

# Information-Theoretic Key Agreement: From Weak to Strong Secrecy for Free

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**Abstract.** One of the basic problems in cryptography is the generation of a common secret key between two parties, for instance in order to communicate privately. In this paper we consider information-theoretically secure key agreement. Wyner and subsequently Csiszár and Körner described and analyzed settings for secret-key agreement based on noisy communication channels. Maurer as well as Ahlswede and Csiszár generalized these models to a scenario based on correlated randomness and public discussion. In all these settings, the secrecy capacity and the secret-key rate, respectively, have been defined as the maximal achievable rates at which a highly-secret key can be generated by the legitimate partners. However, the privacy requirements were too weak in all these definitions, requiring only the ratio between the adversary's information and the length of the key to be negligible, but hence tolerating her to obtain a possibly substantial amount of information about the resulting key in an absolute sense. We give natural stronger definitions of secrecy capacity and secret-key rate, requiring that the adversary obtains virtually no information about the entire key. We show that not only secret-key agreement satisfying the strong secrecy condition is possible, but even that the achievable key-generation rates are equal to the previous weak notions of secrecy capacity and secret-key rate. Hence the unsatisfactory old definitions can be completely replaced by the new ones. We prove these results by a generic reduction of strong to weak key agreement. The reduction makes use of extractors, which allow to keep the required amount of communication negligible as compared to the length of the resulting key.

## 1 Introduction and Preliminaries

### 1.1 Models of Information-Theoretic Secret-Key Agreement

This paper is concerned with *information-theoretic security* in cryptography. Unlike *computationally-secure cryptosystems*, the security of which is based on the assumed yet unproven hardness of a certain problem such as integer factoring, a proof without any computational assumption, based on information theory rather than complexity theory, can be given for the security of an information-theoretically (or unconditionally) secure system.

A fundamental problem is the generation of a mutual key about which an adversary has virtually no information. Wyner [18] and later Csiszár and Körner [10] considered the natural message-transmission scenarios in which the legitimate partners Alice and Bob, as well as the adversary Eve, are connected by noisy channels. In Csiszár and Körner's setting, Alice sends information (given by the random variable  $X$ ) to Bob (receiving  $Y$ ) and to the opponent Eve (who obtains  $Z$ ) over a noisy broadcast channel characterized by the conditional distribution  $P_{YZ|X}$ . Wyner's model corresponds to the special case where  $X \rightarrow Y \rightarrow Z$  is a Markov chain.

The *secrecy capacity*  $C_S(P_{YZ|X})$  of the channel  $P_{YZ|X}$  has been defined as the maximal rate at which Alice can transmit a secret string to Bob by using only the given noisy (one-way) broadcast channel such that the rate at which the eavesdropper receives information about the string can be made arbitrarily small. More precisely, the secrecy capacity is the maximal asymptotically-achievable ratio between the number of generated key bits and the number of applications of the noisy broadcast channel such that Eve's per-letter information about the key is small.

As a natural generalization of these settings, Maurer [13] and subsequently Ahlswede and Csiszár [1] have considered the model of secret-key agreement by public discussion from correlated randomness. Here, two parties Alice and Bob, having access to specific dependent information, use authentic public communication to agree on a secret key about which an adversary, who also knows some related side information, obtains only a small fraction of the total information. More precisely, it is assumed in this model that Alice and Bob and the adversary Eve have access to repeated independent realizations of random variables  $X$ ,  $Y$ , and  $Z$ , respectively. A special example is the situation where all the parties receive noisy versions of the outcomes of some random source, e.g., random bits broadcast by a satellite at low signal power.

The *secret-key rate*  $S(X; Y||Z)$  has, in analogy to the secrecy capacity, been defined in [13] as the maximal rate at which Alice and Bob can generate a secret key by communication over the noiseless and authentic but otherwise insecure channel in such a way that the opponent obtains information about this key only at an arbitrarily small rate.

Note that Maurer's model is a generalization of the earlier settings in the sense that only the correlated information, but not the insecure communication is regarded as a resource. In particular, the communication can be interactive instead of only one-way, and the required amount of communication has no influence on the resulting secret-key rate. These apparently innocent modifications have dramatic consequences for the possibility of secret-key agreement.

## 1.2 The Secrecy Capacity and the Secret-Key Rate

The precise definitions of  $C_S(P_{YZ|X})$  and of  $S(X; Y||Z)$  will be given later, but we discuss here some of the most important bounds on these quantities. Roughly speaking, the possibility of secret-key agreement in Wyner's and Csiszár and Körner's models is restricted to situations for which Alice and Bob have an

initial advantage in terms of  $P_{YZ|X}$ , whereas interactive secret-key generation can be possible in settings that are initially much less favorable for the legitimate partners.

It was shown [10] that  $C_S(P_{YZ|X}) \geq \max_{P_X} (I(X; Y) - I(X; Z))$ , where the maximum is taken over all possible distributions  $P_X$  on the range  $\mathcal{X}$  of  $X$ , and that equality holds whenever  $I(X; Y) - I(X; Z)$  is non-negative for all distributions  $P_X$ . On the other hand, it is clear from the above bound that if  $U \rightarrow X \rightarrow YZ$  is a Markov chain, then  $C_S(P_{YZ|X}) \geq I(U; Y) - I(U; Z)$  is also true. If the maximization is extended in this way, then equality always holds:

$$C_S(P_{YZ|X}) = \max_{P_{UX}: U \rightarrow X \rightarrow YZ} (I(U; Y) - I(U; Z)) \quad (1)$$

is the main result of [10]. It is a consequence of equality (1) that Alice and Bob can generate a secret key by noisy one-way communication exactly in scenarios that provide an advantage of the legitimate partners over the opponent in terms of the broadcast channel's conditional distribution  $P_{YZ|X}$ .

