

# Equivalent Key Recovery Attacks against HMAC and NMAC with Whirlpool Reduced to 7 Rounds

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**Abstract.** A main contribution of this paper is an improved analysis against HMAC instantiating with reduced Whirlpool. It recovers equivalent keys, which are often denoted as  $K_{in}$  and  $K_{out}$ , of HMAC with 7-round Whirlpool, while the previous best attack can work only for 6 rounds. Our approach is applying the meet-in-the-middle (MITM) attack on AES to recover MAC keys of Whirlpool. Several techniques are proposed to bypass different attack scenarios between a block cipher and a MAC, *e.g.*, the chosen plaintext model of the MITM attacks on AES cannot be used for HMAC-Whirlpool. Besides, a larger state size and different key schedule designs of Whirlpool leave us a lot of room to study. As a result, equivalent keys of HMAC with 7-round Whirlpool are recovered with a complexity of (Data, Time, Memory) =  $(2^{481.7}, 2^{482.3}, 2^{481})$ .

**Keywords:** HMAC, NMAC, Whirlpool, universal forgery, key recovery

## 1 Introduction

A cryptographic hash function is a public algorithm that compresses arbitrary long messages into short and random digests. An important application is a Message Authentication Code (MAC). A MAC is a keyed algorithm that takes a secret key and an arbitrary long message as input, and produces a short random string as the tag. The tag provides the authenticity and the integrity for the original messages. In this paper, we mainly study the security of one dedicated hash-based MAC, HMAC based on the hash function Whirlpool.

Whirlpool was proposed by Barreto and Rijmen in 2000 [1]. Its security was evaluated and approved by NESSIE [2]. Moreover, Whirlpool has been

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internationally standardized by ISO/IEC, and practically implemented in various cryptographic software libraries such as `Crypto++` [3]. Many cryptanalysis results have been published on `Whirlpool` [4–9]. Particularly, collision attack and preimage attacks on `Whirlpool` hash function reach 5 and 6 rounds out of 10 rounds respectively [5, 9]. Moreover, a distinguisher on full-round `Whirlpool` compression function was found in [5]. Although it is an interesting and beautiful attack, the security impact of such a distinguisher seems limited. Thus `Whirlpool` still stands secure after receiving more than 10 years consecutive analysis from worldwide cryptanalysts.

The HMAC scheme was designed by Bellare *et al.* in 1996 [10], and becomes the most well-known hash-based MAC scheme. HMAC has been standardized by many international standardization organizations including ANSI, IETF, ISO and NIST. Also it has been widely deployed in various practical protocols including SSL, TLS and IPsec. Cryptanalysts have been continuously evaluating the security of both HMAC and HMAC based on dedicated hash functions. Generic attacks on HMAC include [11–14]. The attacks on HMAC with popular dedicated hash functions can be found in [15–21].

Due to the important roles of `Whirlpool` and HMAC in current cryptography as briefly described above, the security evaluation of HMAC-`Whirlpool` is important and interesting. Very recently in October 2013, ENISA (The European Union Agency for Network and Information Security) published a report for recommending cryptographic algorithms [22]. In particular, the report recommends (for future applications in industry) three dedicated hash functions with `Whirlpool` included, and two hash-based MAC with HMAC included. So it can be expected that HMAC-`Whirlpool` is going to have more applications in industry in the coming years, Thus HMAC-`Whirlpool` should receive a careful security evaluation from the cryptographic community in advance.

The first cryptanalysis of HMAC-`Whirlpool` was published by Guo *et al.* [23], which is also the only algorithmic security evaluation on HMAC-`Whirlpool` so far to our best knowledge. They proposed key recovery attacks on HMAC with `Whirlpool` reduced to 5 and 6 rounds out of 10 rounds.

**Our contributions.** This paper presents improved analysis on HMAC-`Whirlpool`. HMAC, from the original key  $K$ , derives two keys  $K_{in}$  and  $K_{out}$  which are usually referred to as *equivalent* keys. If both  $K_{in}$  and  $K_{out}$  are recovered, an adversary can perform the universal forgery attack. In this paper, we present an equivalent key recovery attack on HMAC with 7-round `Whirlpool`, which extends the number of attacked rounds by one round compared with previous work [23].

The design of `Whirlpool` is based on the AES block cipher. Our idea is applying the recent meet-in-the-middle (MITM) attack on 7-round AES [24, 25] to recover MAC keys of 7-round `Whirlpool`. The analysis seems quite simple at a short glance, however, such an extension is not trivial at all due to differences of attack scenarios between a block cipher and a MAC. For example, MITM attacks on AES work under the chosen plaintext model, while the input message for the outer function of HMAC is a hash digest of the inner function, which cannot

**Table 1.** Summarization of key-recovery results on **HMAC-Whirlpool**

Key type	#Rounds	Complexity			Reference
		Time	Memory	Data	
Original Key	5	$2^{402}$	$2^{384}$	$2^{384}$	[23]
	6	$2^{496}$	$2^{448}$	$2^{384}$	[23]
Equivalent Keys	5	$2^{448}$	$2^{377}$	$2^{321}$	[23]
	6	$2^{451}$	$2^{448}$	$2^{384}$	[23]
	<b>7</b>	<b><math>2^{482.3}</math></b>	<b><math>2^{481}</math></b>	<b><math>2^{481.7}</math></b>	<b>Ours</b>

be chosen by the attacker. The output of **AES** block cipher, *i.e.* ciphertext, can be observed by the attacker, while the output of the intermediate compression function in **HMAC** cannot be observed. Besides, a larger state size and different key schedule designs of **Whirlpool** leave us a lot of room to study.

A summary of our results and previous *key recovery* attacks is given in Table 1. Our attack can also be applied to **NMAC-Whirlpool**. It is interesting to recall that the current best collision and preimage attacks on **Whirlpool** hash function reach only 6 rounds. Such a phenomenon is not common particularly for key recovery attacks. For example, key recovery attack on **HMAC-SHA-1** reaches only 34 rounds out of 80 rounds [18], while collision attack on **SHA-1** hash function reaches full rounds [26] and preimage attack reaches 57 rounds [27].

Throughout this paper, we target **HMAC-Whirlpool** that uses a 512-bit key and produces full size, *i.e.*, 512-bit, tags. Targeting this case has theoretical interests since **HMAC** is defined to use a key of any bit size. Moreover, **HMAC** instantiating with a key size of one block of an underlying hash function (512 bits for **Whirlpool**) and with full size tags is utilized in cryptographic protocols. One example is **HMAC**-based Extract-and-Expand Key Derivation Function [28].

Besides **HMAC**, we briefly discuss other MACs. For **Prefix-MAC** with 7-round **Whirlpool**, we can also recover the equivalent key. On the other hand, for **LPMAC** with 7-round **Whirlpool**, we cannot recover the equivalent key. Nevertheless, we modify the attack procedure and manage to launch universal forgery attack.

**Organization of the rest paper.** Section 2 describes previous related works. Section 3 presents an overview of our attack on **HMAC** with 7-round **Whirlpool**. Section 4 describes the details of our attacks and shows the application to other MAC. Finally we conclude the paper in Section 5.

