

# Weighted Oblivious RAM, with Applications to Searchable Symmetric Encryption

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**Abstract.** Existing Oblivious RAM protocols do not support the storage of data items of variable size in a non-trivial way. While the study of ORAM for items of variable size is of interest in and of itself, it is also motivated by the need for more performant and more secure Searchable Symmetric Encryption (SSE) schemes.

In this article, we introduce the notion of *weighted* ORAM, which supports the storage of blocks of different sizes. We introduce a framework to build efficient weighted ORAM schemes, based on an underlying standard ORAM satisfying a certain suitability criterion. This criterion is fulfilled by various Tree ORAM schemes, including Simple ORAM and Path ORAM. We deduce several instantiations of weighted ORAM, with very little overhead compared to standard ORAM. As a direct application, we obtain efficient SSE constructions with attractive security properties.

## 1 Introduction

When sensitive data is stored in an untrusted environment, encryption is not enough. The pattern of memory accesses to encrypted data can reveal a great deal about its contents. In some settings, observing the pattern of memory accesses can allow a honest-but-curious host server to fully reconstruct the contents of an encrypted database [14]; in others, measuring cache misses can enable an attacker to recover secret key material [32]. Untrusted environments where an adversary may be able to observe memory accesses, partially or completely, arise in many common scenarios. These include private information stored in an external cloud service, trusted enclaves running on an untrusted computer, or even public clouds where memory caches are shared across multiple tenants. In all these settings, security requires to hide not only the contents of each data item, but also which item is accessed.

Oblivious RAM (ORAM) protocols provide a powerful tool to fully hide memory access patterns. The notion of ORAM was introduced by Goldreich and Ostrovsky [12], motivated by a scenario where a processor accesses untrusted memory. The processor operates in a RAM model of computation: it wishes to access memory words at arbitrary addresses. Naturally, memory words have a fixed size. In line with its historical motivation, ORAM is normally viewed as storing items of fixed size.

However, in many potential applications of ORAM, it is natural to consider items of variable size. Suppose for instance that a client wishes to store private

files on an external cloud storage service. Different files may have different sizes; it may also be the case that the size of a file varies with time. This motivates the idea of ORAM for variable-size items.

Of course, it is possible to generically emulate the storage of variable-size files using a memory allocation scheme for fixed-size items. In our case, using an ORAM for items of fixed size  $B$ , the most natural approach is to split each file into chunks of size  $B$ . Each chunk is then stored as a separate data item (called a block) within the ORAM, on the server side. To retrieve a file, the client simply queries all chunks corresponding to the desired file.

This simple variable-size-to-fixed-size reduction is not always satisfactory. A first issue relates to padding. Before files can be split into chunks of size  $B$ , they must be padded to a multiple of the block size  $B$ . If many files are much smaller than the block size, padding becomes expensive. Both motivating applications given below show examples where padding would be prohibitive.

To reduce the cost of padding, it may be tempting to reduce the block size  $B$ . However, this increases the ORAM overhead (i.e. the ratio between the communication cost of the ORAM scheme, and the cost of an insecure exchange), since it scales with the number of blocks. For example, an ORAM storing  $N$  blocks of size 1 typically has an overhead in  $\text{polylog } N$ ; whereas with  $N/B$  blocks of size  $B$ , the overhead becomes  $\text{polylog}(N/B)$ . In later applications such as length-hiding ORAM, or zeroSSE in Section 6.2,  $B$  can be very large, which makes the difference significant. In theory, larger block sizes are also preferable: for instance, Path ORAM achieves optimal  $\mathcal{O}(\log n)$  overhead if the block size is  $\Omega(\lambda^2)$  bits, but its overhead is  $\mathcal{O}(\log^2 n)$  if the block size is  $\Theta(\lambda)$  bits (where  $\lambda$  is the security parameter).

In practice, setting the block size to be very small, say a single memory word of 128 bits, has a deeper impact that is easy to overlook, but much more impactful in practice than the asymptotic difference above. Modern computers can only fetch memory from disk at the granularity level of a page, typically 4kB. This is enforced at all levels: by the operating system, in caches, and at the physical disk layer (both for HDDs and SSDs). When fetching many 128-bit words at random locations in the ORAM scheme, the server actually fetches the entire page for each. In each of those pages, only a fraction  $1/256$  of the data in the page is actually useful (128 bits out of 4kB). This results in very poor I/O efficiency, which correlates directly with disk throughput [4]. The issue is easy to overlook because it is not reflected in the simple Random-Access Machine model of computation that is used to compute asymptotics, where all memory accesses have unit cost. But it has a very large impact in practice. This is well-known in SSE literature, where an entire branch of the area studies memory efficiency [2,23,4,24]. In ORAM literature, the PHANTOM implementation of Path ORAM uses blocks of size one page, likely for the same reason [21]. Reading many tiny items at random memory locations is extremely inefficient, losing a factor up to  $B$  in throughput (when the bottleneck in throughput does not come from bandwidth limitations).

If one thinks of storing entire documents in the context of a private online storage service, having many documents much smaller than the page size is rather unlikely. But in other applications, it is quite realistic. A case in point is the use of ORAM for Searchable Symmetric Encryption (SSE).

**Motivating Application 1: Searchable Symmetric Encryption (SSE).**

The goal of SSE is to enable a client to outsource the storage of an encrypted database to an untrusted server, while being able to securely search the data. At minimum, the client is able to issue search queries asking for all entries that match a given keyword. To realize this functionality, for efficiency reasons, virtually all modern SSE constructions rely a *reverse index*. The reverse index records, for each keyword, the list of identifiers of entries that match the keyword.

The majority of SSE solutions accept to leak the *search pattern* and *access pattern* of the client: that is, they leak to the server the repetition of queries, and the identifiers of documents matched by the queries. This allows those constructions to trade off privacy for efficiency and scalability. Nevertheless, revealing access patterns to the server can be quite damaging, and has led to a number of attacks [7,14]. Those attacks have in turn motivated SSE approaches that rely on ORAM [11,18].

The most natural way to avoid leaking the search pattern is to store the reverse index in an ORAM. In that scenario, the “files” to be stored on an ORAM are actually the list of matches for a given keyword in an SSE scheme. For some databases, there may be many keywords that uniquely identify a file, or that match only a few files. In other words, there may be many lists much smaller than the block size  $B$  of the ORAM.

In practice, this is actually a major roadblock. As argued earlier, it is desirable to have a relatively large ORAM block size, at least one memory page. On the other hand, the identifier of an entry can be set to 64 bits, or even less. This means that a single ORAM block is 512 times larger than the minimal list size. If, say, many keywords match less than 10 entries in the database, padding those lists to the block size blows up their storage by a factor more than 50. More generally, if we set  $p$  to be the page size, measured in number of identifiers per page, then padding means that server storage grows at least in  $\mathcal{O}(pN)$ , where  $N$  is the size of the plaintext reverse index; whereas we would like to achieve linear storage  $\mathcal{O}(N)$ . Of course, with  $p = 512$  as earlier, the practical difference is quite large.

Addressing that problem is not an easy task. In SSE literature, avoiding the cost of padding to the page size has been the focus of several recent works [4,24]. Those works have motivated the creation of *weighted* memory allocation schemes, that can accommodate items of variable size, including weighted cuckoo hashing [4], and weighted two-choice allocation [24]. However, there is no weighted ORAM. This means that in order to use ORAM with SSE, current options are either to choose a block size much smaller than the page size, or to suffer a prohibitive padding overhead for some data distributions—both of which are undesirable.

**Motivating Application 2: Length-Hiding ORAM.** Let us go back to the scenario where a client wishes to store private files of various sizes on a honest-but-curious cloud server. As noted earlier, the simplest way to hide access patterns is to store the files in an ORAM. Each file is split into chunks of size  $B$ , and each chunk is stored in a separate ORAM block. In order to fetch a file, the client queries all chunks of the file to the ORAM. When a file is queried, the only information leaked to the server is the number of chunks of the file.

In some settings, even that much information may be too much information. For instance, the number of chunks of a file might be enough information to uniquely identify the file [7]. In that case, repeated accesses to the file are leaked to the server. This reveals the access pattern of the client to the files, defeating the purpose of ORAM. More subtly, the length of answers to certain types of database queries can be enough to infer the contents of encrypted data [13]. Traffic analysis attacks are another example of using length information to infer sensitive data [10]. Attacks based on length information can be particularly insidious, because traditional encryption does not attempt to hide length.

If leaking the lengths of the files is judged to be too damaging, the client may wish to use additional mechanisms to protect their privacy. Going back to our running example about private file storage, the simplest and most secure protection is to mandate that, whenever a file is accessed, the client should query as many chunks as the size of the *largest* file. In that case, only the number of chunks of the largest file is leaked to the server—or an upper bound on that number.

Let  $N$  be the total size of the files to be stored on the remote server. Let  $B$  be the ORAM block size, and let  $U$  be an upper bound on the size of the largest file (all quantities are counted in number of memory words). The overhead of ORAM constructions typically scales in  $\text{Polylog}(n)$ , where  $n$  is the number of blocks stored in the ORAM. Setting aside padding issues for a moment, with block size  $B$ , we have  $n = N/B$ . In order to minimize the overhead, it would be attractive to simply set  $B = U$ . But here again, we would run into padding issues: most files might be much smaller than the largest file. The optimal solution would be a *weighted* ORAM able to accommodate files of arbitrary size up to  $U$ , with an overhead  $\text{Polylog}(N/U)$ , or optimally,  $\log(N/U)$ .

## 1.1 Our Contributions

The discussion so far leads to the following question: can we devise a *weighted* ORAM—that is, an ORAM that natively accommodates items of variable size? Beside the motivating applications given in the introduction, the existence of weighted ORAM may be viewed as a natural question: it fits within a long line of work on weighted allocation mechanisms, both within and outside cryptography, such as [28, 29, 3, 2, 4, 24].

We will answer the previous question in the affirmative, and build a weighted ORAM. Our construction naturally handles not only items of different sizes, but items whose size varies with time, without the need for padding. To state the result precisely, let us introduce some notation. In the remainder, an atomic item

stored within the ORAM is called a *block*. Let  $B$  denote an upper bound on the block size. Unlike traditional ORAMs, blocks can take any size in  $[1, B]$ . We will sometimes call the size of a block its *weight*. Let  $w_i \in [1, B]$  denote the weight of the  $i$ -th block. Let  $m$  be the total number of blocks. Let  $N$  be an upper bound on the *total weight*  $\sum_{i \leq m} w_i$ . We want to build an ORAM that can accommodate *any* vector  $\mathbf{w} = (w_i)_{i \leq m}$  of weights, as long as the following two conditions are fulfilled.