The secret-key rate  $S(X; Y||Z)$ , as a function of  $P_{XYZ}$ , has been studied intensively. Lower and upper bounds on this quantity were derived, as well as necessary and sufficient criteria for the possibility of secret-key agreement [13], [15]. The lower bound

$$S(X; Y||Z) \geq \max [I(X; Y) - I(X; Z), I(Y; X) - I(Y; Z)] \quad (2)$$

follows from equality (1) [13]. The important difference to the previous settings however is that secret-key agreement can even be possible when the right-hand side of inequality (2) is zero or negative. A special protocol phase, called *advantage distillation*, requiring feedback instead of only one-way communication, must be used in this case. On the other hand, it was shown in [15] that

$$S(X; Y||Z) \leq I(X; Y \downarrow Z) := \min_{P_{\bar{Z}|Z}} [I(X; Y|\bar{Z})]$$

holds, where  $I(X; Y \downarrow Z)$  is called the *intrinsic conditional information between  $X$  and  $Y$ , given  $Z$* . It has been conjectured in [15], based on some evidence, that  $S(X; Y||Z) = I(X; Y \downarrow Z)$  holds for all  $P_{XYZ}$ , or at least that  $I(X; Y \downarrow Z) > 0$  implies  $S(X; Y||Z) > 0$ . Most recent results suggest that the latter is true if  $|\mathcal{X}| + |\mathcal{Y}| \leq 5$ , but false in general [11].

### 1.3 Contributions of this Paper and Related Work

In all the mentioned scenarios, the conditions on the resulting secret key were too weak originally. As it is often done in information theory, all the involved quantities, including the information about the key the adversary is tolerated to obtain, were measured in terms of an *information rate*, which is defined as the ratio between the information quantity of interest and the number of independent repetitions of the underlying random experiment. Unfortunately, the total information the adversary gains about the resulting secret key is then, although

arbitrarily small in terms of the rate, not necessarily bounded, let alone negligibly small. The reason is that for a given (small) ratio  $\epsilon > 0$ , key agreement with respect to the security parameter  $\epsilon$  is required to work only for strings of length  $N$  exceeding some bound  $N_0(\epsilon)$  which can depend on  $\epsilon$ . In particular,  $N_0(\epsilon) \cdot \epsilon \rightarrow \infty$  for  $\epsilon \rightarrow 0$  is possible. Clearly, this is typically unacceptable in a cryptographic scenario. For instance, the generated key cannot be used for a one-time-pad encryption if all parts of the message must be protected.

Motivated by these considerations, stronger definitions of the rates at which a secret key can be generated are given for the different scenarios. More specifically, it is required that the information the adversary obtains about the entire key be negligibly small in an *absolute* sense, not only in terms of a rate. In the setting of secret-key agreement by noiseless public discussion from common information it is additionally required that the resulting secret key, which must be equal for Alice and Bob with overwhelming probability, is perfectly-uniformly distributed.

The main result of this paper is a generic reduction from strong to weak key agreement with low communication complexity. As consequences of this, Theorems 1 and 2 state that both for the secrecy capacity and for the secret-key rate, strengthening the security requirements does not reduce the achievable key-generation rates. This is particularly interesting for the case of the secrecy capacity because in this model, all the communication must be carried out over the noisy channel. Recent advances in the theory of extractors allow for closing the gap between weak and strong security in this case.

An important consequence is that all previous results on  $C_S(P_{YZ|X})$  and on  $S(X;Y||Z)$ , briefly described in Section 1.2, immediately carry over to the strong notions although they were only proved for the weaker definitions. The previous definitions were hence unnecessarily weak and can be entirely replaced by the new notions.

A basic technique used for proving the mentioned reduction is privacy amplification, introduced in [3], where we use both universal hashing and, as a new method in this context, extractors. A particular problem to be dealt with is to switch between (conditional) Shannon-, Rényi-, and min-entropy of random variables or, more precisely, of blocks of independent repetitions of random variables, and the corresponding probability distributions. A powerful tool for doing this are typical-sequences techniques.

Similar definitions of strong secrecy in key agreement have been proposed already by Maurer [14] (for the secret-key rate) and by Csiszár [9] (for the secrecy capacity). The authors have learned about the existence of the paper [9] (in Russian) only a few days before submitting this final version. In [14], the lower bound (3) on a slightly weaker variant of the strong secret-key rate than the one studied in this paper was proven. We present a substantially simplified proof here. In [9], a result similar to Theorem 2 was shown, using methods different from ours. More precisely, it was proved that the technique of [10] actually leads to a stronger secrecy than stated. In contrast to this, we propose a generic procedure for amplifying the secrecy of any information-theoretic key

agreement, requiring an amount of communication which is negligible compared to the length of the resulting key.

#### 1.4 Entropy Measures and Variational Distance

We recall the definitions of some entropy measures needed in this paper. Let  $R$  be a discrete random variable with range  $\mathcal{R}$ . Then the (*Shannon*) entropy  $H(R)$  is defined as<sup>1</sup>  $H(R) := -\sum_{r \in \mathcal{R}} P_R(r) \cdot \log(P_R(r))$ . The *Rényi entropy*  $H_2(R)$  is  $H_2(R) := -\log(\sum_{r \in \mathcal{R}} P_R^2(r))$ . Finally, the *min-entropy*  $H_\infty(R)$  is  $H_\infty(R) := -\log \max_{r \in \mathcal{R}}(P_R(r))$ . For two probability distributions  $P_X$  and  $P_Y$  on a set  $\mathcal{X}$ , the *variational distance* between  $P_X$  and  $P_Y$  is defined as  $d(P_X, P_Y) := (\sum_{x \in \mathcal{X}} |P_X(x) - P_Y(x)|)/2$ .

## 2 Secret-Key Agreement from Correlated Randomness

In this section we define a stronger variant of the secret-key rate of a distribution  $P_{XYZ}$  and show that this new quantity is equal to the previous, weak secret-key rate as defined in [13]. The protocol for strong key agreement consists of the following steps. First, weak key agreement is repeated many times. Then, so-called information reconciliation (error correction) and privacy amplification are carried out. These steps are described in Section 2.2. Of central importance for all the arguments made are typical-sequences techniques (Section 2.3). The main result of this section, the equality of the secret-key rates, is then proven in Section 2.4.