## 2 Related Work

### 2.1 Whirlpool Hash Function

**Whirlpool** [1] takes any message with less than  $2^{256}$  bits as input, and outputs a 512-bit hash value. It adopts the Merkle-Damgård structure. The input message

$M$  is padded into a multiple of 512 bits. The 256-bit binary expression of the bit length  $\ell$  is padded according to the MD-strengthening, *i.e.*  $M\|1\|0^*\|\ell$ . The padded message is divided into 512-bit blocks  $M_0\|M_1\|\cdots\|M_{N-1}$ . Let  $H_n$  be a 512-bit chaining variable. First, an initial value IV is assigned to  $H_0$ . Then,  $H_{n+1} \leftarrow \mathcal{CF}(H_n, M_n)$  is computed for  $n = 0, 1, \dots, N-1$ , where  $\mathcal{CF}$  is a compression function.  $H_N$  is produced as the hash value of  $M$ .

The compression function  $\mathcal{CF}$  consists of an AES-based block-cipher  $E_k$  with the Miyaguchi-Preneel mode, which takes a 512-bit chaining variable  $H_i$  as a key and a 512-bit message block  $M_i$  as a plaintext. The output of  $\mathcal{CF}$  is computed by  $H_{n+1} \leftarrow E_{H_i}(M_i) \oplus M_i \oplus H_i$ . Inside the block cipher  $E_k$ , an internal state is represented by an  $8 * 8$  byte array. At first,  $H_i$  is assigned to the key value  $k_{-1}$ , and  $M_i$  is assigned to the plaintext  $s_{-1}$ . Then, the whitening operation with the master key  $k_{-1}$  is performed and the result is stored into a variable  $s_0$ , *i.e.*  $s_0 \leftarrow k_{-1} \oplus s_{-1}$ . The cipher generates ten 512-bit subkeys  $k_0, k_1, \dots, k_9$  from  $k_{-1}$  by the key schedule function, and updates  $s_0$  through ten rounds with generated subkeys. The computation of the block cipher output  $s_{10}$  is as follows:

$$\begin{aligned} \text{Key Schedule: } & k_n \leftarrow \text{AC} \circ \text{MR} \circ \text{SC} \circ \text{SB}(k_{n-1}), \text{ for } n = 0, 1, \dots, 9, \\ \text{Data Processing: } & s_n \leftarrow \text{AK} \circ \text{MR} \circ \text{SC} \circ \text{SB}(s_{n-1}), \text{ for } n = 0, 1, \dots, 9, \end{aligned}$$

where the details of each operation are as follows.

- SubBytes (SB): apply the AES S-Box to each byte.
- ShiftColumns (SC): cyclically shift the  $j$ -th column downwards by  $j$  bytes.
- MixRows (MR): multiply each row of the state matrix by an MDS matrix.
- AddRoundConstant (AC): XOR a 512-bit pre-specified constant.
- AddRoundKey (AK): XOR a 512-bit subkey  $k_n$ .

We sometimes swap the order of MR and AC for the key schedule and MR and AK for the data processing. In this case, the AK operation XORs  $\text{MR}^{-1}(k_n)$ . Hereafter, we denote  $\text{MR}^{-1}(k_n)$  by  $u_n$ .

**Notations.** The byte position in the  $i$ -th row and the  $j$ -th column of state  $S$  is denoted by two-dimensional integers  $S[i][j]$ , where  $0 \leq i, j \leq 7$ . We denote the initial state for round  $n$  by  $x_n$ . Internal states immediately after SB, SC and MR in round  $n$  are denoted by  $y_n, z_n$  and  $w_n$ , respectively. We often denote several byte positions by using comma, *e.g.*, 8 bytes in the top row of state  $S$  are denoted by  $S[0][0, 1, \dots, 7]$ . We also use the following notations:

- $S[\text{row}(i)]$ : 8 byte-positions in the  $i$ -th row of state  $S$ ,
- $S[\text{SC}(\text{row}(i))]$ : 8 byte-positions which SC is applied to  $S[\text{row}(i)]$ ,
- $S[\text{SC}^{-1}(\text{row}(i))]$ : 8 byte-positions which  $\text{SC}^{-1}$  is applied to  $S[\text{row}(i)]$ .

We use  $\mathcal{H}$  to denote a hash function, and  $\mathcal{CF}(ch, M)$  to denote a compression function. For the ease of notation,  $M$  may be of multiple blocks, then  $\mathcal{CF}$  acts the same as hash function  $\mathcal{H}$  except no padding.

## 2.2 Hash Based MACs

**HMAC and NMAC.** HMAC and NMAC [29] are hash-based MACs proposed by Bellare *et al.* [30, 10]. NMAC requires two keys  $K_{in}$  and  $K_{out}$  while HMAC requires only a single key  $K$ , and generates two equivalent keys by processing  $K \oplus \text{ipad}$  and  $K \oplus \text{opad}$ , where  $\text{opad}$  and  $\text{ipad}$  are two public constants. Let  $\mathcal{H}$  be a hash function. Also, let  $\mathcal{H}(\text{IV}, \cdot)$  represent that the initial value of  $\mathcal{H}$  is  $\text{IV}$ . On an input message  $M$ , NMAC and HMAC computes the tag value as

$$\begin{aligned} \text{NMAC-}\mathcal{H}_{K_{in}, K_{out}}(M) &= \mathcal{H}(K_{out}, \mathcal{H}(K_{in}, M)), \\ \text{HMAC-}\mathcal{H}_K(M) &= \mathcal{H}(K \oplus \text{opad} \parallel \mathcal{H}(K \oplus \text{ipad} \parallel M)). \end{aligned}$$

**Prefix-MAC and LPMAC.** Prefix-MAC is a classical MAC construction [31], which computes a tag of message  $M$  by  $\mathcal{H}(K \parallel M)$ . It is known to be vulnerable against the length extension attack, *i.e.*, from a given pair of message and tag  $(M, t)$ , the attacker can forge the tag for  $M \parallel x$  for any  $x$ . However, no generic attack is known in terms of the key recovery. We suppose that the prefix  $K$  is processed independently of  $M$ , *i.e.*, the tag is computed by  $\mathcal{H}(K \parallel \text{pad} \parallel M)$  in which  $\text{pad}$  is a padding string and the size of  $K \parallel \text{pad}$  is a multiple of the block size. LPMAC is a strengthened version of Prefix-MAC [31] so that the length-extension attack is prevented. Let  $\ell$  be the input message size. A tag is computed by  $\mathcal{H}(K \parallel \ell \parallel \text{pad} \parallel M)$ , where the size of  $K \parallel \ell \parallel \text{pad}$  is a multiple of the block size.

## 2.3 Generic Internal State Recovery Attack on HMAC

Leurent *et al.* [14] provide a generic inner state recovery attack against HMAC/NMAC with time complexity  $2^{3n/4}$ . The result is that,  $h_{in} = \mathcal{CF}(\text{IV}, K \parallel M_p^{2^{n/4}})$  can be recovered, with  $M_p^{2^{n/4}}$  satisfying the padding rule, and of about  $2^{n/4}$  blocks. We can then recover inner state  $\mathcal{CF}(\text{IV}, K \parallel M_p^1)$ , with  $M_p^1$  of one block. This can be done through detecting colliding tags, *i.e.*, we randomly choose  $2^{n/2}$  messages  $x$ ,  $x'$  independently so that the two messages  $M_p^{2^{n/4}} \parallel x$  and  $x'$  follow the padding rules, and query their tags, denoted as  $t$  and  $t'$ , respectively. When  $t$  and  $t'$  collide, the chance that they collide at inner hash, *i.e.*,  $h'_{in} = \mathcal{CF}(\text{IV}, K \parallel x') = \mathcal{CF}(\text{IV}, K \parallel M_p^{2^{n/4}} \parallel x) = \mathcal{CF}(h_{in}, x)$  is roughly  $1/3$ .