*Condition 1.* Every block  $w_i$  has weight at most  $B$ ;

*Condition 2.* The total weight  $\sum w_i$  is at most  $N$ .

For ease of exposition, we will assume that the number of blocks  $m$  is fixed, but our constructions can be easily adapted to a variable number of blocks, so long as the previous two conditions continue to hold. The parameters of our ORAM constructions will depend *only* on  $B$  and  $N$ ; crucially, they do not depend on the distribution of the weight vector  $\mathbf{w}$ .

The interface of our weighted ORAMs is identical to standard ORAM: to retrieve a block, the client queries an identifier of the block (e.g. a virtual memory address). When writing a block, the client also inputs new data for the block. This data need not be of the same size as the data originally associated to the block identifier. The client can freely change the size of a block with every access, so long as Conditions 1 and 2 are respected.

As our main contribution, we build a weighted ORAM in the sense given above. In fact, we show a significantly stronger result. Many standard Tree-based ORAM algorithms admit a natural extension to handle blocks of variable size: at setup, the ORAM is dimensioned as if to accommodate  $N/B$  blocks of size  $B$ , but instead receives an arbitrary number of blocks of variable size bounded by  $B$ , with total size  $N$ . These blocks are read and written through the ORAM in essentially the same way as in the original, fixed-block size ORAM, except for minor alterations to reflect the fact that blocks do not have the same size.

The main obstacle with that approach is technical. While Path ORAM is one of the most attractive solutions for practical Tree ORAM [27], its correctness proof is notoriously difficult—prompting the introduction of Simple ORAM as a less efficient variant that allows for a simpler correctness proof [9]. Our main result is to show that the natural weighted extensions of several existing Tree-ORAM schemes, including Path ORAM and Simple ORAM, are in fact correct. For that purpose, we introduce a general framework: we prove that as long as a Tree ORAM fulfills a certain structural property, its weighted extension preserves correctness. The centerpiece of the proof is a Schur-convexity argument, which ultimately reduces the correctness of the weighted extension to that of the original ORAM. (An overview of the proof argument is given in Section 4.4, before the formal proof.) Practical experiments show that our weighted ORAM construction behaves in line with the previous analysis.

As an application of weighted ORAM, we build two SSE schemes, ZeroSSE and BlockSSE. Unlike most of SSE literature, both constructions completely hide access patterns. To our knowledge, ZeroSSE is the only construction that leaks

neither the access pattern nor the size of retrieved objects, with full correctness. (The only other construction that we are aware of, in [18], pays the price of having a non-negligible correctness failure probability.) **BlockSSE** hides access pattern, but not the size of retrieved objects. To our knowledge, it is the only ORAM-based SSE with worst-case server storage  $\mathcal{O}(N)$ , rather than  $\mathcal{O}(BN)$ , where  $B$  is the ORAM block size.

Our main result builds on Tree ORAMs, because of their higher practical efficiency compared to hierarchical ORAMs. This makes tree-based construction currently more attractive for applications such as SSE. Nevertheless, it is worth remarking that the position map of a weighted Tree-based ORAM, as we have built, has blocks of fixed size. Hence, it can be stored using any standard ORAM scheme, not necessarily tree-based. In particular, from a more theoretical perspective, the position map can be stored using an optimal ORAM with logarithmic overhead, following the groundbreaking result of Asharov *et al.* [1]. This results in a weighted ORAM with logarithmic overhead. The case of building weighted hierarchical ORAM schemes is discussed in the full version.

As another direct application of our construction, setting the block size  $B$  of our weighted ORAM to be equal to an upper-bound bound  $U$  on the size of the largest item to be stored in the ORAM, we immediately obtain an ORAM with communication overhead  $\mathcal{O}(\log^2(N/U))$ . If we use an optimal standard ORAM for the position map, as indicated above, we obtain a length-hiding ORAM with communication overhead  $\log(N/U)$ . This overhead is optimal, since such a goal includes as a special case the setting where all blocks have size  $U$ , and is thus subject to known ORAM lower bounds [12,19] for an ORAM storing  $N/U$  blocks.

## 1.2 Related work

While there is a rich literature on ORAM, surprisingly little of it deals with objects of variable size. To the best of our knowledge, only two articles mention this subdomain of ORAM.

In [26], Roche *et al.* present the first ORAM that stores objects of variable size. Their goal is to build a remote data structure that satisfies the security requirements of ORAM, and in addition allows for secure deletion of items and history independence. In other words, in the case of a *total leakage of the structure* (such an event is referred to as a *catastrophic attack*):

- Items that have been deleted by the client can never be recovered through leaked data.
- The internal structure does not reveal information about which elements were last accessed.

The data structure is built on top of a weighted ORAM. However, their construction for such an ORAM is limited: obliviousness and correctness (i.e. the client-side stash overflows with negligible probability) can be proven only if the size of the blocks follow a geometric probability distribution. In comparison, although we assume that block sizes are bounded by  $B$ , we do not need to assume

anything on the distribution of block sizes. In more detail, there are two limitations to the assumptions of [26]. First, many common distributions are not upper-bounded by a geometric distribution, for instance Zipf distributions. Second and more fundamentally, the ORAM user has no reason in general to pick item sizes independently, or to pick them from the same distribution. The construction of [26] was designed with a specific use case in mind; its applicability beyond that use case is limited.

Another construction of ORAM for objects of variable size may be found in [20]. Their construction is also based on Tree ORAMs. The idea is to allow block size to be equal to a multiple of some value  $s$  (padding up to a multiple if needed), and to store all “splinters” of size  $s$  of a block along the same path from root to leaf. This construction has the strong requirement of a trusted proxy that shuffles blocks during certain operations. Moreover, the construction is flawed (see the full version for further information).

### 1.3 Organization of the paper

In Section 3 we recall the definitions of ORAM, SSE, and Schur convexity, a tool we will use in our proof. Section 4 is where we state our generic criterion for converting a standard ORAM into one that supports objects of variable size and prove our main result. Concrete examples of known ORAM schemes that we can turn into weighted ORAM are shown in Section 5. We discuss applications to the field of SSE in Section 6.

## 2 General Preliminaries

Throughout this work, memory size will be counted as a number of *memory words*. It is assumed that a memory word is large enough to store any address in memory. In practical applications, one may think of 64-bit or 128-bit words. Algorithms will be considered in the RAM model, where accessing an arbitrary memory word costs  $O(1)$  operations.

The security parameter is denoted by  $\lambda$ . A quantity is said to be *negligible*, denoted  $\text{negl}(\lambda)$ , if it is  $O(\lambda^{-c})$  for every constant  $c$ . A probability is said to be *overwhelming* if it is  $1 - \text{negl}(\lambda)$ . It is always assumed that the number of blocks  $N$  stored in the ORAM satisfies  $N \geq \lambda$ , so that any quantity  $\text{negl}(N)$  is also  $\text{negl}(\lambda)$ .

When an algorithm  $A$  with input  $x$  is probabilistic, we may sometimes explicitly write the random coins used by  $A$  as an input of  $A$ , separated by a semicolon, as in  $A(x; r)$ .

### 2.1 Majorization and Schur Convexity

Given a vector  $\mathbf{v}$  in  $\mathbb{R}^m$ , we denote by  $\mathbf{v}^\downarrow \in \mathbb{R}^m$  the vector with the same components, sorted in decreasing order.

**Definition 1 (Majorization order).** Let  $\mathbf{v}, \mathbf{w}$  be two vectors in  $\mathbb{R}^m$  such that  $\sum_{i=1}^m v_i = \sum_{i=1}^m w_i$ . The vector  $\mathbf{w}$  is said to majorize  $\mathbf{v}$ , written  $\mathbf{v} \prec \mathbf{w}$ , if:

$$\forall k \in [1, m], \quad \sum_{i=1}^k v_i^\downarrow \leq \sum_{i=1}^k w_i^\downarrow.$$

**Definition 2 (Schur convexity).** Let  $f : \mathbb{R}^m \mapsto \mathbb{R}$ . The map  $f$  is said to be Schur-convex if it is non-decreasing for the majorization order. That is, for any two vectors  $\mathbf{v}, \mathbf{w}$  with  $\sum_{i=1}^m v_i = \sum_{i=1}^m w_i$ ,

$$\mathbf{v} \prec \mathbf{w} \Rightarrow f(\mathbf{v}) \leq f(\mathbf{w}).$$

**Definition 3 (Convexity).** Let  $f : \mathbb{R}^m \mapsto \mathbb{R}$ . The map  $f$  is said to be convex if for any two vectors  $\mathbf{v}, \mathbf{w}$  in  $\mathbb{R}^m$ , and any  $\alpha$  in  $[0, 1] \subset \mathbb{R}$ , it holds that:

$$f(\alpha \mathbf{v} + (1 - \alpha) \mathbf{w}) \leq \alpha f(\mathbf{v}) + (1 - \alpha) f(\mathbf{w}).$$

**Definition 4 (Symmetry).** Let  $f : \mathbb{R}^m \mapsto \mathbb{R}$ . The map  $f$  is said to be symmetric if for any vector  $\mathbf{v} \in \mathbb{R}^m$ , and any permutation matrix  $P$  over  $m$  elements,  $f(\mathbf{v}) = f(P\mathbf{v})$ .

The link between convexity and Schur convexity is visible in the next lemma.

**Lemma 1.** Let  $f : \mathbb{R}^m \mapsto \mathbb{R}$ . If  $f$  is symmetric and convex, then it is Schur-convex.

We refer the reader to [22] for a detailed presentation of the theory of majorization, including a proof of Lemma 1.

### 3 ORAM Preliminaries

#### 3.1 Weighted Oblivious RAM

A weighted ORAM, also written wORAM, is a pair of client-server protocols (**Setup**, **Access**), defined as follows.

- **Setup**( $N, B, D$ ) takes as input a number of blocks  $N$ , a block size  $B$ , and a set  $D$  of pairs of the form  $(a_i, data_i)$ , where the  $a_i$ 's are pairwise distinct addresses, and  $data_i$  is arbitrary data of size at least 1 and at most  $B$  memory words. **Setup** outputs an initial client state and initial server state.
- **Access**( $op, a, data$ ) takes as input an operation  $op \in \{\text{read}, \text{write}\}$ , an address  $a$ , and some data  $data$  of size at least 1 and at most  $B$ . If  $op = \text{read}$ , **Access** outputs the data last written to address  $a$ . If  $op = \text{write}$ , **Access** replaces the data written at address  $a$  by  $data$ . **Access** may also update the client and server states.



