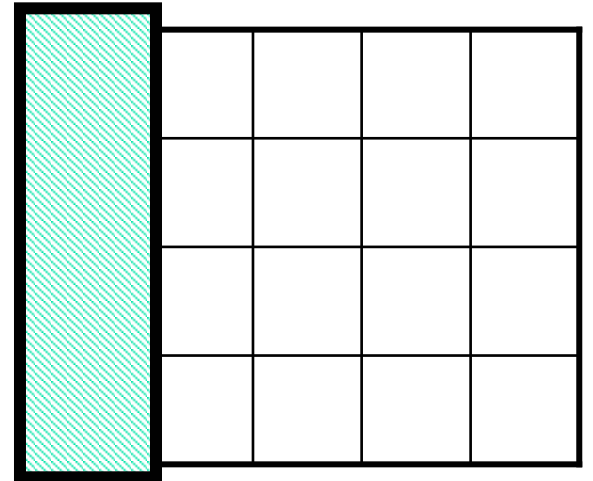
time



Why Stream Ciphers Seem to be Inherently Weaker Than Block Ciphers

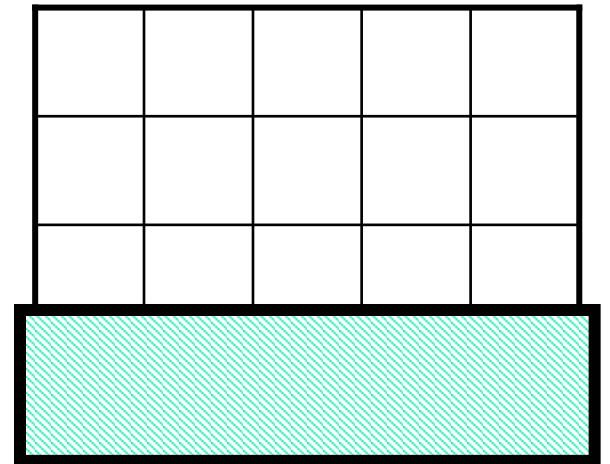
- Stream ciphers:

time



- Block ciphers:

time





Security Assessment of Ciphers:

- Given a new block cipher, we have a good set of mature tools to assess its security
- Given a new stream cipher, its vulnerability is more likely to be one-of-a-kind
- Given a new public key cipher, it is likely to be insecure...



An Important Consideration: Can Governments Break Our Codes?

My personal unsubstantiated belief:

- In **public key**: academia is slightly ahead of governments
- In **block ciphers**: governments are slightly ahead of academia
- In **stream ciphers**: governments are way ahead of academia



Recent Trends in Stream Ciphers:

- Use 32/64 bit words as elements
- Use native microprocessor instructions
- Use elements from block ciphers
- Avoid linear structures
- Mix algebraic domains



General Advice on the Design of New Stream Ciphers:

- Use simple minimal designs
- Study new primitives and generic attacks
- Design a two level key structure
- Avoid classical techniques which may be well studied by secret organizations
- Add rate reduction/security enhancement mechanisms into your design



The relative importance of Attacks:

- Degree of linearity tests (??)
- Distinguishing attacks (?)
- Statistical tests
- Guess and set attacks
- Side channel attacks (!)
- Rekeying attacks (!)
- Correlation attacks (!!)
- Algebraic attacks (!!)



Other Types of Stream Ciphers:

- Authenticated stream ciphers
- Self synchronizing stream ciphers



The Importance of Standards

- The standardization of DES in 1976 was a crucial precondition for the development of civilian applications of Cryptography
- The de-facto standardization of RSA made it well known and trusted
- The fact that no stream cipher is currently standardized is a huge burden on the field
- Soon: ISO standard for MUGI, SNOW 2



Summary

- I believe that stream ciphers are in an unavoidable long term decline
- I believe that stream ciphers will survive in some niche applications
- I believe that we urgently need some standard schemes of particular types
- I believe that our state of knowledge and level of confidence in stream ciphers is weak