## 2.4 6-Round Key Recovery Attack on HMAC-Whirlpool

The first cryptanalysis of HMAC-Whirlpool was published by Guo *et al.* [23], which showed a key recovery attack on HMAC reduced to 6 rounds. They first apply the generic internal state recovery in Sect. 2.3, and then find a message pair satisfying a particular differential characteristic. The fact that the pair satisfies the characteristic reduces a possible differential patterns of internal states. This allows an attacker to exhaustively guess internal state values and differences, and the correct guess is identified by the MITM attack. On one hand, the MITM attack in [23] is a classic type which divides the computation into two

independent sub-functions, *e.g.*, [32–35]. On the other hand, the MITM attacks on AES later explained in Sect. 2.5 are based on a different framework,

## 2.5 Meet-In-The-Middle Attack on AES

The unified view of a series of MITM attacks on AES [36, 24, 25, 37] is well-summarized in [24]. The concept of  $\delta$ -set takes an important role of the attack.

**Definition 1 ( $\delta$ -set [38]).** *Let a  $\delta$ -set be a set of 256 states that are all different in one state bytes (the active byte) and all equal in the other state bytes (the inactive bytes).*

The number of active bytes in the  $\delta$ -set is often increased, *e.g.*, [39]. It is easy to extend the concept of the  $\delta$ -set to deal with multi-active bytes.

**Definition 2 ( $n$ - $\delta$ -set).** *Let an  $n$ - $\delta$ -set be a set of  $(256)^n$  states that are all different in  $n$  state bytes and all equal in the other state bytes.*

The MITM attack divides the cipher into three parts:

$$s_0 \longrightarrow (s_{n_1} \longrightarrow s_{n_2}) \longrightarrow s_{\text{last}},$$

so that the middle part can satisfy a certain property, which is later verified with the partial encryption for the first part and the partial decryption for the last part. The general attack consists of the following five successive steps:

### Precomputation phase

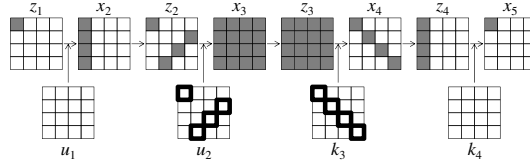
1. A lookup table  $T$  is built which contains all the possible sequences constructed from a  $\delta$ -set such that one message verifies the property for the middle part.

### Online phase

2. Through the oracle query, candidates of the plaintext-ciphertext pair that satisfies the target property for the middle part are searched.
3. For each candidate pair, subkeys for the first part that achieves the property for the middle part are guessed, and then the internal state value at the beginning of the middle part,  $s_{n_1}$ , is modified so that a  $\delta$ -set containing a state value verifying the desired property is constructed.
4. With the guessed subkeys for the first part, the  $\delta$ -set at  $s_{n_1}$  is decrypted to obtain the corresponding plaintexts. Those plaintexts are queried to the encryption oracle, and the corresponding ciphertexts are obtained.
5. Finally, subkeys for the last part are guessed, and the obtained ciphertexts associated by the  $\delta$ -set at  $s_{n_1}$  are partially decrypted through the last part and tested whether it belongs to  $T$ .

If the analyzed pair is the right one and the guessed subkeys are right ones, the result of Step 5 belongs to  $T$  with probability 1, and the key is recovered. Because of Step 4, the attack is a chosen plaintext attack.

Previous work consider a function  $f : \{0, 1\}^8 \rightarrow \{0, 1\}^8$  that maps the active byte value of a  $\delta$ -set to another byte of the state after four rounds,  $s_{n_2}$ . Gilbert



**Fig. 1.** 4-round differential characteristic for MITM attacks on AES [25]. Grey bytes are active. Subkey bytes represented by bold square are the ones used as parameters.

and Minier [37] found that an ordered sequence  $(f(0), \dots, f(255))$  for AES four rounds are parameterized only by 25 bytes, which takes significantly smaller space, *i.e.*,  $2^{25 \cdot 8} = 2^{200}$ , than the theoretically possible space,  $2^{8 \cdot 2^8} = 2^{2048}$ . Considering the difference  $(f(0) - f(0), f(1) - f(0), \dots, f(255) - f(0))$ , the attack is improved so that the function is parameterized only by 24 bytes. Also note that the effect of the filtering with  $T$  is strong enough even with a fraction of  $(f(0), \dots, f(255))$ . On average, storing  $(f(0), \dots, f(31))$  is enough to make the key space sufficiently small. Such optimization was discussed in [40].

Dunkelman *et al.* introduced the four-round truncated differential characteristic in the middle part [25], which is shown in Fig. 1. The characteristic is parameterized only by 16 bytes, *i.e.*, the states  $x_3$  and  $z_3$  can only take  $2^{32}$  differences each so that the number of solutions for these two states is  $2^{64}$ . Then, at most 4 bytes in  $u_2$  and 4 bytes in  $k_3$  can affect the characteristic. Hence, the number of paired state values  $(z_1, z'_1)$  satisfying the characteristic is at most  $2^{128}$ . For each of such  $(z_1, z'_1)$ , the attacker constructs the  $\delta$ -set at  $x_1$  and can compute the 1-byte difference at  $x_5$ . They also introduced the concept of the multiset rather than the ordered sequence. This enables the attacker to avoid guessing 1 subkey byte at the online phase, *i.e.*, the partial decryption at the online phase becomes from  $x_1$  to plaintext instead of from  $z_1$  to plaintext, which avoids guessing 1 subkey byte to bypass the SB operation between  $x_1$  and  $z_1$ . Because the theoretically possible numbers of multisets with 256 elements is  $2^{467.6}$ , the  $2^{128}$  possible patterns for AES is significantly small, which is enough to filter out all the noise.

The latest attack by Derbez *et al.* [24] is an improvement of the attack in [25]. They found that the four-round characteristic in Fig. 1 is parameterized only by 10 bytes, *i.e.*, the number of solutions for the characteristic is at most  $2^{80}$ . They also consider the multi-active bytes at  $z_1$  and multi-differential characteristics for the middle four-round characteristic so that the active byte positions of  $z_1$  and  $x_5$  can take any of  $\binom{4}{2}$  patterns and  $\binom{4}{1}$  patterns respectively.

### 3 Overview of Our Attacks

This section gives a high level overview of our attacks on HMAC with 7-round Whirlpool. The HMAC computation structure in our attack is shown in the upper half of Fig. 2. Our goal is to recover the two equivalent keys  $K_{in}$  and  $K_{out}$ .

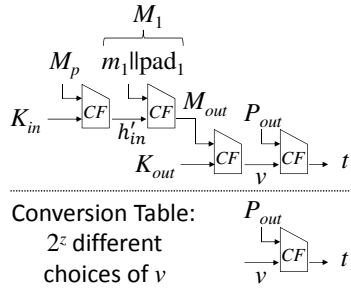


Fig. 2. Overall strategy

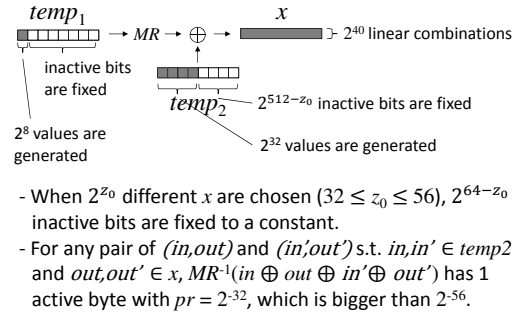


Fig. 3. Optimized choice for table inputs

In the mode-of-operation level, we follow the approach of the previous work [23]. Namely, with the generic attack [14], we first find a single-block message  $M_p$  whose compression function output  $h'_{in}$  is recovered. The knowledge of  $h'_{in}$ , for any message of the form  $M_p || x$ , allows the attacker to compute the input value for the outer hash function,  $M_{out}$ . We then recover the output value of the first compression function in the outer hash function,  $v$ , from the observed tag value,  $t$ . By iterating this procedure, the attacker collects many pairs of  $(M_{out}, v)$ . We then recover  $E_{K_{out}}$  by using our compression function analysis approach which will be explained in the next paragraph. Once  $E_{K_{out}}$  is recovered,  $K_{in}$  can be recovered by the same analysis as  $K_{out}$ .