We say that  $\text{Setup}(N, B, D)$  is *legal* if the total amount of data in  $D$  (i.e. the sum of the sizes of the  $\text{data}_i$ 's) is at most  $NB$ . Likewise, we say that  $\text{Access}(\text{op}, a, \text{data})$  is *legal* if address  $a$  was defined during setup, and in the case that  $\text{op} = \text{write}$ , if the total amount of data contained in the database after replacing the data at address  $a$  by  $\text{data}$  remains of size at most  $NB$ . On the other hand, it is *not* required that the size of  $\text{data}$  matches the size of the data previously written at  $a$ , as long as  $\text{data}$  is of size at most  $B$ , and the total amount of data remains at most  $NB$ .

**Definition 5 (Correctness).** *A wORAM scheme is said to be correct if, given a legal setup and any sequence of legal access operations, a read access at address  $a$  outputs the data last written at address  $a$ , except with negligible probability.*

**Definition 6 (Security).** *A wORAM scheme is secure if, given any two legal sequences of operations  $(\text{Setup}(N, B, D), \text{Access}(\text{op}_1, a_1, \text{data}_1), \dots, \text{Access}(\text{op}_k, a_k, \text{data}_k))$  and  $(\text{Setup}(N, B, D'), \text{Access}(\text{op}'_1, a'_1, \text{data}'_1), \dots, \text{Access}(\text{op}'_k, a'_k, \text{data}'_k))$  of the same length, the views of the server arising from each sequence are computationally indistinguishable.*

A few remarks are in order. First, although we have defined **Setup** and **Access** as general client-server protocols, it is common in ORAM to ask that the server performs behaves like a memory allowing only read and write accesses. That is, the client only ever asks the server to read or write specific data at a specific address: and the server performs no computation of its own. Although this is not required in the previous definition, the wORAM schemes in this work are in that model.

Second, it is assumed that the contents of all memory locations on the server are encrypted using IND-CCA encryption, with a key known only to the client. Whenever the client accesses a memory location, they can reencrypt the data at that location, so that the server cannot learn the contents of any memory location, or whether it was changed during the access. As a result, the only way the server can infer information is by observing which locations the client queries in server memory. That is why the security definition of wORAM (following that of ORAM) focuses only on memory locations.

Finally, note that a standard ORAM scheme is the special case of a wORAM where all addresses store data of the same size  $B$ .

### 3.2 Tree ORAM

We build wORAM by altering standard ORAM schemes following the *Tree ORAM* paradigm. In this section, we provide a high-level algorithmic view of that paradigm. That view is purposefully designed to accomodate several existing Tree ORAM schemes. It will also lay the groundwork for the construction of wORAM in the next section.

Existing Tree ORAM schemes are standard ORAMs, designed to store items of fixed size. In a Tree ORAM, to store  $N$  items of size  $B$ , the server creates

a full binary tree with  $N$  leaves. (From now on, we assume  $N$  is a power of 2, increasing to the next power of 2 if necessary.) Throughout the article, the root of the tree is viewed as being at the top, and leaves as being at the bottom of the tree. Given a leaf  $l$  of the tree, the path from the root to the leaf  $l$  is denoted by  $\mathcal{P}(l)$ .

Each node of the tree, also called a *bucket*, can store up to  $Z$  data blocks of size  $B$ . Nodes are always padded to be of size  $ZB$  before being stored (encrypted) on the server.

In addition to the tree, the server may also store a *stash*, which may contain additional data blocks that could not fit in the tree. In the remainder, we view the stash as a special node directly above the root. This is relevant in two situations. First, there may be cases where a node is full (*i.e* it contains  $Z$  items), and where additional items need to be pushed to the parent node; if this happens at the root level, overflowing items are pushed to the stash. Second, whenever we consider the path  $\mathcal{P}(l)$  from some leaf  $l$  to the root in the tree, we implicitly (and slightly abusively) also consider the stash to be part of the path. The stash is always padded to some upper bound  $RB$ , before being stored (encrypted) on the server.

To each item with address  $a$  is associated a leaf of the tree  $\text{pos}(a)$ . The array mapping each address  $a$  to the corresponding leaf  $\text{pos}(a)$  is called a *position map*. For now, we will assume the position map is stored by the client. By design, Tree ORAMs maintain the following invariant at all times: the item at address  $a$  is stored in one of the nodes on the path  $\mathcal{P}(\text{pos}(a))$  from the root to leaf  $\text{pos}(a)$  (including the stash, as noted earlier).

During setup, each item with address  $a$  is stored in the leaf  $\text{pos}(a)$ ; or if it is full, in the lowest parent of  $\text{pos}(a)$  that is not yet full. To access item  $a$ , the client retrieves  $\text{pos}(a)$  from the position map, then reads the path  $\mathcal{P}(\text{pos}(a))$  on the server. Thanks to the invariant, that path contains the item  $a$ . Item  $a$  is then assigned a new uniformly random leaf. Finally, a special *eviction* procedure is called, which re-inserts item  $a$  somewhere on the path to its newly assigned leaf, and may also move other items.

Pseudo-code for the **Evict** procedure is given in Algorithm 1, with additional parameters  $Z$  (the number of blocks per bucket, specified by the Tree ORAM scheme; to reflect the fact that  $Z$  is an internal parameter of the ORAM construction, and not part of its interface, it is written between brackets), and random coins  $r$ . It makes use of the following subroutines:

- **ReadBucket**( $bucket$ ) retrieves a set of pairs  $(a_i, data_i)$  from the tree node  $bucket$ .
- **RemoveBlock**( $bucket, a$ ) removes the item with address  $a$  from the tree node  $bucket$ .
- **ChooseEvictionPath** outputs a path for eviction, which differs depending on the specific Tree ORAM scheme.

Pseudo-code for the **Access** procedure is given in Algorithm 2, with additional parameters  $Z$  (the number of blocks per bucket, specified by the Tree ORAM scheme), and random coins  $r$ . It makes use of the following subroutines:

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**Algorithm 1** Access algorithm of a Tree-ORAM.

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**Access** $[Z; r](\text{op}, a, \text{newdata})$ :

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1:  $\text{leaf} \leftarrow \text{pos}[a]$ 
2:  $\text{pos}[a] \leftarrow$  uniformly random leaf
3: for  $\text{bucket}$  in  $\mathcal{P}(\text{leaf})$  do
4:   if  $(a, \text{data}) \in \text{ReadBucket}(\text{bucket})$  then
5:      $\text{RemoveBlock}(\text{bucket}, a)$ 
6: if  $\text{op} = \text{write}$  then
7:    $\text{data} = \text{newdata}$ 
8:    $\text{stash} \leftarrow \text{stash} \cup \{(a, \text{data})\}$ 
9:    $\text{path} \leftarrow \text{ChooseEvictionPath}(\text{leaf})$ 
10:  $\text{Evict}[Z; r](\text{path})$ 
11: return  $\text{data}$ 

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- $\text{SizeX}$  returns the number of items  $|X|$  in  $X$ .
- $\text{ChooseNextBlock}(\text{stash}, \text{bucket}, \text{path})$  pops an item from the stash, to be stored in the bucket, or outputs  $\perp$ .
- $\text{WriteBucket}(\text{bucket}, X, Z)$  writes the items in  $X$  to the node  $\text{bucket}$ , padding the node to size  $Z$  if needed.

---

**Algorithm 2** Generic eviction algorithm.

---

**Evict** $[Z; r](\text{path})$ :

```

1: Move all blocks in  $\text{path}$  to the stash
2: for  $\text{bucket}$  in  $\text{path}$  do
3:    $X \leftarrow \emptyset$ 
4:   while  $\text{Size}(X) < Z$  do
5:      $\text{block} \leftarrow \text{ChooseNextBlock}(\text{stash}, \text{bucket}, \text{path})$ 
6:     if  $\text{block} = \perp$  then
7:       break
8:     else
9:        $X \leftarrow X \cup \{\text{block}\}$ 
10:    $\text{WriteBucket}(\text{bucket}, X, Z)$ 
11: return

```

---

We will discuss in Section 5 how several existing Tree ORAM schemes are captured by the above paradigm.

**Correctness of Tree ORAM** Since Tree ORAM is a special case of ORAM, the correctness definition remains the same (Definition 5). However, because of the specificities of Tree ORAM, it can be reformulated in a more convenient manner. That is, the only correctness failure that can occur in a Tree ORAM scheme is that the stash overflows. (The reader familiar with Tree ORAM may

object that some Tree ORAM schemes do not use a stash; that case will be handled in Section 5).

Recall that the stash is always padded to size  $RB$ , *i.e.* it can store up to  $R$  items. Hence, correctness amounts to the following statement: at the outcome of any sequence of legal accesses  $(\text{Setup}, \text{Access}_1, \dots, \text{Access}_k)$ , it holds that

$$\Pr[\text{Size}(\text{stash}) > R] = \text{negl}(\lambda).$$

### 3.3 $\infty$ -ORAM

Consider a Tree ORAM instantiation  $\text{ORAM}^Z \leftarrow \text{Setup}[Z](N, B, D)$ , with bucket capacity  $Z$ . If  $\mathbf{s}$  is a sequence of accesses, we call  $st(\text{ORAM}^Z[\mathbf{s}])$  the stash usage, that is, the number of items in the stash at the outcome of the accesses.

In Path ORAM and many Tree ORAM schemes derived from it, the proof of correctness follows similar steps:

- Consider an *infinite* ORAM structure  $\text{ORAM}^\infty$ , which is the same protocol, except buckets have infinite capacity.
- Define a post-processing algorithm  $G_Z$  that moves items in the tree produced by running  $\text{ORAM}^\infty$  (arranging in particular that each tree node contains at most  $Z$  items). Denote the stash usage of the post-processed  $\infty$ -ORAM by  $st^Z(\text{ORAM}^\infty[\mathbf{s}])$ .
- Prove that  $st(\text{ORAM}^Z[\mathbf{s}]) = st^Z(\text{ORAM}^\infty[\mathbf{s}])$  when using the same random coins on both sides.
- Prove that  $\Pr[st^Z(\text{ORAM}^\infty[\mathbf{s}]) > R] = \text{negl}(N)$ .

The last two points imply that  $\Pr[st(\text{ORAM}^Z[\mathbf{s}]) > R] = \text{negl}(N)$ , *i.e.* the original ORAM scheme is correct. We say that such a protocol admits a *proof via infinite ORAM*.