### 2.1 Definition of Weak and Strong Secret-Key Rates

**Definition 1** [13] The (*weak*) *secret-key rate* of  $X$  and  $Y$  with respect to  $Z$ , denoted by  $S(X; Y||Z)$ , is the maximal  $R \geq 0$  such that for every  $\epsilon > 0$  and for all  $N \geq N_0(\epsilon)$  there exists a protocol, using public communication over an insecure but authenticated channel, such that Alice and Bob, who receive  $X^N = [X_1, \dots, X_N]$  and  $Y^N = [Y_1, \dots, Y_N]$ , can compute keys  $S$  and  $S'$ , respectively, with the following properties. First,  $S = S'$  holds with probability at least  $1 - \epsilon$ , and second,

$$\frac{1}{N} I(S; CZ^N) \leq \epsilon \quad \text{and} \quad \frac{1}{N} H(S) \geq R - \epsilon$$

hold. Here,  $C$  denotes the collection of messages sent over the insecure channel by Alice and Bob.  $\circ$

As pointed out in Section 1.3, the given definition of the secret-key rate is unsatisfactorily and, as shown later, unnecessarily weak. We give a strong definition which bounds the information leaked to the adversary in an absolute sense and additionally requires the resulting key to be perfectly-uniformly distributed.

<sup>1</sup> All the logarithms in this paper are to the base 2, unless otherwise stated.

**Definition 2** The *strong secret-key rate of  $X$  and  $Y$  with respect to  $Z$* , denoted by  $\overline{S}(X; Y||Z)$ , is defined in the same way as  $S(X; Y||Z)$  with the modifications that Alice and Bob compute strings  $S_A$  and  $S_B$  which are with probability at least  $1 - \epsilon$  both equal to a string  $S$  with the properties

$$I(S; CZ^N) \leq \epsilon \quad \text{and} \quad H(S) = \log |\mathcal{S}| \geq N \cdot (R - \epsilon) . \quad \circ$$

Obviously,  $\overline{S}(X; Y||Z) \leq S(X; Y||Z)$  holds. It is the goal of this section to show equality of the rates for every distribution  $P_{XYZ}$ . Thus the attention can be totally restricted to the strong notion of secret-key rate.

### 2.2 Information Reconciliation and Privacy Amplification

In this section we analyze the two steps, called *information reconciliation* and *privacy amplification*, of a protocol allowing *strong* secret-key agreement whenever  $I(X; Y) - I(X; Z) > 0$  or  $I(Y; X) - I(Y; Z) > 0$  holds. More precisely, we show

$$\overline{S}(X; Y||Z) \geq \max \{ I(X; Y) - I(X; Z), I(Y; X) - I(Y; Z) \} . \quad (3)$$

Assume  $I(X; Y) > I(X; Z)$ . The information-reconciliation phase of interactive error correction consists of the following step. For some suitable function  $h : \mathcal{X}^N \rightarrow \{0, 1\}^L$ , Alice sends  $h(X^N)$  to Bob for providing him (who knows  $Y^N$ ) with a sufficient amount of information about  $X^N$  that allows him to reconstruct  $X^N$  with high probability. The existence of such a function (in a fixed universal class, see Definition 3) for  $L$  on the order of  $N \cdot H(X|Y)$  is stated in Lemma 1, a weaker variant of which was formulated already in [14]. Note that this type of (one-way) information-reconciliation protocol is optimal with respect to the amount of exchanged information and efficient with respect to communication complexity, but not with respect to computational efficiency of Bob. There exist efficient interactive methods, which however leak more information to the adversary (see [4] for various results on information reconciliation).

**Definition 3** [7] A class  $G$  of functions  $g : \mathcal{A} \rightarrow \mathcal{B}$  is *universal* if, for any distinct  $x_1$  and  $x_2$  in  $\mathcal{A}$ , the probability that  $g(x_1) = g(x_2)$  holds is at most  $1/|\mathcal{B}|$  when  $g$  is chosen at random from  $G$  according to the uniform distribution.  $\circ$

*Example 1.* [7] Let  $1 \leq M \leq N$ , let  $a$  be an element of  $GF(2^N)$ , and interpret  $x \in \{0, 1\}^N$  as an element of  $GF(2^N)$  with respect to a fixed basis of the extension field over the prime field  $GF(2)$ . Consider the function  $h_a : \{0, 1\}^N \rightarrow \{0, 1\}^M$  assigning to an argument  $x$  the first  $M$  bits (with respect to this basis representation) of the element  $ax$  of  $GF(2^N)$ , i.e.,  $h_a(x) := \text{LSB}_M(a \cdot x)$ . The class  $\{h_a : a \in GF(2^N)\}$  is a universal class of  $2^N$  functions mapping  $\{0, 1\}^N$  to  $\{0, 1\}^M$ .

**Lemma 1** Let  $X$  and  $Y$  be random variables, and let  $[(X_1, Y_1), \dots, (X_N, Y_N)]$  be a block of  $N$  independent realizations of  $X$  and  $Y$ . Then for every  $\epsilon > 0$  and

$\epsilon' > 0$ , for sufficiently large  $N$ , for every  $L$  satisfying  $L/N > (1 + \epsilon)H(X|Y)$ , and for every universal class  $\mathcal{H}$  of functions mapping  $\mathcal{X}^N$  to  $\{0, 1\}^L$ , there exists a function  $h$  in  $\mathcal{H}$  such that  $X^N = [X_1, \dots, X_N]$  can be decoded from  $Y^N$  and  $h(X^N)$  with error probability at most  $\epsilon'$ .

The proof of Lemma 1 is omitted. See [4] for the proof of a closely related result.

In the second protocol phase, privacy amplification, Alice and Bob compress the mutual but generally highly-insecure string  $X^N$  to a shorter string  $S$  with virtually-uniform distribution and about which Eve has essentially no information. (Note that Eve's total information about  $X^N$  consists of  $Z^N$  and  $h(X^N)$  at this point.) Bennett *et. al.* [2] have shown that universal hashing allows for distilling a virtually-secure string whose length is roughly equal to the Rényi entropy of the original string in Eve's view.