In the compression function level, to recover  $K_{out}$  or  $K_{in}$ , we plan to extend the recent 7-round MITM attack on AES [24] to attack HMAC-Whirlpool. More precisely, the first message block in the inner and the outer hash functions are computed as  $E_{K_{in}}(M) \oplus M \oplus K_{in}$  and  $E_{K_{out}}(M) \oplus M \oplus K_{out}$  respectively, where  $E_{K_{in}}$  and  $E_{K_{out}}$  are two AES-like block ciphers. The target values  $K_{in}$  and  $K_{out}$  are used as the key for the AES-like block ciphers. Therefore, by regarding the input value of the inner/outer hash functions as the plaintext and by regarding the output value as the ciphertext,  $K_{in}$  and  $K_{out}$  should be recovered by applying the MITM attack on AES. However, immediately we find that such an extension is not trivial at all because of the following differences in the attack scenarios.

- The control ability of the attacker on choosing plaintexts is different. Particularly for the outer hash function in HMAC-Whirlpool, the input message is the inner hash digest, and thus cannot be chosen by the attacker.
- The knowledge of the ciphertext is different. In HMAC Whirlpool, the output is the intermediate hash values, which are confidential to the attacker.
- The state size is different. Whirlpool has a larger state size than AES. This yields both advantages and disadvantages for the attack.
- The key schedule function is different. Several research, in the context of hash function, show that the similar diffusions between the key schedule and the data processing of Whirlpool is easier to analyze than AES [23, 5, 9].



### 3.1 On Recovering Ciphertexts: Generating Conversion Table

Recall Fig. 2. To recover  $K_{out}$ , the corresponding value of  $v$  is necessary. However, due to the additional padding block  $P_{out}$ , the attacker cannot observe  $v$ .

We solve this problem by generating a conversion table denoted by  $T_c$ . Note that  $P_{out}$  is a fixed value because the input message length to the outer hash function is always the same (512 bits). We then precompute  $t \leftarrow \mathcal{CF}(v, P_{out})$  for many choices of  $v$ , and store pairs of  $(v, t)$  as a look-up table. Later at online phase,  $v$  can be recovered by matching the observed  $t$  and the elements in  $T_c$ .

Suppose  $2^z$  pairs of  $(v, t)$  are generated to construct  $T_c$ . Then, for any  $M_{out}$ , we can recover the  $v$  from  $t$  with probability about  $2^{z-512}$ .

### 3.2 On Choosing Plaintexts

$M_{out}$  can be computed but cannot be chosen by the attacker. Therefore, the chosen plaintext attack cannot be used to recover  $K_{out}$  by analyzing  $\mathcal{CF}(K_{out}, M_{out})$ . This is crucial to apply the previous MITM attack on AES [24].

We solve this problem by converting the attack into the known plaintext attack. In the previous procedure in Sect. 2.5, queries are made in Step 2 and Step 4. Regarding Step 2, the previous work used the structure, while we generate more plaintexts at random so that the difference is satisfied probabilistically.

Converting Step 4 to the known plaintext attack is much harder. The previous attack on AES uses 256 plaintexts for the  $\delta$ -set, and the corresponding multiset is queried. Without the chosen plaintext model, we cannot guarantee that all 256 plaintexts for the  $\delta$ -set are known. To solve this problem, we use an  $n$ - $\delta$ -set with a relatively big  $n$  instead of a  $\delta$ -set. Because  $n$  is big, we can obtain sufficient information even only with a fraction of the  $2^{8n}$  plaintexts.

### 3.3 On Large State Size

A large state size is easier to analyze than a small state size. For example, guessing one row of a subkey requires only 1/8 of the entire key space for Whirlpool while it is 1/4 for AES. Then, we have more choices of attack parameters *e.g.*, the number of active bytes in the  $n$ - $\delta$ -set, the number of active rows in the input and output, *etc.* As a side-effect, identifying the best parameters becomes harder. We optimize the attack with exhaustively trying all parameters by programming.

The theoretical number of multisets for 256 elements is  $2^{467.6}$ , which is unlikely to occur on AES-128 where the attack complexity is below  $2^{128}$ . However, the key space of HMAC-Whirlpool is  $2^{512}$ , hence we sometimes cannot filter out all the noise. This problem can be solved by the weak key schedule of Whirlpool.

### 3.4 On Key Schedule

Recall the attack on AES in Fig. 1. For AES, 4-byte values in  $u_2$  and  $k_3$  do not reveal any other subkey byte in  $u_1$  and  $k_4$ , respectively. However, for Whirlpool,

8 bytes for each inverse diagonal in  $u_2[\text{SR}(\text{row}(i))]$  and 8 bytes for each diagonal in  $k_3[\text{SR}^{-1}(\text{row}(i))]$  reveal 8 bytes of  $u_1[\text{row}(i)]$  and 8 bytes of  $k_4[\text{row}(i)]$ , respectively. This means, we do not need previous smart ideas of [36, 25], *i.e.*, when we generate a look-up table for the middle 4-round characteristic for each parameter, we can compute rows of  $x_5$  and rows of  $x_1$ . Hence, each element in the look-up table  $T_\delta$  can be an ordered sequence of values instead of a multiset of differences. This also gives us another advantage that the theoretically possible numbers of ordered sequences is much larger than the multisets. As explained before,  $2^{467.6}$  possibilities of multisets are not enough to analyze `Whirlpool` with a 512-bit key. By using the ordered sequence, this problem can be avoided.

### 3.5 Overview of the Attack

We detect that using the 4-round differential characteristic  $12 \rightarrow 24 \rightarrow 64 \rightarrow 8 \rightarrow 1$  for the middle 4 rounds optimizes the attack on `Whirlpool`. The entire differential characteristic is constructed by extending this middle 4-round characteristic by 1 round in backwards and 2 rounds in forwards. The number of solutions to satisfy the characteristic is  $2^{370}$ . We then construct a 12- $\delta$ -set for each of all possible  $2^{370}$  pairs, and store them as a look-up table  $T_\delta$ .

#### Precomputation phase

0. A conversion table  $T_c$ , containing  $2^z$  pairs of  $(v, t)$  is generated. Hereafter, for any  $M_{out}$ , we can recover the  $v$  from  $t$  with probability  $2^{z-512}$ .
1. A lookup table  $T_\delta$  is built which contains all possible  $2^{370}$  pairs satisfying the middle 4-round characteristic. For each of  $2^{370}$  pairs, a 12- $\delta$ -set is constructed at  $s_{n_1}$ , and an ordered sequence of  $2^{96}$  values  $f(0), \dots, f(2^{96} - 1)$  that map 12 bytes values at  $s_{n-1}$  to 1 byte value at  $s_{n-2}$  is stored.