## 4 Generic Construction of wORAM from Tree ORAM

### 4.1 Transformation Overview

Our goal is to give a generic way to transform an existing standard tree ORAM design into one that handles objects of variable size *with no added cost*. To achieve this, we modify the protocols used to interact with the ORAM so that when an object is added to a bucket, it is allowed to “spill out” of it, as long as the size of this spilling out is small. For the correctness proof to hold, we increase the bucket size from  $Z$  to  $Z + 1$  (and  $Z = 5$ ). (Practical experiments in Section 4.5 suggest that this increase can be heuristically dispensed with.)

## 4.2 Translation Function

We define a general transform **TransVar** that takes as input a standard Tree ORAM scheme  $\text{ORAM}^Z = (\text{Setup}, \text{Access})$  following the framework of Section 3.2, and outputs a wORAM scheme  $\text{TransVar}(\text{ORAM}^Z) = \text{ORAM}^{*Z} = (\text{Setup}^*, \text{Access}^*)$ .

Let us first consider the setup. We say that the starting scheme  $\text{ORAM}^Z$  has a *regular* setup if its setup procedure is equivalent to creating an empty tree with all items in the stash, then doing repeated evictions towards every leaf in the tree from left to right. Here, by “equivalent” we mean that the output of this process and the output of the normal setup process are identically distributed. In our main theorem, we will require that the starting Tree ORAM  $\text{ORAM}^Z$  has a regular setup. Although that notion of regularity is unusual, it has the benefit that the behavior of the setup process can be deduced from that of the eviction process. For our purpose, this means it will be enough to explain how to transform the eviction process to handle blocks of variable size.

$\text{ORAM}^{*Z}$  is defined in the following way, making only minimal modifications to  $\text{ORAM}^Z$  to handle items of variable size.

- **Setup** $^*(N, B, D)$  initializes a tree with  $N$  leaves, whose nodes can hold data of size  $(Z+1)B$  bits each, and a stash of the same size  $RB$  bits as the standard instance  $\text{ORAM}^Z$ . It initializes a position map where each address  $a$  in  $D$  is mapped to a uniformly random leaf. Finally, it performs a *regular* setup: that is, all items in  $D$  are placed in the stash, and the **Evict** $^*$  procedure is called on the path from the root to each leaf, from left to right.
- **Access** $^*$  is identical to **Access**, except that it calls the modified subroutine **Evict** $^*$ .
- **Evict** $^*$  is identical to **Evict**, except that it calls the modified subroutines **Size** $^*$  and **WriteBucket** $^*$ .
- **Size** $^*(X)$  returns the sum of the sizes of all items in  $X$  divided by  $B$ , instead of the number of items in  $X$ .
- **WriteBucket** $^*(\text{bucket}, X, Z)$  still writes the items in  $X$  to node  $\text{bucket}$ , the only difference is that it pads the bucket to size  $Z + 1$  instead of  $Z$ .

## 4.3 Suitable Tree ORAM Schemes

For a Tree ORAM scheme to be suitable to build wORAM from, it must satisfy certain conditions. This section serves to define those conditions.

Given a sequence of accesses  $\mathbf{s}$ , some fixed random coins  $r$  used during those accesses, and a subset  $S$  of nodes in an  $\infty$ -ORAM scheme  $\text{ORAM}^*$ , define the *usage* of  $S$ , written  $u^S(\text{ORAM}^{*\infty}[\mathbf{s}; r])$ , to be the total number of items assigned to the nodes in  $S$ . For a wORAM scheme, the usage of  $S$  is defined to be the total size of the items assigned to nodes in  $S$ , divided by the block size  $B$ .

As discussed in Section 3.2, a correctness failure for a Tree ORAM scheme ORAM occurs if and only if, at the outcome of a series of accesses  $\mathbf{s}$  with random coins  $r$ , the stash receives strictly more than  $R$  elements. Using the notation from

Section 3.3, this translates to  $st(ORAM^Z[s; r]) > R$ . We say that a subset  $S$  of nodes *witnesses* the failure if, in the corresponding  $\infty$ -ORAM scheme  $ORAM^*$  when performing the same sequence of accesses using the same random coins (*viz.* the choices of fresh uniformly random leaves for the position of any accessed item remain the same),  $u^S(ORAM_L^*[s]) > |S| \cdot Z + R$ , where  $L = \lceil \log(N) \rceil$  is the tree height. Intuitively, since the nodes in  $S$  can store at most  $|S| \cdot Z$  items, it is clear that more than  $R$  items must be reassigned to the stash in the original ORAM: that is why we say that  $S$  witnesses the failure.

**Definition 7 ( $F \Rightarrow W, W \Rightarrow F$ ).** We say that ORAM satisfies the  $F \Rightarrow W$  property (read: “failure implies witness”) with respect to a set  $\mathcal{S}$  of subset of nodes, iff for all access sequences  $s$  and all choices of random coins  $r$ ,  $st(ORAM^Z[s; r]) > R$  implies  $\exists S \in \mathcal{S}, u^S(ORAM_L^*[s]) > |S| \cdot Z + R$ . We say that ORAM satisfies the  $W \Rightarrow F$  (read: “witness implies failure”) property if the converse is true.

Moreover, we say that ORAM satisfies the  $F \Rightarrow W$  (resp.  $W \Rightarrow F$ ) property with union bound if the scheme also satisfies that  $\sum_{S \in \mathcal{S}} \Pr[u^S(ORAM_L^*[s]) > |S| \cdot Z + R] = \text{negl}(\lambda)$ . Informally, this means the statement “the probability that a failure witness exists is negligible” can be proved via a union bound over all possible witnesses  $S \in \mathcal{S}$ .

The definitions remain the same for a wORAM scheme. In particular, for a wORAM scheme  $ORAM^*$ , a subset  $S$  witnesses a failure if  $u^S(ORAM_L^*[s]) > |S| \cdot Z + R$  (and not  $|S| \cdot (Z + 1) + R$ , even though, looking forward to our construction of wORAM, we will use buckets of size  $(Z + 1)B$ ).

**Definition 8 (Suitable Tree ORAM).** We say that a Tree ORAM scheme is suitable if it satisfies the following conditions.

1. It admits a proof via infinite ORAM. That is, for all access sequence  $s$  and random coins  $r$ ,  $st(ORAM^Z[s; r]) > R$  iff  $st^Z(ORAM^\infty[s; r]) > R$ .
2. ORAM satisfies the  $W \Rightarrow F$  property with respect to some set  $\mathcal{S}$ , with union bound.
3.  $\text{TransVar}(\text{ORAM})$  satisfies the  $F \Rightarrow W$  property with respect to the same  $\mathcal{S}$ .
4. ORAM allows free evictions. That is, if the client is allowed to trigger evictions on uniformly random leaves at will during a sequence of accesses, correctness still holds.

Requiring all those properties may seem demanding, but they naturally hold for several existing Tree ORAM schemes, including Path ORAM and Simple ORAM. This will be shown in more detail in Section 5. Intuitively, this is because many schemes admit a proof via infinite ORAM, either explicitly (in the case of Path ORAM), or trivially (in the case of Simple ORAM, where the ORAM and its infinite variant are identical up to correctness failures). Similarly, the  $F \Rightarrow W$  property is either already known, or trivial; and the *free eviction* property is immediate. The only property that requires some care is to show that  $\text{TransVar}(\text{ORAM})$  satisfies the  $F \Rightarrow W$  property. However, it is much

more tractable than trying to analyze the correctness of a wORAM scheme directly (even without having to contend with variable size blocks, the correctness analysis of Tree ORAM schemes such as Path ORAM is notoriously complex).

#### 4.4 Main Result

**Theorem 1 (Main Theorem).** *Let ORAM be any suitable Tree ORAM scheme. If ORAM is a correct ORAM scheme, then  $\text{TransVar}(\text{ORAM})$  is a correct wORAM scheme.*

Before diving into the proof proper, we sketch the underlying approach. Because of the  $F \Rightarrow W$  and  $W \Rightarrow F$  properties required by the suitability assumption, showing the the wORAM scheme is correct essentially amounts to showing that no set  $S \in \mathcal{S}$  witnesses a failure. We wish to analyze the function that maps the sizes of items to the usage of  $S$  (i.e. the sum of sizes of all items in  $S$ ). Ultimately, we want to show that the probability that the usage of  $S$  exceeds  $|S| \cdot Z + R$  is negligible, regardless of item sizes.

The proof strategy is to upper-bound the previous probability by a Schur-convex function, and show that this function is negligible. The idea behind this strategy is that if a function of item sizes is Schur-convex, then in order to upper bound the function for *all* possible vectors of item sizes, it is enough to upper-bound it for a set of maximal vectors for the majorization order. Luckily, due to the requirement that item sizes are of size at most  $B$ , and that the sum of items sizes are at most  $NB$ , a single weight vector majorizes all others, namely the vector  $(B, \dots, B, 0, \dots, 0)$ . Hence, it is enough to upper-bound the function for that specific vector. But this is actually quite easy, because this weight vector essentially amounts to having all items be of the same size, which reduces to the correctness of the original (unweighted) ORAM instance.

Thus, the core of the proof is to find a suitable Schur-convex function. This is done via a first-moment argument (Lemma 2), which allows us to work with expectancies instead of probabilities. Expectancies are much better behaved with respect to convexity (due to the linearity of expectation). Eventually, we massage the upper bound into a suitable Schur-convex function (in the proof, this is the map  $\mathbf{w} \mapsto \mathbb{E}[X_{\mathbf{s}, L, S}(\mathbf{w})]$ ), and show it is convex essentially by showing that it is structured as a composition of convex maps. Using Lemma 1, we deduce that it is Schur-convex.

*Proof.* First, we show a simple self-contained technical lemma.