**Lemma 2** [2] *Let  $W$  be a random variable with range  $\mathcal{W}$ , and let  $G$  be the random variable corresponding to the random choice, according to the uniform distribution, of a function out of a universal class of functions mapping  $\mathcal{W}$  to  $\{0, 1\}^M$ . Then  $H(G(W)|G) \geq H_2(G(W)|G) \geq M - 2^{M-H_2(W)}/\ln 2$ .*

Lemma 2 states that if Alice and Bob share a particular string  $S$  and Eve's information about  $S$  leads to the distribution  $P_{S|U=u}$  (where  $u$  denotes the particular value of her information  $U$ ) about which Alice and Bob know nothing except a lower bound  $t$  on the Rényi entropy, i.e.,  $H_2(S|U = u) \geq t$ , then Alice and Bob can generate a secret key  $S'$  of roughly  $t$  bits. More precisely, if Alice and Bob compress  $S$  to a  $(t - s)$ -bit key for some security parameter  $s > 0$ , then Eve's total information about this key is exponentially small in  $s$  (see Figure 1).

A natural problem that arises when combining information reconciliation and privacy amplification with universal hashing is to determine the effect of the error-correction information (leaked also to the adversary) on the Rényi entropy of the partially-secret string, given Eve's information. The following result, which was shown by Cachin [5] as an improvement of an earlier result by Cachin and Maurer [6], states that leaking  $r$  physical bits of arbitrary side information about a string cannot reduce its Rényi entropy by substantially more than  $r$ , except with exponentially small probability.

**Lemma 3** [5] *Let  $X$  and  $Q$  be random variables, and let  $s > 0$ . Then with probability at least  $1 - 2^{-(s/2-1)}$ , we have  $H_2(X) - H_2(X|Q = q) \leq \log |\mathcal{Q}| + s$ .*

### 2.3 Typical Sequences

In the following proofs we will make use of so-called typical-sequences arguments. Such arguments are based on the fact that if a large number of independent realizations of a random variable  $U$  is considered, then the actual probability of the particular outcome sequence is, with overwhelming probability, close to a certain "typical probability." There exist various definitions of typical sequences. The definition given below corresponds to a weak notion of typicality, dealing only with probabilities and not with the number of occurrences of the outcome symbols of the original random variable  $U$  in the sequence.

**Definition 4** Let  $U$  be a random variable with probability distribution  $P_U$  and range  $\mathcal{U}$ , and let  $N \geq 0$ . Then a sequence  $u = (u_1, u_2, \dots, u_N) \in \mathcal{U}^N$  is called (weakly)  $\delta$ -typical if  $2^{-N(H(U)+\delta)} \leq P_{U^N}(u) \leq 2^{-N(H(U)-\delta)}$ .  $\circ$

Lemma 4 states that if  $N$  is large enough, then  $U^N$ , distributed according to  $P_{U^N} = P_U^N$  which corresponds to  $N$  independent realizations of  $U$ , is  $\delta$ -typical with high probability. More precisely, the probability of the “non-typicality” event tends to zero faster than  $1/N^2$ . This follows immediately from Theorem 12.69 in [8].

**Lemma 4 [8]** For all  $\delta, \epsilon > 0$ , we have  $N \cdot (\text{Prob}[U^N \text{ is not } \delta\text{-typical}])^{1/2} < \epsilon$  for sufficiently large  $N$ .

As a first step towards proving equality of the secret-key rates with respect to the weak and strong definitions, we show that the weak definition can be extended by an additional condition requiring that the resulting key is close-to-uniformly distributed. More precisely, Lemma 5 states that the condition

$$\frac{1}{N}H(S) \geq \frac{1}{N} \log |\mathcal{S}| - \epsilon \tag{4}$$

can be included into the definition of  $S(X; Y|Z)$  without effect on its value. (Note that the condition (4) is much weaker than the uniformity condition in the definition of  $\bar{S}(X; Y|Z)$ .)

**Lemma 5** Let the uniform (weak) secret-key rate  $S_u(X; Y|Z)$  be defined similarly to  $S(X; Y|Z)$ , but with the additional condition (4). Then  $S_u(X; Y|Z) = S(X; Y|Z)$  holds.

*Proof.* The idea is to carry out the key-generation procedure independently many times and to apply data compression. More precisely, secret-key agreement with respect to the definition of  $S(X; Y|Z)$  is repeated  $M$  times. Clearly, we can assume that the resulting triples  $[S_i, S'_i, (Z^N C)_i]$  are independent for different values of  $i$  and can be considered as the random variables in a new random experiment. When repeating this experiment for a sufficient number of times and applying data compression to the resulting sequence of keys, thereby using that with high probability both  $[S_1, S_2, \dots]$  and  $[S'_1, S'_2, \dots]$  are typical sequences, one finally obtains key agreement that ends up in a highly-uniformly distributed key.

Let  $R := S(X; Y|Z)$ . We show that for any  $\epsilon > 0$  (and for a sufficiently large number of realizations of the random variables) secret-key agreement at a rate at least  $R - \epsilon$  is possible even with respect to the stronger definition which includes the uniformity condition (4).

For parameters  $\epsilon' > 0$  and  $N > 0$ , both to be determined later, let secret-key agreement (not necessarily satisfying the new condition) be carried out  $M$  times independently. Let  $S_i$  and  $S'_i$ ,  $i = 1, \dots, M$ , be the generated keys, and let  $C_i$  and  $(Z^N)_i$  be the corresponding collection of messages sent over the public channel and the realizations of  $Z$  that Eve obtains, respectively. Then the

triples  $[S_i, S'_i, (Z^N C)_i]$ ,  $i = 1, \dots, M$ , are statistically independent and identically distributed. According to the definition of  $S(X; Y||Z)$ , we can achieve for every  $i$

$$H(S_i)/N \geq R - \epsilon', \quad \text{Prob}[S_i \neq S'_i] < \tilde{\epsilon}, \quad \text{and} \quad I(S_i; (Z^N C)_i)/N < \epsilon', \quad (5)$$

where the constant  $\tilde{\epsilon}$  will be specified later. (Note that in order to make only  $\tilde{\epsilon}$  smaller and to leave  $\epsilon'$  unchanged, it is not necessary to increase  $N$  because the second condition in (5) is stricter for larger  $N$ : The key can be subdivided into smaller pieces at the end, and for every such piece, the error probability is at most  $\tilde{\epsilon}$ .)