#### Online phase

2.  $2^Q$  random messages of the form  $M_p || M_1$  are queried, and only the ones whose  $t$  belongs to  $T_c$  are picked. The number of expected  $(M_{out}, v)$  is  $2^{Q+(z-512)}$ . To find candidate pairs satisfying the middle 4-round differential characteristic, we make about  $2^{2(Q+(z-512))-1}$  pairs of  $(M_{out}, v)$ , and only pick the ones satisfying the input and output differential forms.
3. For each candidate pair, subkeys for the first part and also for the last part that satisfy the middle 4-round characteristic are exhaustively guessed.
4. The internal state value at the beginning of the middle part,  $s_{n_1}$ , is modified so that a 12- $\delta$ -set is constructed. With the guessed subkeys for the first part, the 12- $\delta$ -set at  $s_{n_1}$  is decrypted to the plaintext  $M_{out}$ . If  $M_{out}$  belongs to the ones generated at Step 2, the corresponding  $v$  is recovered and  $(M_{out}, v)$  is stored as data associated with a fraction of the 12- $\delta$ -set.
5. Finally, with the guessed subkeys for the last part, each of the obtained  $v$  is partially decrypted and tested whether it belongs to  $T_\delta$ . Note that the obtained  $(p, v)$  at online is the data associated with only a fraction of the 12- $\delta$ -set. Which of  $f(0), \dots, f(2^{96} - 1)$  is used for the match cannot be fixed, and thus we cannot properly sort the elements in  $T_\delta$  so that the match can be done only with 1 computation. We later solve this problem in Sect 4.

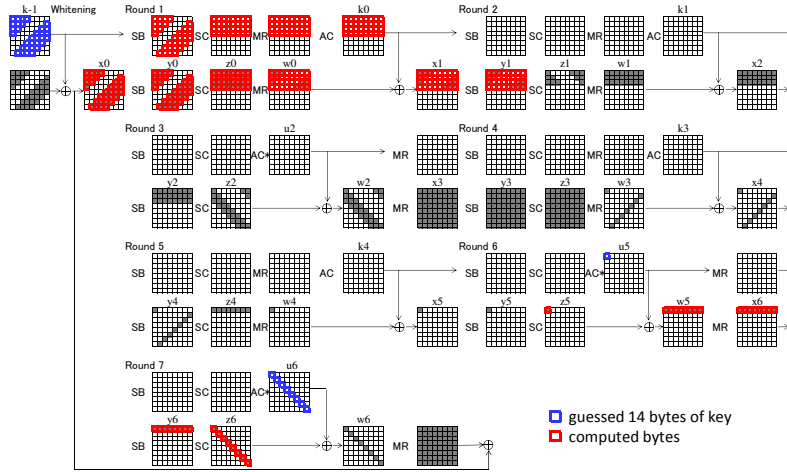


Fig. 4. Differential characteristic used in our 7-round attack

## 4 Recovering $K_{in}$ and $K_{out}$ of HMAC/NMAC-Whirlpool

We first apply the generic internal state recovery attack by Leurent *et al.* [14] to find a single-block message  $M_p$  and its compression function output  $h'_{in}$ .

As described in Section 3.1, in order to invert the last compression function call of the outer layer and recover the output of the attacked compression function, we build a conversion table of size  $2^{512-z}$ , for some  $z \geq 0$  to be decided later. It is important to note that, the attacker is not able to choose the  $M_{out}$ , the output of the inner layer, and  $M_{out}$  plays the role similar to “plaintext” for block ciphers in our attack. Hence, our attack setting for the key recovery is similar to the “known-plaintext” attack for block ciphers. Also, due to collisions of the compression function, the chance that a lookup gives the right  $v$  is of probability  $1 - 1/e$ . At the moment, the input values  $v$  of the conversion table are randomly chosen, later we show how the choices of  $v$  can be used to optimize the overall attack.

### 4.1 The 4-Round Differential Characteristic

Our attack follows the previous MITM attacks on AES, which relies on a 4-round differential characteristic, from state  $y_1$  of round 2 to  $x_5$  of round 6 of the Whirlpool compression function, as depicted in Fig. 4. The number of active bytes, in gray color, follows  $12 \rightarrow 24 \rightarrow 64 \rightarrow 8 \rightarrow 1$ . Let us denote the set of bytes at positions  $[0][0, 1, 2]$ ,  $[1][0, 1, 7]$ ,  $[2][0, 6, 7]$ ,  $[3][5, 6, 7]$  as  $B_{in}$ , and byte at position  $[0][0]$  as  $B_{out}$ , then the input/output differences of the middle 4-round characteristic can be simply denoted as  $y_1[B_{in}]$  and  $x_5[B_{out}]$ . With this characteristic, one round and two rounds are added before and after it, to form the 7-round Whirlpool as the attack target.

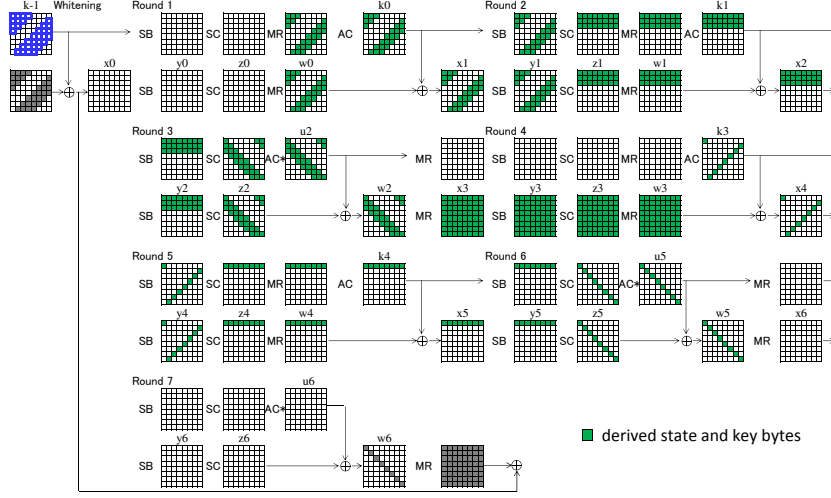


Fig. 5. Derived state and key bytes from the differential characteristic

## 4.2 Computing the $\delta$ -set Table

Based on the 4-round differential characteristic above, we build the  $\delta$ -set table, denoted as  $T_\delta$ . Given a difference  $\Delta y_1[B_{in}]$ , and a state value of first three rows of  $x_2$ , denoted as  $x_2[row(0, 1, 2)]$ , both value and difference in active bytes of  $z_2$  can be derived, followed by difference in active bytes of  $w_2$  and  $x_3$ . Similarly, given a difference  $\Delta x_5[B_{out}]$  and state value of  $z_4[row(0)]$ ,  $\Delta x_4[SC^{-1}(row(0))]$  can be obtained, followed by  $\Delta y_3$ . For each pair of  $(\Delta x_3, \Delta y_3)$ , one solution of state value  $x_3$  and  $y_3$  is expected on average. With actual values in the active bytes of  $z_2$  and  $w_2$ ,  $u_2[SC(row(0, 1, 2))]$  can be derived. Due to the diffusion property of the key schedule, we can further derive other key bytes, *i.e.*,  $k_1[row(0, 1, 2)]$ . Similarly  $k_3[SC^{-1}(row(0))]$  is derived and we can further derive  $k_4[row(0)]$ . We denote all the subkey bytes obtained as  $k_{ob}$ . Due to the newly recovered  $k_{ob}$ , we can further compute more bytes in the data processing part. Finally, both value and difference in all active bytes of the 4-round characteristic can be determined. All the recovered state and key bytes can be found in Fig. 5.