**Lemma 2.** *Let  $X$  be an integral random variable defined over  $[0, t] \subset \mathbb{N}^+$ , with  $t \in \text{Poly}(\lambda)$ . Then  $\Pr[X > R] = \text{negl}(\lambda)$  if and only if  $\mathbb{E}(\max(0, X - R)) = \text{negl}(\lambda)$ .*

*Proof.* First, recall that the expectation of a positive integral variable  $Y$  can be written as:

$$\mathbb{E}(Y) = \sum_{i \geq 0} \Pr[X > i].$$

As a corollary, for any integral variable  $Y$  satisfying  $0 \leq Y \leq t$ :

$$\Pr[Y > 0] \leq \mathbb{E}(Y) \leq t \Pr[Y > 0]. \quad (1)$$

Observe that the event  $X > R$  is equivalent to  $\max(0, X - R) > 0$ . Using that observation, and applying (1) to the variable  $\max(0, X - R)$ , we get:

$$\Pr[X > R] \leq \mathbb{E}(\max(0, X - R)) \leq t \Pr[X > R].$$

Since  $t \in \text{Poly}(\lambda)$ , we are done.  $\blacksquare$

Let  $\text{ORAM}$  be a suitable and correct Tree ORAM scheme. Let  $\text{ORAM}^* \leftarrow \text{TransVar}(\text{ORAM})$ . Let  $\mathbf{s}$  be a legal sequence of accesses for  $\text{ORAM}^*$ . We need to show that  $\Pr[st(\text{ORAM}^*[\mathbf{s}]) > R] = \text{negl}(\lambda)$ .

Since  $\text{ORAM}$  satisfies the  $F \Rightarrow W$  property with respect to some set  $\mathcal{S}$ , it suffices to show that the probability that there exists  $S \in \mathcal{S}$  witnessing the failure is negligible, *i.e.*  $\Pr[\exists S \in \mathcal{S}, u^S(\text{ORAM}_L^*[\mathbf{s}]) > |S| \cdot (Z + 1) + R]$  is negligible.

Let us fix  $S \in \mathcal{S}$ . We want to show that  $\Pr[u^S(\text{ORAM}_L^*[\mathbf{s}]) > |S| \cdot (Z + 1) + R]$  is negligible. (This is not enough to imply that the probability that there *exists* such an  $S$  is negligible, since  $\mathcal{S}$  may have superpolynomial cardinality; we will come back to this point later.) A crucial observation is that in  $\text{ORAM}_L^*$ , the sizes of data items plays no role. In particular, given an access sequence  $\mathbf{s}$  and associated random coins  $r$ , the location of each item in the tree is entirely determined *independently of the size of the data items*.

Given an access sequence  $\mathbf{s}$  with  $m$  items in total, and a *size allocation vector*  $\mathbf{w} = (w_i)_{i \leq m} \in [0, 1]^m$ , define  $\mathbf{s}(\mathbf{w})$  to be the access sequence  $\mathbf{s}$ , modified such that at the outcome of the sequence the  $i$ -th item has size  $w_i$ . Let  $\Pi$  be the set of permutation matrices of size  $m$ . Let  $X_{\mathbf{s}, L, S}(\mathbf{w}) = \max_{\mathbf{P} \in \Pi} (\max(0, u^S(\text{ORAM}_L^*[\mathbf{s}(\mathbf{P}\mathbf{w})]) - (|S| \cdot Z + R))$ . By Lemma 2,  $\mathbb{E}[X_{\mathbf{s}, L, S}(\mathbf{w})]$  is an upper bound on  $\Pr[u^S(\text{ORAM}_L^*[\mathbf{s}]) > |S| \cdot (Z + 1) + R]$ , so it is enough to show that  $\mathbb{E}[X_{\mathbf{s}, L, S}(\mathbf{w})]$  is negligible. This will follow from the next lemma. While the lemma is not difficult to prove, we view it as the core of the argument.

**Lemma 3.** *Let  $\mathbf{s}$  be a legal sequence of accesses, and let  $S \in \mathcal{S}$ . Then the map  $f : \mathbf{w} \mapsto \mathbb{E}[X_{\mathbf{s}, L, S}(\mathbf{w})]$  is Schur-convex.*

*Proof.* First, we show that  $X_{\mathbf{s}, L, S}$  is convex when the random coins used in the ORAM construction are fixed. Until further notice, we assume that all random coins are fixed. Only  $\mathbf{w}$  varies. Let  $\lambda \in [0, 1]$ , and let  $\mathbf{v}, \mathbf{w}$  be two size allocation vectors. We begin by observing that the map  $g : \mathbf{w} \mapsto u^S(\text{ORAM}_L^*[\mathbf{s}(\mathbf{P}\mathbf{w})])$  is linear. This is because, as already noted, whether an item is stored in a node from  $S$  or not is independent of the weight of the items. As a consequence,  $g(\mathbf{w})$  is equal to the sum of the weights of items stored in  $S$ , *i.e.* it is a fixed linear combination of  $w_i$ 's (with binary coefficients). Since  $g$  is linear, it is trivially convex.

Now we observe that for any constant  $C$ , the map  $h : x \mapsto \max(0, x - C)$  is increasing and convex. Since the composition of an increasing convex function



with a convex function is convex, we deduce that the map  $h \circ g$  is convex. Since  $X_{\mathbf{s},L,S}(\mathbf{w}) = \max_{\mathbf{P} \in \Pi} h \circ g(\mathbf{P}\mathbf{w})$ , it is a maximum of convex maps, so it is also convex.

On the other hand,  $X_{\mathbf{s},L,S}(\mathbf{w})$  is symmetric by construction, since it takes the maximum over all permutations of  $\mathbf{w}$ . By Lemma 1, since  $X_{\mathbf{s},L,S}(\mathbf{w})$  is both symmetric and convex, it is Schur-convex.

It remains to show that Schur convexity still holds when considering the expectation of  $X_{\mathbf{s},L,S}(\mathbf{w})$ . (From now on, we no longer assume that random coins are fixed.) However, it is easy to see that if a probabilistic map is Schur-convex for every fixed choice of random coins (sometimes called stochastic Schur-convexity), then its expectation is also Schur-convex [22]. We conclude that  $\mathbf{w} \mapsto \mathbb{E}[X_{\mathbf{s},L,S}(\mathbf{w})]$  is Schur-convex. ■

**Corollary 1.** *Let  $\mathbf{s}$  be a legal sequence of accesses with weight vector  $\mathbf{w}$ , and let  $S \in \mathcal{S}$ .  $\mathbb{E}[X_{\mathbf{s},L,S}(\mathbf{w})]$  is negligible.*

*Proof.* For an access sequence to be legal, its weight vector  $\mathbf{w}$  must satisfy that  $w_i \leq B$  for all  $i$ , and  $\sum w_i \leq NB$ . Observe that all such vectors are majorized by the vector  $\mathbf{v} = (B, \dots, B, 0, \dots, 0)$  containing  $N$  initial  $B$ 's. Since  $\mathbb{E}[X_{\mathbf{s},L,S}(\mathbf{w})]$  is Schur-convex, it follows that  $\mathbb{E}[X_{\mathbf{s},L,S}(\mathbf{w})] \leq \mathbb{E}[X_{\mathbf{s},L,S}(\mathbf{v})]$ : in order to upper bound  $\mathbb{E}[X_{\mathbf{s},L,S}(\mathbf{w})]$ , it suffices to focus on the weight vector  $\mathbf{v}$ . (This is the point of using a Schur-convexity argument.)

But in the case of the vector  $\mathbf{v}$ , all items are of the same size  $B$ , or of size 0.<sup>1</sup> In that case,  $\text{ORAM}^*$  behaves exactly like  $\text{ORAM}$ , except that accesses to items of size 0 translate to evictions without any prior item access. In particular, The usage of  $S$  is the same for  $\text{ORAM}^*$  and  $\text{ORAM}$ . Since we assume that  $\text{ORAM}$  has the free eviction property, it remains correct when allowing eviction queries by the client. Since it is also assumed to be correct and to satisfy  $W \Rightarrow F$ , it follows that the usage of  $S$  cannot exceed  $|S| \cdot Z + R$  except with negligible probability, hence the same holds for  $\text{ORAM}^*$ , and we are done. □

So far, we have shown that the probability that any given  $S$  witnesses a failure in  $\text{ORAM}^*$  is negligible. To conclude the proof, it remains to show that the probability that there *exists* an  $S \in \mathcal{S}$  witnessing a failure is negligible. This does not follow immediately from the previous statement, because  $|\mathcal{S}|$  may be superpolynomial. However, looking at the proof of Lemma 2, we see that when switching from expectation to probability and back, we only lose a factor  $t$ . In our case, the stash size is a random variable bounded by  $NB$ , so we have that for every  $S$ ,

$$\Pr[u^S(\text{ORAM}_L^{*\infty}[\mathbf{s}(\mathbf{v})]) > |S| \cdot Z + R] \leq NB \Pr[u^S(\text{ORAM}_L^\infty[\mathbf{s}]) > |S| \cdot Z + R].$$

<sup>1</sup> The reader may observe that items of size 0 are not technically legal per the earlier definition of  $\text{wORAM}$ , which asks that items are of size at least 1; however,  $\text{TransVar}(\text{ORAM})$  remains well-defined even for items of size 0, so nothing stops us from using them within the proof —the reason we forbade items of size 0 is that they would allow for an unbounded number of items, which would require a position map of unbounded size, but this is irrelevant for the current line of reasoning.

Since ORAM is assumed to satisfy  $W \Rightarrow F$  with *union bound*, and  $NB \in \text{poly}(\lambda)$ , we know that the sum of the latter quantity over all  $S \in \mathcal{S}$  is negligible, hence  $\text{ORAM}^*$  inherits the same union bound property. It follows that the probability that there exists a failure witness  $S$  for  $\text{ORAM}^*$  is negligible. Since  $\text{ORAM}^*$  satisfies the  $F \Rightarrow W$  property, we conclude that  $\text{ORAM}^*$  is correct.  $\square$

## 4.5 Experimental Results

To test empirically the correctness of our weighted ORAM, we implemented a Path ORAM structure and performed simulated accesses. We did two experiments: one with  $N$  object of the same size (which simulates the standard case) and one with objects of variable sizes (the sizes are uniformly random, but sum to  $N$ ). Our results are presented as graphs in Figure 1.