Using the fact that for all  $\alpha > 0$  and  $\delta > 0$ , the event  $\mathcal{E}(\delta)$  that the sequence  $[S_1, S_2, \dots, S_M]$  is  $\delta$ -typical has probability at least  $1 - \alpha$  for sufficiently large  $M$ , we can transform the key vector  $[S_1, \dots, S_M]$  into an almost-uniformly distributed key  $T$  as follows. If  $\mathcal{E}(\delta)$  occurs, then let  $T := [S_1, \dots, S_M]$ , otherwise  $T := \Delta$  for some failure symbol  $\Delta$ . The key  $T'$  is computed from  $[S'_1, \dots, S'_M]$  analogously. Then,  $T$  and  $T'$  have the following properties. First,  $\log |\mathcal{T}| \leq M(H(S) + \delta) + 1$  and  $H(T) \geq (1 - \alpha)M(H(S) - \delta)$  follow from the definitions of  $T$  and of  $\delta$ -typical sequences. For the quantities occurring in the definition of  $S_u(X; Y||Z)$ , we hence obtain

$$H(T)/MN \geq (1 - \alpha)(R - \epsilon' - \delta/N), \quad (6)$$

$$\text{Prob}[T \neq T'] < M\tilde{\epsilon}, \quad (7)$$

$$I(T; (Z^N C)_{i=1, \dots, M})/MN < \epsilon', \quad (8)$$

$$(\log |\mathcal{T}| - H(T))/MN \leq \alpha R + 2\delta/N. \quad (9)$$

Because of Lemma 4 one can choose, for every sufficiently large  $N$ , constants  $\alpha$ ,  $\delta$ , and  $\epsilon'$  such that  $\text{Prob}[\overline{\mathcal{E}(\delta)}] < \alpha$  (where  $\overline{\mathcal{E}(\delta)}$  stands for the complementary event of  $\mathcal{E}(\delta)$ ) for this choice of  $M$ , and such that the expressions on the right-hand sides of (8) and (9) are smaller than  $\epsilon$ , whereas the right-hand side of (6) is greater than  $R - \epsilon$ . Finally,  $\tilde{\epsilon}$  can be chosen as  $\epsilon/M$ , such that the condition (7) is also satisfied.

We conclude that the uniform secret-key rate  $S_u(X; Y||Z)$  is at least  $R = S(X; Y||Z)$ . This concludes the proof.  $\square$

Lemma 6 links Rényi entropy with typicality of sequences (and hence Shannon entropy). More precisely, the conditional Rényi entropy of a sequence of realizations of random variables is close to the length of the sequence times the conditional Shannon entropy of the original random variables, given a certain typicality event which occurs with high probability. Related arguments already appeared in [12] and [5].

**Lemma 6** *Let  $P_{XZ}$  be the joint distribution of two random variables  $X$  and  $Z$ , let  $0 < \delta \leq 1/2$ , and let  $N$  be an integer. The event  $\mathcal{F}(\delta)$  is defined as follows: First, the sequences  $x^N$  and  $(x, z)^N$  must both be  $\delta$ -typical, and second,  $z^N$  must be such that the probability, taken over  $(x')^N$  according to the distribution  $P_{X^N|Z^N=z^N}$ , that  $(x', z)^N$  is  $\delta$ -typical is at least  $1 - \delta$ . Then we have*

$N \cdot \text{Prob}[\overline{\mathcal{F}(\delta)}] \rightarrow 0$  for  $N \rightarrow \infty$ , and  $H_2(X^N|Z^N = z^N, \mathcal{F}(\delta)) \geq N(H(X|Z) - 2\delta) + \log(1 - \delta)$ .

*Proof.* Because of Lemma 4, the event, denoted by  $\mathcal{E}(\delta)$ , that both  $x^N$  and  $(x, z)^N$  are  $\delta$ -typical has probability at least  $1 - \delta^2$  for some  $N = N(\delta)$  with  $N(\delta) \cdot \delta \rightarrow 0$ . For this value of  $N$ ,  $z^N$  has with probability at least  $1 - \sqrt{\delta^2} = 1 - \delta$  the property that  $(x', z)^N$  is  $\delta$ -typical with probability at least  $1 - \sqrt{\delta^2} = 1 - \delta$ , taken over  $(x')^N$  distributed according to  $P_{X^N|Z^N=z^N}$ . Hence the probability of the complementary event  $\overline{\mathcal{F}(\delta)}$  of  $\mathcal{F}(\delta)$  is at most  $\delta^2 + \delta$ , thus  $N \cdot \text{Prob}[\overline{\mathcal{F}(\delta)}] \rightarrow 0$ .

On the other hand, given that  $z^N$  and  $(x', z)^N$  are  $\delta$ -typical, we can conclude that

$$2^{-N(H(X|Z)+2\delta)} \leq P_{X^N|Z^N}((x')^N, z^N) \leq 2^{-N(H(X|Z)-2\delta)}$$

holds. For a fixed value  $z^N$ , the Rényi entropy of  $X^N$ , given the events  $Z^N = z^N$  and  $\mathcal{F}(\delta)$ , is lower bounded by the Rényi entropy of a uniform distribution over a set with  $(1 - \delta) \cdot 2^{N(H(X|Z)-2\delta)}$  elements:  $H_2(X^N|Z^N = z^N, \mathcal{F}(\delta)) \geq N(H(X|Z) - 2\delta) + \log(1 - \delta)$ .  $\square$

### 2.4 Equality of Weak and Strong Rates

In this section we prove the lower bound (3) on  $\overline{S}(X; Y||Z)$  and the first main result, stating that the weak and strong secret-key rates are equal for every distribution. A result closely related to Lemma 7 was proved as the main result in [14]. We give a much shorter and simpler proof based on the results in Sections 2.2 and 2.3.

**Lemma 7** *For all  $P_{XYZ}$ ,  $\overline{S}(X; Y||Z) \geq \max\{I(X; Y) - I(X; Z), I(Y; X) - I(Y; Z)\}$  holds.*

*Proof.* We only prove that  $I(X; Y) - I(X; Z) = H(X|Z) - H(X|Y)$  is an achievable rate. The statement then follows by symmetry.