These state information at every round of the differential characteristic allows to derive the value of  $x_5[B_{out}]$  from any  $\Delta' y_1[B_{in}]$ , even if  $\Delta' y_1[B_{in}]$  does not follow the characteristic. We briefly illustrate it here. Given  $\Delta' y_1[B_{in}]$  and  $x_2[row(0, 1, 2)]$ , both difference and actual values of  $x_2[row(0, 1, 2)]$  can be obtained, followed by difference in active bytes of  $y_2$ ,  $z_2$ ,  $w_2$  and  $x_3$ . Together with actual value of  $x_3$ , we derive difference in  $y_3$ ,  $z_3$ ,  $w_3$  and  $x_4$ . Note, for an arbitrary given  $\Delta' y_1[B_{in}]$ , the difference in  $w_3$  and  $x_4$  may not follow the characteristic any more. However, since we only care about the difference in those active bytes in gray, *i.e.*,  $\Delta x_4[SC^{-1}(row(0))]$ , those unwanted bytes values can be discarded. Together with actual value of  $x_4[SC^{-1}(row(0))]$ , derive the differences in the first row of  $z_4$ ,  $w_4$  and  $x_5$ . Together with actual value of  $x_5[B_{out}]$ , one can get

---

**Algorithm 1** Construction of the  $\delta$ -set table

---

```
1: Empty a lookup table  $T_\delta$ .
2: for all 12 bytes differences in  $\Delta y_1[B_{in}]$  and 24 bytes values of  $x_2[row(0, 1, 2)]$  do
3:   Deduce differences in  $x_3$ .
4:   for all 1 byte differences in  $\Delta x_5[B_{out}]$  and 8 bytes values of  $z_4[row(0)]$  do
5:     Deduce differences in  $y_3$ .
6:     Use the differential property of SBox to deduce the values in  $x_3, x'_3, y_3, y'_3$ .
7:     Deduce  $k_{ob}$  and all state values of the active bytes of the characteristic.
8:     Empty an ordered sequence  $M$ .
9:     for all 12 bytes values in  $y_1[B_{in}]$  do // construct 12- $\delta$ -set at  $y_1$ 
10:      Compute the corresponding  $x_5[B_{out}]$  and add it to  $M$ .
11:    end for
12:    Add  $M$  to the lookup table  $T_\delta$ , indexed by  $(\Delta y_1[B_{in}], \Delta x_5[B_{out}],$ 
     $x_2[row(0, 1, 2)], z_4[row(0)], M$  and  $k_{ob}$  as entry values.
13:  end for
14: end for
15: Output:  $T_\delta$  of  $2^{456}$  entries. //  $2^{360}$  indices each containing  $2^{96}$  relations of  $M$ 
```

---

the value of  $x'_5[B_{out}]$ . The details of the  $\delta$ -set table computation are shown in Algorithm 1.

### 4.3 The Online Phase

For Step 2 of the procedure in Section 3.5, we search for paired message candidates satisfying the middle 4-round characteristic. To ensure at least one pair follows the entire 7-round characteristic as in Fig. 4, we count the number of conditions of the 7-round characteristic, there are 32 bytes conditions in the input state, 20 bytes for  $z_0 \rightarrow w_0$ , 56 bytes for  $z_3 \rightarrow w_3$ , 7 bytes for  $z_4 \rightarrow w_4$ , and the rest is almost for free. In total 115 bytes = 920 bits, hence we need  $2^{(920+1)/2} \simeq 2^{461}$  random inputs to generate enough pairs. The overall data complexity will be  $2^{461} \times 2^{512-z}$ .

With the provided data  $(p, v)$  with  $p$  as input and  $v$  as output of the attacked compression function, we filter the pairs according to the input/output differences, *i.e.*,  $\Delta p[\text{SC}^{-1}(\text{row}(4, 5, 6, 7))] = 0$ , and output difference follows the pattern in  $w_6$  as in Fig. 4, *i.e.*,  $\Delta \text{MR}^{-1}(p + v)[\text{SC}(\text{row}(1, 2, \dots, 7))] = 0$ . We sort all the data according to the value of these non-active bytes, and find the right pairs, as done in the first for loop in Algorithm 2. A randomly generated pair satisfies both the input and output differences with a probability of  $2^{-256-448} = 2^{-704}$ . Hence,  $2^{920-704} = 2^{216}$  candidate pairs will remain.

For Step 3 of the online phase as in Section 3.5, with any pair  $(p, v)$  and  $(p', v')$ , we partially encrypt the  $p, p'$  by 1 round, and decrypt  $v, v'$  by 2 rounds. To do that, we guess the whitening key bytes  $k_{-1}[\text{SC}^{-1}(\text{row}(0, 1, 2, 3))]$  in a linear space of size  $2^{12 \times 8} = 2^{96}$  so that  $w_0$  fulfills the desired difference pattern. Note that the guess can be done diagonal wise independently. For example, one can guess 3 bytes ( $[0][0], [7][1], [6][2]$ ) of the first diagonal in  $k_{-1}$  first, compute both difference and value of these three bytes in  $x_0$ , followed by the difference in

$w_0[row(0)]$ , backwards compute the other 5 byte differences in the first diagonal of  $x_0$ , from which the other 5 byte value in the first diagonal of  $k_{-1}$  can be derived. Repeat the same procedure for the other diagonals, hence each key guess can be done in computation 1. Due to the similarity of the round function and key schedule,  $k_0[row(0, 1, 2, 3)]$  can be derived from  $k_{-1}[SC^{-1}(row(0, 1, 2, 3))]$ , then both state value and difference of  $y_1[row(0, 1, 2, 3)]$  can be obtained. Similarly, one can guess  $u_6 \oplus u_{-1}[SC(row(0))]$  and  $u_5[0][0]$ , and compute the  $\Delta x_5[0][0]$ . For each given  $(p, v)$ ,  $(p', v')$  pair, there will be  $2^8$  guessed keys  $u_6 \oplus u_{-1}[SC(row(0))]$  on average making it follow the characteristic. Overall, with each data pair, we guessed 14-byte key values. The total number of candidates so far is  $2^{216+112} = 2^{328}$  pairs.

For Step 4 as in Section 3.5, with each candidate pair, the 12- $\delta$ -set is constructed with respect to  $y_1[B_{in}]$ . With the guessed subkeys  $k_{-1}[SC^{-1}(row(0, 1, 2, 3))]$  and  $k_0[row(0, 1, 2, 3)]$ ,  $2^{96}$  state values in the set are decrypted to the plaintext. Each of  $2^{96}$  plaintexts are tested if the corresponding  $v$  is stored in Step 2. Because  $2^{461}$   $(p, v)$  relations are generated, the probability of having the corresponding  $v$  is  $2^{461-512} = 2^{-51}$ . Therefore,  $2^{96-51} = 2^{45}$  plaintexts can have the corresponding  $v$  and stored as  $D_f$  that is associated with a fraction of the 12- $\delta$ -set. Note that we do not need to use all these  $2^{45}$  elements in the 12- $\delta$ -set. Instead, we will select  $2^8$  elements from them for the attack steps later.

For Step 5 as in Section 3.5, together with the guessed subkeys  $u_6 \oplus u_{-1}[SC(row(0))]$  and  $u_5[0][0]$ ,  $x_5[B_{out}]$  is computed from the output values of  $2^8$  selected texts in  $D_f$ . Denote them as  $M = \{(x_1^1, x_5^1), \dots, (x_1^{2^8}, x_5^{2^8})\}$ . Finally, we can check if  $2^8$  elements of  $y_1[B_{in}]$  and  $x_5[B_{out}]$  match with one of elements in the precomputed look-up table  $T_\delta$ . However, because  $T_\delta$  is not sorted with respect to the  $2^8$  elements, the match cannot be done with 1 computation.