We took inspiration from the experiment in Section 7 of [27]. The experiment went as follows:

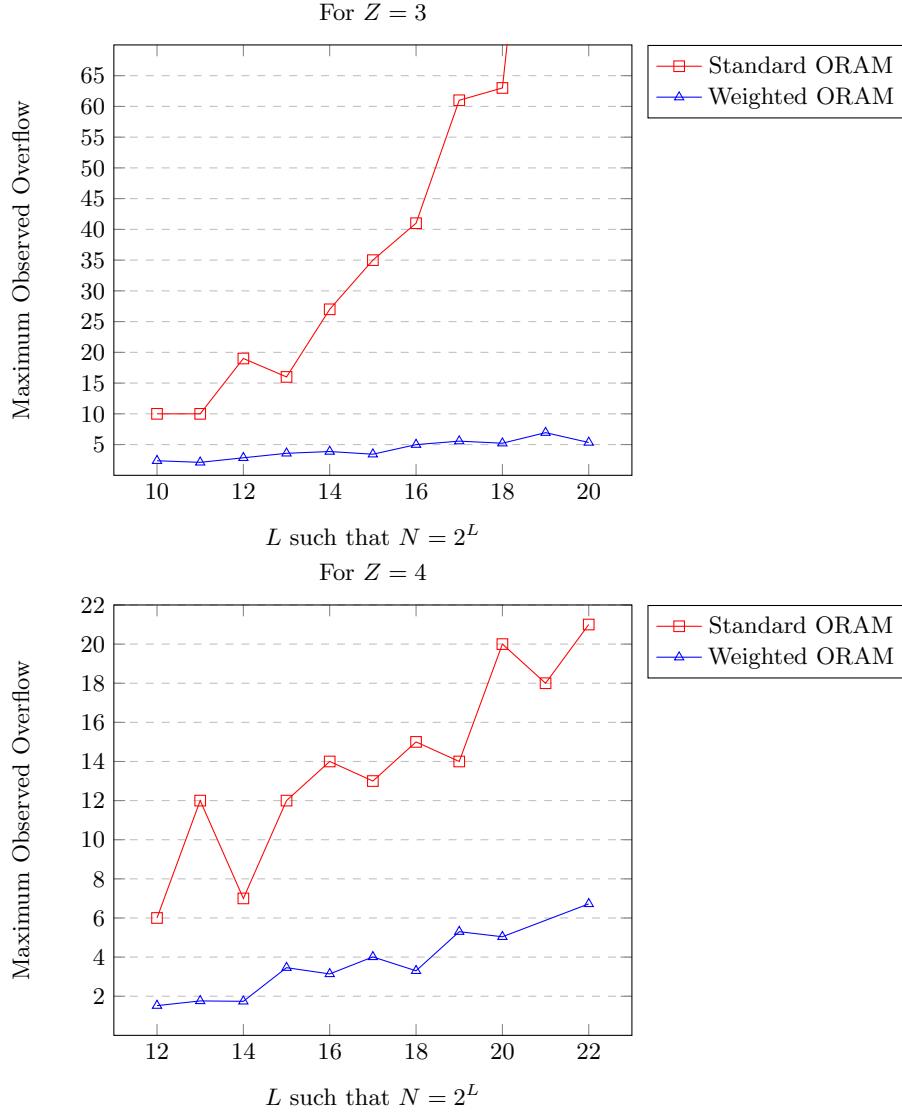
- We generated ORAM structures for  $N$  objects, with  $N = 2^L$  and  $L \in \{10, 11, \dots, 22\}$ . The bucket size is  $Z \in \{3, 4\}$
- We chose the maximum block size to be  $B = 512$ .
- For the standard ORAM simulation, all blocks were of size  $B$ . For the variable ORAM simulation, blocks were taken uniformly at random in  $[B]$ , with the total sum of the sizes being  $N \cdot B$ . The number  $m$  of blocks generated is roughly  $2 \cdot N$ .
- We start with the Path ORAM loaded randomly with the objects at its leaves, and perform between  $10 \cdot m$  and  $50 \cdot m$  accesses in the order  $\{1, 2, \dots, m, 1, 2, \dots\}$ .

Figure 1 suggests that objects of variable size are even less prone to stash overflows than the standard case. Path ORAM seems to be much more resilient, and able to handle different sets of objects than what the correctness proof shows.

Regarding bucket size, we make an observation similar to that of [27]: even though the correctness of the ORAM was proved for  $Z + 1 = 6$ , the construction appears resilient enough to work correctly even when  $Z + 1 = 4$ . In [27], the empirical results suggest that Path ORAM can be used when  $Z$  is as low as 4. Thus we have reasons to believe that our method does not lead to a blowup in the server storage.

## 5 Application to Existing Tree ORAMs

In this section we present several concrete constructions: a weighted Simple ORAM, based on Chung and Pass’s Simple ORAM [9], and a weighted Path ORAM, based on the seminal work by Shi *et al.* [27]. The construction for Path-ORAM can be easily adapted to build a weighted *Random-Index ORAM* from the one presented in [16], as the block-holding structure is virtually the same. We also sketch the application to Circuit ORAM [30] and OPRAM [8]. By Theorem 1, in each case, it suffices to show that the scheme is suitable. The weighted variant is then obtained by applying **TransVar**.



**Fig. 1.** Experimental results when  $Z \in \{3, 4\}$

### 5.1 Weighted Simple ORAM [9]

Let  $\text{SimpleOram} = (\text{SimpleOram.Setup}, \text{SimpleOram.Access})$ . In the original paper, each bucket has a capacity of  $Z = O(\log(N))$  and the ORAM overflows *iff* there is a bucket with more than  $Z$  items: there is no stash. From the perspective of the Tree ORAM framework from Section 3.2, a stash does exist, however, it is required that it is empty at the outcome of any (legal) sequence of accesses. That is, we set the stash bound  $R$  to 0.

In  $\text{SimpleOram}$ :

- The  $\text{ChooseEvictionPath}(leaf)$  method is implemented by choosing a path uniformly at random (the  $leaf$  argument is ignored).
- The  $\text{ChooseNextBlock}(stash, bucket, path)$  method is implemented by returning the first item among items whose position is such that its meet with the current path is exactly  $bucket$ . (In other words, all items are stored as low as possible along the eviction path.) The correctness of  $\text{SimpleOram}$  relies on the fact that all such items will fit in the current bucket; items are never pushed somewhere else in case a bucket is full.

We want to show that  $\text{SimpleOram}$  is suitable. Define  $\mathcal{S}$  to be the set containing the singleton  $\{bucket\}$  for each tree node  $bucket$ . The fact that the correctness of  $\text{SimpleOram}$  is equivalent to the fact that no element of  $\mathcal{S}$  witnesses a failure is immediate, since the correctness of  $\text{SimpleOram}$  requires precisely that no node overflows. Hence,  $\text{SimpleOram}$  satisfies  $W \Rightarrow F$  (and  $F \Rightarrow W$ ) with respect to  $\mathcal{S}$ . The fact that  $\text{TransVar}(\text{SimpleOram})$  satisfies  $F \Rightarrow W$  is immediate for the same reason.  $\text{SimpleOram}$  also satisfies the union bound requirement, because its analysis in [9] relies on just such a union bound. The fact that it supports free evictions is also follows directly the analysis in [9] (additional evictions translate to more success chances in the dart game argument at the center of the analysis). We conclude that  $\text{SimpleOram}$  is suitable.

**Theorem 2.**  *$\text{TransVar}(\text{SimpleOram})$  is a correct wORAM scheme.*

### 5.2 Weighted Path ORAM [27]

Let  $\text{ORAM}_L^Z \leftarrow \text{PathOram.Setup}(N, Z)$  be an instance of Path ORAM. In  $\text{PathOram}$ , the bucket capacity  $Z$  is a small constant (the scheme is proven correct for  $Z = 5$ , we shall use this value). The stash capacity  $R$  is a  $O(\log(N))$ .

In  $\text{PathOram}$ :

- The  $\text{ChooseEvictionPath}(leaf)$  method is implemented by returning  $\mathcal{P}(leaf)$ .
- The  $\text{ChooseNextBlock}(stash, bucket, path)$  method is implemented by returning an item from the buffer such that the its associated position is below  $bucket$ , and the meet between the position of the item and  $path$  is lowest among the items in  $buffer$ . (In other words, the scheme tries to store each item as low as possible along the eviction path.)

**Theorem 3.**  *$\text{TransVar}(\text{PathOram})$  is a correct wORAM scheme.*

*Proof.* Define  $\mathcal{S}$  to be the set of all subtrees of the ORAM tree, where a *subtree* is a subset of nodes closed for the *parent* relation (*i.e.* if the set contains a node, it also contains its parent). The analysis of [27] proves that **PathOram** satisfies both  $F \Rightarrow W$  and  $W \Rightarrow F$  with respect to  $\mathcal{S}$ , via a union bound. The fact that **PathOram** supports free evictions also follows from the analysis. In the remainder, we focus on showing that  $\text{PathOram}^* \leftarrow \text{TransVar}(\text{PathOram})$  satisfies  $F \Rightarrow W$ .

For that purpose, we follow a similar approach to the initial part of the analysis in [27]. Let us define a post-processing algorithm  $G_Z$ , which is applied to  $\text{ORAM}_L^{\infty}$  after a sequence of accesses. This is an virtual algorithm used only to analyze stash usage, so we can allow it to do things that are not possible within the normal wORAM framework. In particular, we let  $G_Z$  “split” any object of size  $w$  in two objects of sizes  $w_1$  and  $w_2$  such that  $w_1 + w_2 = w$ , storing the two chunks at distinct locations.  $G_Z$  repeats the following process, as long as there are overfull buckets (*i.e.* whose size is strictly more than  $Z$ —to avoid cluttering the notation, all sizes are implicitly divided by the block size  $B$ ):

1. Select a bucket that has load of more than  $Z$ . Let’s say that this bucket is at level  $h$  on some path  $P$  to the root. Remove blocks from the bucket (splitting one if needed) so that it ends up having a load of exactly  $Z$ .
2. Find the highest level  $i \leq h$  such that the bucket at level  $i$  on the path  $P$  has a load  $< Z$ . If such a bucket exists, store as many blocks as possible there until the load is  $Z$  (making a split if needed). Keep going upwards, any blocks that remain are stored in the stash.