Let  $\epsilon > 0$ , and let  $\Delta > 0$  be determined later. We show that for the parameter  $\epsilon$ , and for sufficiently large  $N$ , there exists a protocol which achieves the above rate (reduced by  $\epsilon$ ). Let  $\delta < \epsilon/4$  and  $\alpha < \Delta/(2H(X))$  be constants, and let  $\mathcal{F}(\delta)$  be the event as defined in Lemma 6. Because of Lemma 6 we have for sufficiently large  $N$  that  $N \cdot \text{Prob}[\overline{\mathcal{F}(\delta)}] < \alpha$ . On the other hand,

$$H_2(X^N|Z^N = z^N, \mathcal{F}(\delta)) \geq N \cdot (H(X|Z) - 2\delta) + \log(1 - \delta)$$

holds.

The protocol now consists of two messages sent from Alice to Bob, one for information reconciliation and the other one for privacy amplification (see Section 2.2). Let  $\beta < \epsilon/(2H(X|Y))$  be a positive constant. According to Lemma 1 there exists for sufficiently large  $N$  a function  $h : \mathcal{X}^N \rightarrow \{0, 1\}^L$ , where  $L := \lceil (1 + \beta)NH(X|Y) \rceil$ , such that  $X^N$  can be determined from  $Y^N$  and  $h(X^N)$  with probability at least  $1 - \epsilon/2$  (using the optimal strategy). Clearly, the value

$h(X^N)$  reduces Eve's uncertainty in terms of Rényi entropy about  $X^N$ . We conclude from Lemma 3 for  $s := 2 \log(2NH(X)/\Delta) + 2$  that with probability at least  $1 - 2^{-(s/2-1)}$ ,

$$\begin{aligned} H_2(X^N|Z^N = z^N, h(X^N) = h(x^N), \mathcal{F}(\delta)) & \quad (10) \\ & \geq N \cdot (H(X|Z) - 2\delta) + \log(1 - \delta) - [(1 + \beta) \cdot N \cdot H(X|Y) + 1 + s] \\ & = N \cdot (H(X|Z) - H(X|Y)) - 2\delta N - \beta NH(X|Y) - 1 - s + \log(1 - \delta) \\ & =: Q. \end{aligned}$$

Finally, Alice and Bob use privacy amplification to transform their mutual information  $X^N$  into a highly-secret string  $\tilde{S}$ . Let  $r := \lceil \log N \rceil$ , and let  $M := Q - r$  be the length of the resulting string  $\tilde{S}$ . If  $G$  is the random variable corresponding to the random choice of a universal hash function mapping  $\mathcal{X}^N \rightarrow \{0, 1\}^M$ , and if  $\tilde{S} := G(X^N)$ , then we have  $H(\tilde{S}|Z^N = z^N, h(X^N) = h(x^N), G, \mathcal{F}(\delta)) \geq M - 2^{-r}/\ln 2$  under the condition that inequality (10) holds. Hence we get for sufficiently large  $N$

$$\begin{aligned} H(\tilde{S}|Z^N, h(X^N), G) & \geq (\text{Prob}[\mathcal{F}(\delta)] - 2^{-(s/2-1)})(M - 2^{-r}/\ln 2) \\ & \geq M - 2^{-r}/\ln 2 - (\text{Prob}[\overline{\mathcal{F}(\delta)}] + 2^{-(s/2-1)}) \cdot N \cdot H(X) \\ & > \log |\tilde{\mathcal{S}}| - \Delta \end{aligned}$$

by definition of  $r$ ,  $\alpha$ , and  $s$ . Let now  $S$  be a "uniformization" of  $\tilde{S}$  (i.e., a random variable  $S$  with range  $\mathcal{S} = \tilde{\mathcal{S}} = \{0, 1\}^M$  that is generated by sending  $\tilde{S}$  over some channel characterized by  $P_{S|\tilde{S}}$ , that is uniformly distributed, and that minimizes  $\text{Prob}[S \neq \tilde{S}]$  among all random variables with these properties). For  $C = [h(X^N), G]$  and sufficiently small  $\Delta$ , we can then conclude that

$$I(S; Z^N C) < \epsilon, \quad H(S) = \log |\mathcal{S}|, \quad \text{and} \quad \text{Prob}[S' \neq S] < \epsilon$$

holds because of  $H(\tilde{S}) \geq H(\tilde{S}|Z^N, h(X^N), G)$ . The achievable key-generation rate with this protocol is hence at least

$$H(X|Z) - H(X|Y) - 2\delta - \beta H(X|Y) \geq I(X; Y) - I(X; Z) - \epsilon.$$

Thus we obtain  $\overline{S}(X; Y||Z) \geq I(X; Y) - I(X; Z)$ , and this concludes the proof.  $\square$

Theorem 1 is the main result of this section and states that the strong secret-key rate  $\overline{S}(X; Y||Z)$  is always equal to the weak secret-key rate  $S(X; Y||Z)$ .

**Theorem 1** *For all distributions  $P_{XYZ}$ , we have  $\overline{S}(X; Y||Z) = S(X; Y||Z)$ .*

*Proof.* Clearly,  $\overline{S}(X; Y||Z) \leq S(X; Y||Z)$  holds. Let  $R := S(X; Y||Z)$ , and let  $\epsilon > 0$ . According to the definition of the secret-key rate  $S(X; Y||Z)$  (and because of Lemma 5), there exists, for sufficiently large  $N$ , a protocol with the following properties: Alice and Bob know, at the end of the protocol, strings  $S$  and  $S'$  such that  $H(S) \geq NR - N\epsilon$ ,  $\text{Prob}[S \neq S'] < \epsilon$ ,  $I(S; Z^N C) \leq N\epsilon$ , and  $H(S) \geq$