The precomputation table  $T_\delta$  is ordered by the index  $(\Delta y_1, x_2[row(0, 1, 2)], z_4[row(0)], \Delta x_5)$ . For each pair analyzed in the online phase, we have the knowledge of  $\Delta y_1$  and  $\Delta x_5$ . Moreover, we have the knowledge of  $y_1[row(0, 1, 2, 3)]$  and  $k_0[row(0, 1, 2, 3)]$ . If we switch the computation order of MR and AC in round 2 and let  $w'_1 = z_1 \oplus u_1$ , then we have  $x_2 = MR(w'_1)$ . From  $y_1[row(0, 1, 2, 3)]$  and  $k_0[row(0, 1, 2, 3)]$ , we can compute 12 bytes of  $w'_1[row(0, 1, 2)]$ . Then we exhaustively guess all the remaining 12 bytes of  $w'_1[row(0, 1, 2)]$ , which gives us the value of  $x_2[row(0, 1, 2)]$ . We also exhaustively guess all the values of  $z_4[row(0)]$ . Actually we need to guess the values of  $z_4[0][1, 2, \dots, 7]$  only, since we guessed  $u_5[0][0]$  and computed  $z_5[0][0]$ ,  $z_4[0][0]$  can be derived from these two values. This gives us in total  $2^{152}$  index of  $T_\delta$ . Then we look up  $T_\delta$  to get the corresponding 12- $\delta$ -set for each index. After that, we look up  $x_5^i$  for  $x_1^i$  in the 12- $\delta$ -set, and match it to the value of  $x_1^i$  in previously computed  $M$  for all  $1 \leq i \leq 2^8$ . We adopt early aborting technique when we look up the 12- $\delta$ -set. Namely if the  $x_1^i$  in the 12- $\delta$ -set is not equal to  $x_1^i$  in  $M$ , we immediately abort and will not match for the remaining elements. By using aborting technique, the number of table looking up for matching  $M$  to each 12- $\delta$ -set can be counted as one on average. Overall, it needs  $2^{152}$  table lookups to match one  $M$  to the table  $T_\delta$ .

---

**Algorithm 2** Online phase: attack on 7-round Whirlpool compression function

---

```
1: Input 1:  $T_\delta$  obtained from Algorithm 1.
2: Input 2: Conversion table  $T_c$  of size  $2^z$ .
3: Input 3:  $2^d$   $(p, t)$  pairs, with  $p$  input message block, and  $t$  the corresponding tag.
4: Look up the table  $T_c$  for all  $t$ , and obtain  $2^{z+d-512}$   $(p, v)$  pairs.
5: Empty a temporary table  $T$ .
6: for obtained  $(p, v)$  do
7:    $index \leftarrow MR^{-1}(p \oplus v)[SC(row(1, 2, 3, 4, 5, 6, 7))]$  and  $p[SC^{-1}(row(4, 5, 6, 7))]$ .
8:   Add  $(p, t)$  to  $T[index]$ .
9: end for
10: for all collisions in  $T$ , i.e., pair  $(p, v)$  and  $(p', v')$  do
11:   for all  $k_{-1}[SC^{-1}(row(0, 1, 2, 3))]$  s.t.  $\Delta w_0[SC^{-1}(row(4, 5, 6, 7))] = 0$  do
12:     Construct a 12- $\delta$ -set  $D$  at  $y_1$  by modifying 12 active bytes in  $y_1[B_{in}]$ .
13:     Decrypt  $2^{96}$  elements in  $D$  to the plaintext  $p$ , and check if  $p$  is stored in  $T_c$ .
14:     If stored, add  $(p, v)$  to  $D_f$ , which is associated to a fraction of 12- $\delta$ -set.
15:     for all  $(u_6 \oplus u_{-1})[SC(row(0))]$  s.t.  $\Delta z_5[0][1, \dots, 7] = 0$  do
16:       Decrypt each element in  $D_f$  to obtain  $w_5[row(0)]$ .
17:       for all values of  $u_5[0][0]$  do
18:         Decrypt each element in  $D_f$  to obtain  $w_5[0][0]$ .
19:         Construct the ordered sequence  $M$  for  $D_f$ .
20:         Compute 12 bytes of  $w'_1 = z_1 \oplus u_1$ :  $[0][0, 5, 6, 7], [1][0, 1, 6, 7], [2][0, 1, 2, 7]$ .
21:         for all values of the 12 bytes of  $w'_1$ :  $[0][1, 2, 3, 4], [1][2, 3, 4, 5], [2][3, 4, 5, 6]$ ,
22:           and  $z_4[row(0)]$ 
23:           compute  $x_2[row(0, 1, 2)]$  and construct the index of  $T_\delta$ :
24:            $(\Delta y_1[B_{in}], x_2[row(0, 1, 2)], \Delta_5[0][0], z_4[row(0)])$ .
25:           Get 12- $\delta$ -set with the index in  $T_\delta$ .
26:           Match  $M$  to 12- $\delta$ -set.
27:           if  $M \in T_\delta$ , then
28:             Exhaustively search the right key, Output:  $K$  if found.
29:           end if
30:         end for
31:       end for
32:     end for
33:   end for
34: end for
```

---

The probability of the false positive, *i.e.*, the probability of two random  $2^8$  ordered byte relations happen to match is  $2^{-8 \cdot 2^8}$ , which is negligible. Hence, only the right pair and the right subkey guesses can be detected. The details of the online phase attack is shown in Algorithm 2.

#### 4.4 Complexities and Optimization

**Optimizing the conversion table.** We note that the conversion table is of size  $2^z$ , and the inputs were randomly chosen from the overall  $2^{512}$  choices. We found that, by carefully choosing the inputs, *i.e.*, the output  $v$  of the attacked compression function, we are able to reduce the conditions of the 7-round differential characteristic, by forcing  $v$ , then the  $w_6$  as in Fig. 4, into a subspace.

We know  $w_6 = \text{MR}^{-1}(v \oplus p \oplus k_{-1})$ . Since our trick is row wise, we will take the first row as an example. We need  $\Delta w_6[0][1, 2, 3, 4, 5, 6, 7] = 0$ , and we know there is no difference in  $k_{-1}$  and  $\Delta p[\text{row}(0)]$  only takes  $2^{32}$  values when it follows the characteristic. We force  $\Delta v[\text{row}(0)]$  to be  $\text{MR}(\Delta w_6[0][0]) \oplus \Delta p[0][0, 1, 2, 3]$ , so that when we do  $\text{MR}^{-1}(\Delta p \oplus v)$ , the  $\Delta w_6[\text{row}(0)]$  is forced into a space of  $2^{40}$  v.s.  $2^{64}$ , this increases the chance  $\Delta w_6[\text{row}(0)]$  to be the right pattern by a factor of  $2^{24}$ . Note this forces the choices of  $v[0]$  into a space of  $2^{40}$  out of  $2^{64}$ , we can apply the same trick to other rows, in the meanwhile, we need to ensure at least  $2^z$  candidates of  $v$  are left for the conversion table.

By choosing balanced parameters  $z = 481$ , and  $d = 481$ , the overall complexity for data, and memory are  $2^{481}$ , and time  $2^{481}$ . Due to the false-positive of the conversion table, the data complexity increases by a factor of  $(1 - 1/e)^{-1} = 2^{0.7}$  to  $2^{481.7}$ , and time complexity by a factor of  $(1 - 1/e)^{-2} = 2^{1.3}$  to  $2^{482.3}$ .