First, let us prove that the stash usage (*i.e.* the cumulated size of the objects in the stash) of the post processed  $\infty$ -ORAM is greater than the stash usage of  $\text{ORAM}_L^{*Z}$ :

$$st^Z(\text{ORAM}_L^{\infty}[\mathbf{s}]) \geq st(\text{ORAM}_L^{*Z}[\mathbf{s}]). \quad (2)$$

Start by noticing that the order in which blocks are processed by  $G_Z$  does not matter in the end: the blocks are now “continuous” since we can split them, so the size of the blocks get distributed in the same way towards the same blocks, regardless of origin. So  $st^Z(\text{ORAM}_L^{\infty}[\mathbf{s}])$  is unique. We can generalize the argument from [27]: assume that  $G_Z$  processes blocks from the bucket  $\beta_1$  at level  $l_1$  on path  $p_1$ , then blocks from the bucket  $\beta_2$  at level  $l_2$  on path  $p_2$ . We want to show that the loads in the buckets in  $p_1 \cup p_2$  do not change if we let  $G_Z$  process  $\beta_2$  before  $\beta_1$  (We can see the stash as being the parent of the root, *i.e.* at level  $-1$ .) Without loss of generality, we can assume that those buckets are siblings (*i.e.*  $l_1 = l_2 = l$ ), since only  $p_1 \cap p_2$  will be affected by a change in the order. Assume that the post-processed blocks from  $\beta_1$  are of total size  $W_1$ ,  $W_2$  for those from  $\beta_2$ .  $G_Z$  first distributes a “mass” of size  $W_1$  in the buckets from level  $l - 1$  to  $-1$  in  $p_1 \cap p_2$ , and then a mass of size  $W_2$  in those same buckets. Before the distribution, let us call  $V_i$  the available space in the bucket at level  $i \in \{-1, 0, \dots, l - 1\}$  on path  $p_1 \cap p_2$ . When distributing a mass  $W$ ,  $G_Z$  performs Algorithm 3 (we assume that  $V_{-1} = \infty$ ): The  $\{V_i\}$  are the same after a successive application of Algorithm 3 on  $W_1$  then  $W_2$  or after its application on  $W_2$  then  $W_1$ .

---

**Algorithm 3** Distribution of mass of blocks

---

**Distribution( $W$ ):**

```
1:  $i \leftarrow l$ 
2: while  $W > 0$  do
3:    $i \leftarrow i - 1$ 
4:   if  $V_i \geq W$  then
5:      $V_i \leftarrow V_i - W$ 
6:      $W \leftarrow 0$ 
7:   else
8:      $V_i \leftarrow 0$ 
9:      $W \leftarrow W - V_i$ 
```

---

*Remark 1.* We wish to attract the reader’s attention on one point: in what precedes, we consider for simplicity that blocks are taken in bulk from the buckets, whereas in what follows it is more convenient to assume that  $G_Z$  processes them individually. It doesn’t make a difference for the same reason that the order doesn’t matter.

We can finally prove Statement (2). Informally, we can see that during the accesses,  $ORAM_L^Z$  stores blocks in buckets and in the stash in a more lenient way than  $G_Z$ , since it allows blocks to “stick out” of the buckets. More precisely, after the accesses of  $\mathbf{s}$  in  $ORAM_L^\infty[\mathbf{s}]$ , there exists a way to move blocks from the buckets they reside in to their final destination from  $ORAM_L^Z[\mathbf{s}]$  (in another bucket or the stash). Since the order in which we post-process blocks from the buckets does not matter, we can assume that this particular order is accessed by  $G_Z$ . If that is the case, after the processing of each block,  $G_Z$  puts that block in the bucket where it belongs according to  $ORAM_L^Z[\mathbf{s}]$ . However, should a part of the block (or its entirety) stick out of the bucket (i.e. causes the load to become  $\geq Z$ ), this part will be moved to a higher block or the stash. Thus the processing of each block by  $G_Z$  causes the stash size to either stay the same or to increase. Thus at the end of the processing,  $st^Z(ORAM_L^\infty[\mathbf{s}]) \geq st(ORAM_L^Z[\mathbf{s}])$ .

Second, let us prove that the stash usage  $st^Z(ORAM_L^\infty[\mathbf{s}])$  in the post-processed  $\infty$ -ORAM is  $> R$  if and only if there exists a subtree  $T$  in  $ORAM_L^\infty$  such that  $u^T(ORAM_L^\infty[\mathbf{s}]) > n(T) \cdot Z + R$ :

$\Leftarrow$  :

If there is such a  $T$ , the behavior of  $G_Z$  makes it so that the stash must hold more than  $R$  objects.

$\Rightarrow$  :

Let us define  $T$  to be the maximal subtree that contains all buckets of size at least  $Z$  after the post-processing. If a bucket  $b$  is not in  $T$ , it has an ancestor  $b'$  that has a used space of strictly less than  $Z$ , so the blocks of  $b$  cannot go to the stash. Thus all blocks in the stash came from buckets in  $T$ , and thus  $u^T(ORAM_L^\infty[\mathbf{s}]) > n(T) \cdot Z + R$ .

This shows that PathOram is suitable.  $\square$

### 5.3 Weighted Oblivious Parallel RAM [8]

Boyle, Chung, and Pass’s protocol [8] is based on Simple ORAM. Their framework present ORAM protocols to parallel algorithms, i.e. with multiple processors (clients). The **TransVar** function is not impacted by the fact that there are several clients: The modifications to the subroutines still capture this case, the correctness analysis holds. The only new component is the broadcast routine, where one of the CPUs broadcasts information about a certain block to the others CPUs. These messages are bounded by the size of the block. That could lead to a leakage, however because of the need to index the blocks, which will take a size of at least  $\log(m) \geq \log(N)$ . Thus, we can lower bound the size of the messages by  $O(\log(N))$ : their size will not leak information on the block. This yields a correct weighted OPRAM.

### 5.4 Weighted Circuit ORAM [30]

Circuit ORAM is a variant of Path ORAM, where the client only needs local space to hold one block. To achieve this, the eviction algorithm is slightly different and the stash is stored by the server (as the parent of the root node) instead of locally.

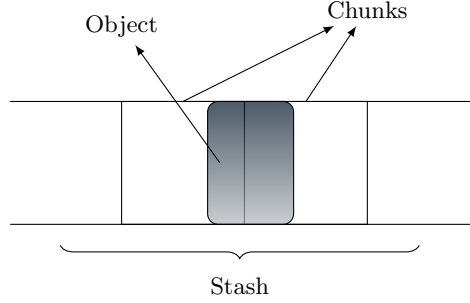
The correctness analysis of this scheme is based on the same principles as the one for Path ORAM. The function **TransVar** yields a correct ORAM here too. To prove this, we only need to show how we can adapt for the fact that the stash is stored on top of the tree. Figuring out which way to do this is not obvious since, because of the varying block size, the client cannot simply stream the content of the stash block by block: it would leak block size information. We propose a simple fix, which we also use when dealing with Trivial ORAM:

- We allow the client to store an additional space of size  $B$  (the maximal size of a block). This gives the client a local space of at least  $2 \cdot B$ .
- Whenever the client needs to access the stash, the client streams the content of the stash *chunk by chunk*, where each chunk is of size  $B$ . That way, since a block must always reside inside at most 2 chunks (see Figure 2), the client will read every object at the end of the stash stream.
- When the client wishes to write back a block, it is done locally among two chunks.

This way, the scheme stays correct and secure even with objects of variable size. The application of the generic criterion shows that Circuit ORAM is compatible with blocks of variable size.

## 6 Searchable Encryption from Weighted ORAM

With Searchable Symmetric Encryption (SSE), a client can delegate the storage of a database to a honest-but-curious server. The client is then able to perform searches on the database by issuing **Search** queries to the server. In the case



**Fig. 2.** A block of size  $< B$  in 2 chunks

of *dynamic* SSE, the client may also update the database by issuing **Update** queries to the server. The security goal is that the information leaked to the server during these different operations should be limited, in a sense that will be defined soon.

Here, we focus on the case of single-keyword search. In that setting, the client's database **DB** consists of a collection of documents, and **Search** queries ask to retrieve all documents that contain a given keyword. In modern SSE schemes, this functionality is realized efficiently by building a reverse index: For each keyword  $w$ , a list of the identifiers of documents matching the keyword, written  $\text{DB}(w)$ , is maintained on the server side in some encrypted form. *Response-revealing* SSE allows the server to learn the list of document identifiers, while *response-hiding* SSE does not: they are sent back to the client in encrypted form. Once having retrieved the desired document identifiers, the client may perform some additional computation, such as intersecting the results with other queries, or may fetch the documents on the same or a different server. In the case of response-revealing SSE, if the same server stores the reverse index and the documents, the server can immediately send back the documents without the need of an additional roundtrip, at the cost of possibly leaking additional information to the server. We note that the documents could be stored in an ORAM to avoid additional leakage, and that a weighted ORAM would reduce the performance cost of this approach. However, as in most SSE literature, we focus on the reverse index.

For efficiency reasons, SSE typically does not seek to have minimum leakage, but rather to strike a compromise between security and performance by allowing a controlled amount of leakage. In the security model, the leakage allowed by the scheme is expressed by a *leakage function*  $\mathcal{L} = \{\mathcal{L}_{\text{Setup}}, \mathcal{L}_{\text{Search}}, \mathcal{L}_{\text{Update}}\}$ . The security model asks that during a **Setup** operation (resp. **Search**, **Update**) with input  $x$ , the information leaked to the server is included in  $\mathcal{L}_{\text{Setup}}(x)$  (resp.  $\mathcal{L}_{\text{Search}}(x)$ ,  $\mathcal{L}_{\text{Update}}(x)$ ). More formally, it is required that there must exist a simulator  $\mathcal{S}$  such that the view of the server during **Setup**( $x$ ) (resp. **Search**( $x$ ), **Update**( $x$ )) should be indistinguishable from  $\mathcal{S}(\mathcal{L}_{\text{Setup}}(x))$  (resp.  $\mathcal{S}(\mathcal{L}_{\text{Search}}(x))$ ,  $\mathcal{S}(\mathcal{L}_{\text{Update}}(x))$ ).



Response-revealing SSE schemes leak the *access pattern*: That is, the server learns the identifiers of all documents matched by a query. In some use cases, access pattern leakage can be quite damaging, and allow the server to infer a sizable amount of information about the database [7,14]. Even in the case of response-hiding SSE, the server can typically learn the *query pattern*: that is, the server can learn whenever the client repeats the same query. In many cases, the server can learn the *volume* of the answer: that is, the number of documents matched by the query.

In some use cases, these different types of information leakage can be quite damaging, as shown by so-called *leakage-abuse* attacks [7,15]. To thwart those attacks, recent works have developed various protections: such as volume-hiding SSE [17,25], and the line of work on *leakage suppression* [18]. The strongest form of protection, considered for instance in [11,23,18], involves the use of ORAM, or specialized variants of ORAM. This raises some questions about how to optimize the use of ORAM, in order to preserve the high efficiency goal of SSE. In particular, as discussed *e.g.* in [11], since reverse indexes contain lists that can greatly vary in size, it is not obvious how to fit them into a (fixed-block size) ORAM. Our main point in this section is that weighted construction introduced here fit this setting perfectly. Concretely, we propose two SSE constructions based on weighted ORAM: ZeroSSE and BlockSSE. A brief overview is given Figure 3. We note that the main point of TWORAM, not reflected in the table, is to reduce the number of roundtrips in the iterative version of Path ORAM, thanks to a clever use of garbled circuits. However garbled circuits add a considerable overhead in practice.