$\log |\mathcal{S}| - N\epsilon$  hold. From these equations, we can conclude by Fano's inequality [8] that

$$\begin{aligned} I(S; S') &= H(S) - H(S|S') \geq H(S) - h(\text{Prob}[S \neq S']) - \text{Prob}[S \neq S'](H(S) + N\epsilon) \\ &> H(S)(1 - \epsilon) - h(\epsilon) - N\epsilon^2 \geq NR - NR\epsilon - N\epsilon - h(\epsilon) \end{aligned}$$

holds (where  $h$  is the binary entropy function), hence  $I(S; S') - I(S; Z^N C) \geq NR - NR\epsilon - 2N\epsilon - h(\epsilon)$ . Let us now consider the random experiment  $[S, S', Z^N C]$  (where we assume that the realizations are independent). By applying Lemma 7 to the new distribution, we get

$$\overline{S}(X; Y||Z) \geq S(S; S' || Z^N C)/N \geq (I(S; S') - I(S; Z^N C))/N \geq R - R\epsilon - 2\epsilon - h(\epsilon)/N$$

for every  $\epsilon > 0$ , thus  $\overline{S}(X; Y||Z) \geq S(X; Y||Z)$ .  $\square$

### 3 Strengthening the Secrecy Capacity

This section is concerned with the model introduced by Wyner [18] and the generalization thereof by Csiszár and Körner [10], which served as a motivation for Maurer's [13] scenario treated in Section 2. In analogy to the weak definition of the secret-key rate, the original definition of the secrecy capacity is not satisfactory because the total amount of information about the resulting key that the adversary obtains can be unbounded. We show that also the definition of the secrecy capacity can be strengthened, without any effect on the actual value of this quantity, in the sense that the total amount of information the adversary obtains about the secret key is negligibly small. More precisely, we develop a generic reduction of strong to weak key agreement by one-way communication and such that the total length of the additional messages is negligible compared to the length of the resulting string. The low-communication-complexity condition is necessary because in this model, in contrast to the model of Section 2, no communication is "for free." More precisely, the noisy broadcast channel must be used for the entire communication (i.e., for the exchange of all the error-correction and privacy-amplification information), which at first sight appears to reduce the maximal achievable key-generation rate. However, the use of extractors (see Section 3.2) instead of universal hashing for privacy amplification allows to keep the fraction of channel uses for communicating the error-correction and privacy-amplification messages arbitrarily small.

#### 3.1 Definition of the Secrecy Capacity $C_S(P_{YZ|X})$

Assume that the parties Alice and Bob, and the adversary Eve, are connected by a noisy broadcast channel with conditional output distribution  $P_{YZ|X}$  [10]. (Wyner's wire-tap channel corresponds to the special case where  $P_{YZ|X} = P_{Y|X} \cdot P_{Z|Y}$  holds.) The ability of generating mutual secret information was quantified as follows.

**Definition 5** [18], [10] Consider a memoryless broadcast channel characterized by the conditional joint distribution  $P_{YZ|X}$ . The *secrecy capacity*  $C_S(P_{YZ|X})$  of the channel is the maximal real number  $R \geq 0$  such that for every  $\epsilon > 0$ , for sufficiently large  $N$ , and for  $K := \lfloor (R - \epsilon)N \rfloor$ , there exists a possibly probabilistic (i.e., additionally depending on some random bits) encoding function  $e : \{0, 1\}^K \rightarrow \mathcal{X}^N$  together with a decoding function  $d : \mathcal{Y}^N \rightarrow \{0, 1\}^K$  such that if  $S$  is uniformly distributed over  $\{0, 1\}^K$ , we have for  $X^N = e(S)$  and  $S' := d(Y^N)$  that  $\text{Prob}[S' \neq S] < \epsilon$  and

$$\frac{1}{K}H(S|Z^N) > 1 - \epsilon \quad (11)$$

hold. ○

### 3.2 Privacy Amplification with Extractors

In order to show that the notion of secrecy used in the definition of  $C_S$  can be strengthened without reducing the secrecy capacity of the broadcast channel, we need a different technique for privacy amplification, requiring less information to be transmitted, namely only an asymptotically arbitrarily small fraction of the number of bits of the partially-secure string to be compressed. (Otherwise, the channel applications needed for sending this message would reduce the achievable key-generation rate.) We show that such a technique is given by so-called *extractors*. Roughly speaking, an extractor allows to efficiently isolate the randomness of some source into virtually-random bits, using a small additional number of perfectly-random bits as a catalyst, i.e., in such a way that these bits reappear as a part of the almost-uniform output. Extractors are of great importance in theoretical computer science, where randomness is often regarded as a resource. They have been studied intensively in the past years by many authors. For an introduction and some constructions, see [16], [17], and the references therein.

Recent results, described below, show that extractors allow, using only a small amount of true randomness, to distill (almost) the entire randomness, measured in terms of  $H_\infty$ , of some string into an almost-uniformly distributed string. A disadvantage of using extractors instead of universal hashing for privacy amplification is that a string of length only roughly equal to the *min*-entropy instead of the generally greater *Rényi* entropy of the original random variable can be extracted. However, this drawback has virtually no effect in connection with typical sequences, i.e., almost-uniform distributions, for which all the entropy measures are roughly equal.

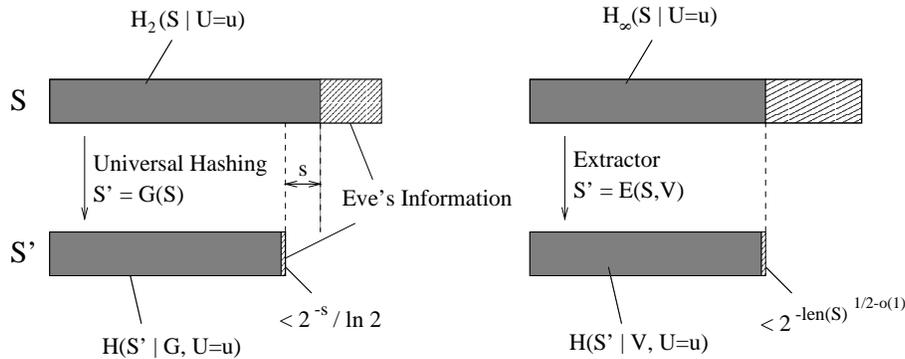
**Definition 6** A function  $E : \{0, 1\}^N \times \{0, 1\}^d \rightarrow \{0, 1\}^r$  is called a  $(\delta', \epsilon')$ -extractor if for any random variable  $T$  with range  $\mathcal{T} \subseteq \{0, 1\}^N$  and min-entropy  $H_\infty(T) \geq \delta'N$ , the variational distance of the distribution of  $[V, E(T, V)]$  to the uniform distribution over  $\{0, 1\}^{d+r}$  is at most  $\epsilon'$  when  $V$  is independent of  $T$  and uniformly distributed in  $\{0, 1\}^d$ . ○

The following theorem was proved in [17]. It states that there exist extractors which distill virtually all the min-entropy out of a weakly-random source, thereby

requiring only a small (i.e., “poly-logarithmic”) number of truly-random bits. Note that Definition 6, and hence the statement of Lemma 8, is formally slightly stronger than the corresponding definition in [17] because it not only requires that the length of the extractor output is roughly equal to the min-entropy of the source plus the number of random bits, but that these bits even reappear as a part of the output. It is not difficult to see that the extractors described in [17] have this additional property.