**Computer search.** There are several parameters, which affect the overall attack complexities, including:

1.  $r_1, c_1$ , for number of active rows and columns in state  $z_1$ , respectively.
2.  $r_2, c_2$ , for number of active rows and columns in state  $x_5$ , respectively.
3. size of conversion table, denoted as  $c_t = \log_2(T_c)$ .

We can derive the attack complexities from these parameters in different phases:

1. The conversion table costs Memory = Time =  $2^{c_t}$
2. The number of conditions for the entire 7-round characteristic are:  $(8 - c_1) \times 64$  for the input messages,  $c_1 \times (8 - r_1) \times 8$  for  $z_0 \rightarrow w_0$  transition,  $(8 - r_2) \times 64$  for  $z_3 \rightarrow w_3$  transition,  $(8 - c_2) \times 8$  for  $z_4 \rightarrow w_4$  transition. The trick with the conversion table saves  $c_{con} = 512 - c_t - \lceil (512 - c_t) / (64 - 8 \times c_1) \rceil \times c_2 \times 8$  bits conditions. Denote the overall number of conditions in bits as  $c_{diff}$ , we then need  $2^{c_{diff}}$  pairs to have at least one pair following the differential characteristic, due to the loss in the conversion table, overall the data complexity is Time = Data =  $2^{(c_{diff}+1)/2+512-c_t}$ .
3. The size of  $\delta$ -set table  $T_\delta$  is computed as follows. There are  $r_1 \times c_1 \times 8 + r_2 \times c_2 \times 8$  bits in input/output differences, for each of them, we need to guess  $r_1 \times 64 + r_2 \times 64$  state bits, and  $r_1 \times c_1 \times 8$  bits state value in  $y_1$  for the full  $\delta$ -set. The overall number of bits is  $\log_2(T_\delta) = r_1 \times c_1 \times 16 + r_2 \times c_2 \times 8 + r_1 \times 64 + r_2 \times 64$ .
4. At online phase, we filter  $(8 - c_1) \times 64$  conditions in message, and  $(8 - c_2) \times 64 - c_{con}$  in ciphertext, and number of pairs left for online phase is  $c_{pair} = c_d - (8 - c_1) \times 64 - (8 - c_2) \times 64 + c_{con}$ . For each pair, we guessed  $c_{key} = r_1 \times c_1 \times 8 + r_2 \times c_2 \times 8$  number of key bits. For each of the considered combination, we guessed  $c_{mat} = r_1 \times 64 - r_1 \times c_1 \times 8 \times 2 + r_2 \times 64$  bits in the matching stage, the overall time complexity is  $2^{c_{pair}+c_{key}+c_{mat}}$ .

By a bruteforce search over all possible choices of the  $r_1, c_1, r_2, c_2$  and  $c_t$ , we find the best parameters,  $r_1 = 3, c_1 = 4, r_2 = 1, c_2 = 1, c_t = 481$  as presented already, give the best complexity  $2^{481.7}$  and  $2^{481}$  for Data, Memory and  $2^{482.3}$  for Time.



## 4.5 Recovering $K_{in}$ and Application to Prefix MAC

The attack setting for recovering  $K_{in}$  is different from  $K_{out}$ . The NMAC computation  $\mathcal{H}(K_{out}, \mathcal{H}(K_{in}, M))$  can be derived to  $\mathcal{CF}(IV, K_{out} || \mathcal{CF}(K_{in}, M || P_{in}) || P_{out})$ , with  $M$  of full blocks,  $P_{in}$  and  $P_{out}$  for padding blocks for inner and outer layer, respectively. When  $M$  be of a fixed length, the  $P_{in}$  and  $P_{out}$  are fixed. With knowledge of  $K_{out}$ , the computation after processing  $M$  contains no secret, let us denote it as  $g$ , *i.e.*, the expression is simplified to  $g \circ \mathcal{CF}(K_{in}, M)$ . To recover  $K_{in}$ , the setting is a little bit different from that for recovering  $K_{out}$ . Here, we are able to *choose* the message  $M$ , the setting is similar to “chosen plaintext” attack to block ciphers. As done in previous attacks against AES, we choose the  $M$  in structures, where in each structure, the non-active bytes are fixed to a constant, and all possibilities in active bytes are taken. Refer to Fig. 4, one fixes bytes in  $M[\text{SR}^{-1}(\text{row}(4, 5, 6, 7))]$  to a constant and the rest of the bytes take all  $2^{256}$  possibilities. In this way, the pairs from the same structure will have difference pattern in message automatically fulfilled. With help of this, the overall complexities of the attack can be reduced to  $2^{465}$  for time, data and memory with  $2^7$  differential characteristics.

It is interesting to note that the equivalent key for Prefix MAC can be recovered in exactly the same way. For LPMAC,  $K'_{eq} = \mathcal{CF}(IV, K || \ell || \text{pad})$  can also be recovered in the same way too. However, recovering  $K_{eq} = \mathcal{CF}(IV, K)$  requires inverting the compression function  $\mathcal{CF}$  since  $K'_{eq} = \mathcal{CF}(K_{eq}, \ell || \text{pad})$ , which is currently unknown for Whirlpool reduced to 7 rounds. Hence, in case of LPMAC, we are able to launch universal forgery attack only.

## 5 Conclusion

Based on very recent advances in generic state recovery attacks on HMAC/NMAC, and meet-in-the-middle attacks against AES, we present equivalent key recovery attacks against HMAC/NMAC with Whirlpool reduced to 7 rounds. We also showed the application to Prefix-MAC, including LPMAC. This improves one round over the existing work on HMAC/NMAC-Whirlpool, and interestingly, the number attacked already exceeds that for collision and preimage attack against the Whirlpool hash function itself. One reason is that, collision attacks with complexity at or beyond the birthday bound  $2^{n/2}$  did not attract much attention in history, and these collisions were found to reveal information about the internal state, and also the key material in MAC applications. Due to the full block key size, the security level is expected to be higher than that of collision resistance, these collisions become essentially helpful to carry out our attacks.

**Future work.** It is interesting to note that length of the message plays an important role in our attacks. The current generic attacks rely on queries of long message, from  $2^{n/4}$  to  $2^{n/2}$  blocks, or the complexities approach  $2^n$  when the message length tends to 1 block. Some of the hash function such as current NIST standard SHA-256, supports messages with length up to  $2^{64}$  bits, shorter

than  $2^{n/4}$  blocks. This simple restriction may stop the attack or bring the attack complexity close to  $2^n$  for many other hash functions as well. The second restriction is the length of the given message to be forged, in settings of forgery attacks. In many protocols using hash function based MAC, the message used can be very short, *e.g.*, one or two blocks. This won't stop our attacks since we focus on attacks against the compression function, which is a key advantage of our attack over the recent generic attacks. Progress in state recovery with low complexity and short messages will remove the dependency of our attack from the need of long message.

Our attacks do not apply to Sandwich MAC [41], *i.e.*,  $\mathcal{H}(K\|p\|M\|p'\|K')$  with  $p, p'$  paddings, and Envelope MAC [42], *i.e.*,  $\mathcal{H}(K\|p\|M\|p'\|K)$ . One can still carry out the internal state recovery attack for long message between the two keys, however it is then not possible to convert it to a state recovery attack for short message, since the padding  $p'$  will be different. In our attacks, the recovered  $K_{in}$  and  $K_{out}$  play a role similar to key, while in Sandwich MAC,  $K'$  (or the second  $K$  in Envelope MAC) appears in message block, and could not be recovered since it plays a role as “plaintext”, which is currently not explored in attacks against AES-like ciphers. For the same reason, we did not recover the master key of HMAC. It will be interesting to see any progress in this direction.

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