Scheme	client storage	bandwidth overhead
TWORAM [11]	$\mathcal{O}(1)$	$\mathcal{O}(\lambda \log^2 N)$
ZeroSSE	$\mathcal{O}(W)$	$\mathcal{O}(\log(N/U))$
ZeroSSE'	$\mathcal{O}(1)$	$\mathcal{O}(\log^2 W + \log(N/U))$
BlockSSE	$\mathcal{O}(W)$	$\mathcal{O}(\log(N/B))$
BlockSSE'	$\mathcal{O}(1)$	$\mathcal{O}(\log^2 W + \log(N/B))$

**Fig. 3.** Overhead of ORAM-based SSE constructions.  $U$  is an upper bound on the longest list size,  $W \leq N$  is the number of keywords,  $B$  is the ORAM block size.

## 6.1 Preliminaries

We follow the standard definition of SSE. A dynamic SSE scheme  $\Sigma$  consists of four protocols, defined as follows.

- $\Sigma.\text{KeyGen}(1^\lambda)$ : Takes as input the security parameter  $\lambda$ . Outputs the master secret key  $K$ .
- $\Sigma.\text{Setup}(K, N, \text{DB})$ : Takes as input the client secret key  $K$ , an upper bound on the database size  $N$ , and a database  $\text{DB}$ . Outputs an encrypted database  $\text{EDB}$ .

- $\Sigma.\text{Search}(K, w, \text{st}; \text{EDB})$ : The client receives as input the secret key  $K$ , and keyword  $w$ . The server receives as input the encrypted database  $\text{EDB}$ . Outputs updated encrypted database  $\text{EDB}'$  for the server.
- $\Sigma.\text{Update}(K, (w, e); \text{EDB})$ : The client receives as input the secret key  $K$ , and a pair  $(w, e)$  of keyword  $w$  and document identifier  $e$ . The server receives as input the encrypted database  $\text{EDB}$ . Outputs updated encrypted database  $\text{EDB}'$  for the server.

The security model expresses that the view of the server can be simulated by an efficient simulator, receiving as input only the output of the leakage function. In more detail, we define two games,  $\text{SSEReal}$  and  $\text{SSEIdeal}$ . First, the adversary chooses a database  $\text{DB}$ . In  $\text{SSEReal}$ , the encrypted database  $\text{EDB}$  is generated by  $\text{Setup}(K, N, \text{DB})$ , whereas in  $\text{SSEIdeal}$ , the encrypted database is simulated by a (stateful) simulator  $\mathcal{S}$  on input  $\mathcal{L}_{\text{Setup}}(\text{DB}, N)$ . After receiving  $\text{EDB}$ , the adversary can issue search and update queries. In  $\text{SSEReal}$ , queries are answered using the real-world protocol. In  $\text{SSEIdeal}$ , the **Search** queries (resp. **Update**, **Setup**) on input  $x$  are simulated by  $\mathcal{S}$  on input  $\mathcal{L}_{\text{Search}}(x)$  (resp.  $\mathcal{L}_{\text{Update}}(x)$ ,  $\mathcal{L}_{\text{Setup}}(x)$ ). Finally, the adversary outputs a bit  $b$ .

The scheme is said to be  $\mathcal{L}$ -secure (*i.e.* secure with respect to the leakage function  $\mathcal{L}$ ) if for all PPT adversaries, there exists a PPT simulator such that the transcripts in the real and ideal world are computationally indistinguishable.

## 6.2 ZeroSSE

A line of recent work has aimed to hide volume leakage: that is, to hide the number of identifiers matching a given query [17,25]. Hiding volume leakage seems sensible when using ORAM technique to hide the query pattern, since volume leakage reveals information about the repetition of queries. This leads to the question of building on ORAM that also hides volume. For that purpose, an upper bound  $U$  is assumed on the volume of the longest list (that is, the longest query answer). As discussed in [11], the first approach one may think of is to use an ORAM with block size  $U$ ; however, this would require padding all lists to  $U$ , which would be prohibitive in many use cases, since the longest list may be several orders of magnitude larger than the average list size. In the worst case, the blowup in storage is  $\Omega(U)$ , even before considering ORAM overheads. Another approach would be to use a smaller block size, at the cost of a larger ORAM overhead.

The idea of ZeroSSE is simply to use the weighted variant of Path-ORAM,  $\text{TransVar}(\text{PathOram})$  with  $U$  as the upper bound on block size. Relative to the previous two approaches, this minimizes both the overhead due to padding, which is nonexistent since no padding is necessary, and the overhead due to ORAM, since we use the largest block size possible. In fact, since our main result is that Path ORAM can handle items of variable size at essentially no overhead, we contend that this is both the most natural and most efficient solution to build SSE with minimum leakage.

We define **ZeroSSE** in more details as follows. We note that **Setup** takes as input additional parameters  $U$ , which is an upper bound on the longest list size, and  $W$ , and upper bound on the number of keywords.

- **ZeroSSE.Setup**( $K, N, DB, U$ ): Initializes **TransVar**(**PathOram**) with block size  $U$  and number of leaves  $\lceil N/U \rceil$ , containing as (variable-size) blocks  $DB(w)$  for each keyword  $w$ . The position map, of size  $\mathcal{O}(W)$  memory words, is stored on the client side.
- **ZeroSSE.Search**( $K, w; EDB$ ): The client queries the ORAM for keyword  $w$  to retrieve  $DB(w)$ .
- **ZeroSSE.Update**( $K, (w, e); EDB$ ): The client queries the ORAM for keyword  $w$  to retrieve  $DB(w)$ , and simply writes back  $DB(w) \cup \{e\}$ . (Recall that our weighted construction allows modifying the size of blocks on the fly.)

**ZeroSSE** uses the non-iterative variant of Path-ORAM. This is because a client storage of  $\mathcal{O}(W)$ , while undesirable in general, is often accepted in forward-secure SSE [6]. Alternatively, we define **ZeroSSE'** to use the fully iterative version of Path-ORAM, which reduces the client storage to  $\mathcal{O}(1)$  memory words, at the cost of additional roundtrips, and an additional  $\mathcal{O}(W \log^2 W)$  bandwidth overhead.

**Theorem 4 (Security of ZeroSSE).** *Assuming Path-ORAM is a correct and secure ORAM scheme, ZeroSSE is  $\mathcal{L}$ -secure with respect to the leakage function  $\mathcal{L} = \{\mathcal{L}_{Setup}, \mathcal{L}_{Search}, \mathcal{L}_{Update}\}$ , with  $\mathcal{L}_{Setup} = \{N, U\}$  and  $\mathcal{L}_{Search} = \mathcal{L}_{Update} = \emptyset$ .*

See the full version for a proof of Theorem 4.

### 6.3 BlockSSE

An interesting property of **ZeroSSE** is that updates are indistinguishable from searches. In fact, addition and deletion of an arbitrary number of documents in a list can be performed in a single interaction at no additional cost. However, this also means that adding a single document to a keyword incurs an  $\mathcal{O}(U \log^2 U)$  bandwidth cost. If cheaper updates for single documents are desirable, an alternative solution is to use a smaller blocks size. A smaller block size (linearly) reduces the cost of updates, while (logarithmically) increasing the cost of searches. While this is an attractive trade-off in update-heavy use cases, from a security standpoint, the fact that searches and updates are indistinguishable, regardless of the number of documents added or deleted during an update, is lost. **BlockSSE** also does not support deletions by default, although they can be added generically at some additional cost, as in [5].

An interesting feature of **BlockSSE** is that we can choose the block size  $B$  such that the size of a Path-ORAM tree node  $ZB$  is one memory page (or an integral number of memory pages). This optimizes the IO-efficiency of the resulting SSE, as discussed *e.g.* in [4].

To reduce the size of the position map, we use the pointer idea introduced in [31]. Namely, each block belonging to the same list  $DB(w)$  contains the position

of the previous block. This allows the position map, stored on the client, to only store the position of the last block, resulting in  $\mathcal{O}(W)$  storage.

We define **BlockSSE** in more details as follows. Note that **Setup** takes as input additional parameters  $B$ , which is the desired block size, and  $W$ , and upper bound on the number of keywords. **Update** takes as additional parameter  $U$ , which is an upper bound on the longest list size.

- **BlockSSE.Setup**( $K, N, DB, U$ ): Initializes **TransVar**(**PathOram**) with block size  $B$  and number of leaves  $n = \lceil N/B \rceil$ . For each keyword  $w$ , the list  $DB(w)$  is split into  $\lceil DB(w)/B \rceil$  chunks of size at most  $B - \lceil \log n \rceil$ , with no padding. The  $i$ -th chunk for keyword  $w$  is inserted into the ORAM at a random position, together with the position of the  $(i - 1)$ -th chunk. The position  $l_w$  of the last chunk is stored on the client side.
- **BlockSSE.Search**( $K, w, U; EDB$ ): The client queries the ORAM at position  $l_w$ , retrieves the last chunk  $DB(w)$  together with the position of the penultimate chunk, and iteratively retrieves the position of each previous chunk in the same manner. Each chunk  $i$  is assigned a new position uniformly at random, updating the position stored together with the next chunk accordingly.
- **BlockSSE.Update**( $K, (w, e); EDB$ ): If  $l_w$  is not a multiple of  $B - \lceil \log n \rceil$ , the client accesses the ORAM at position  $p_w$ , adds  $e$  to the data, replaces  $p_w$  by a new uniformly random position, and updates the ORAM according to this new data and position. If  $l_w$  is a multiple of  $B - \lceil \log n \rceil$ , a new block is inserted at a new uniformly random position, containing as data  $\{e\}$  together with the position  $p_w$  of the previous last block. On the client side,  $p_w$  is updated to the position of the newly inserted block.

**Theorem 5 (Security of BlockSSE).** *BlockSSE is  $\mathcal{L}$ -secure with respect to the leakage function  $\mathcal{L} = \{\mathcal{L}_{Setup}, \mathcal{L}_{Search}, \mathcal{L}_{Update}\}$ , with  $\mathcal{L}_{Setup} = \{N, B\}$ ,  $\mathcal{L}_{Search}(w) = \lceil |DB(w)|/B \rceil$ , and  $\mathcal{L}_{Update} = \emptyset$ .*

See the full version for a proof of Theorem 5. **BlockSSE'** is the same as **BlockSSE**, except the iterative variant of **Path-ORAM** is used.

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