**Lemma 8 [17]** *For every choice of the parameters  $N$ ,  $0 < \delta' < 1$ , and  $\epsilon' > 0$ , there exists a  $(\delta', \epsilon')$ -extractor  $E : \{0, 1\}^N \times \{0, 1\}^d \rightarrow \{0, 1\}^{\delta'N - 2\log(1/\epsilon') - O(1)}$ , where  $d = O((\log(N/\epsilon'))^2 \log(\delta'N))$ .*

Lemma 9, which is a consequence of Lemma 8, is what we need in the proof of Theorem 2. The statement of Lemma 9 is related to Lemma 2, where universal hashing is replaced by extractors, and min-entropy must be used instead of Rényi entropy (see Figure 1).



**Fig. 1.** Privacy Amplification: Universal Hashing Versus Extractors

**Lemma 9** *Let  $\delta', \Delta_1, \Delta_2 > 0$  be constants. Then there exists, for all sufficiently large  $N$ , a function  $E : \{0, 1\}^N \times \{0, 1\}^d \rightarrow \{0, 1\}^r$ , where  $d \leq \Delta_1 N$  and  $r \geq (\delta' - \Delta_2)N$ , such that for all random variables  $T$  with  $T \subseteq \{0, 1\}^N$  and  $H_\infty(T) > \delta'N$ , we have*

$$H(E(T, V)|V) \geq r - 2^{-N^{1/2-o(1)}} . \tag{12}$$

*Proof.* Let  $\epsilon'(N) := 2^{-\sqrt{N}/\log N}$ . Then there exists  $N_0$  such that for all  $N \geq N_0$  we have a  $(\delta', \epsilon')$ -extractor  $E$ , mapping  $\{0, 1\}^{N+d}$  to  $\{0, 1\}^r$ , where  $d \leq \Delta_1 N$  (note that  $d = O(N/\log N)$  holds for this choice of  $\epsilon'$ ) and  $r \geq (\delta' - \Delta_2)N$ . By definition, this means that for a uniformly distributed  $d$ -bit string  $V$  and if  $H_\infty(T) \geq \delta'N$ , the distance of the distribution of  $[V, E(T, V)]$  to the uniform

distribution  $U_{d+r}$  over  $\{0, 1\}^{d+r}$  is at most  $\epsilon' = 2^{-\sqrt{N}/\log N}$ . Because

$$d([V, E(T, V)], U_{d+r}) = \mathbb{E}_V[d(E(T, V), U_r)] \leq \epsilon'$$

holds for uniformly distributed  $V$ , the distance of the distribution of  $E(T, v)$  to the uniform distribution  $U_r$  (over  $\{0, 1\}^r$ ) is at most  $\sqrt{\epsilon'}$  with probability at least  $1 - \sqrt{\epsilon'}$  over  $v$ , i.e.,

$$P_V \left[ d(E(T, V), U_r) \leq 2^{-\sqrt{N}/2\log N} \right] \geq 1 - 2^{-\sqrt{N}/2\log N} . \quad (13)$$

Inequality (12) follows from (13) in a straight-forward way.  $\square$

Lemma 3 gives an upper bound on the effect of side information on the Rényi entropy of a random variable, and thus links information reconciliation and privacy amplification with universal hashing. We now need a similar result with respect to min-entropy  $H_\infty$ . The proof of Lemma 10 is straight-forward and therefore omitted.

**Lemma 10** *Let  $X$  and  $Q$  be random variables, and let  $s > 0$ . Then with probability at least  $1 - 2^{-s}$ , we have  $H_\infty(X) - H_\infty(X|Q = q) \leq \log |\mathcal{Q}| + s$ .*

### 3.3 The Strong Secrecy Capacity $\overline{C}_S(P_{YZ|X})$

In this section we show that the definition of secrecy capacity in Csiszár and Körner's, hence also in Wyner's, model can be strengthened similarly to the weak and strong notions of secret-key rate: Not the rate, but the total amount of leaked information is negligible. Note that an additional uniformity condition is not necessary here since already the definition of  $C_S$  requires the key to be perfectly-uniformly distributed. Theorem 2 is the main result of this section.

**Definition 7** For a distribution  $P_{YZ|X}$ , the *strong secrecy capacity*  $\overline{C}_S(P_{YZ|X})$  is defined similarly to  $C_S(P_{YZ|X})$ , where the secrecy condition (11) is replaced by the stronger requirement  $H(S|Z^N) > K - \epsilon$ .  $\circ$

**Theorem 2** *For all distributions  $P_{YZ|X}$ , we have  $\overline{C}_S(P_{YZ|X}) = C_S(P_{YZ|X})$ .*

*Proof.* The idea of the proof is to repeat the (weak) key generation a number of times and to compute from the block of resulting weak keys a secure string satisfying the stronger definition of secrecy capacity. More precisely, this is done by information reconciliation as described in Section 2.2, and by privacy amplification with extractors. Since the parties have, in contrast to the public-discussion model, no access to a noiseless public channel, all the error-correction and privacy-amplification information must be sent over the noisy channel specified by the conditional marginal distribution  $P_{Y|X}(y, x) = \sum_{z \in \mathcal{Z}} P_{YZ|X}(y, z, x)$ . However, the use of extractors instead of universal hashing for privacy amplification allows to keep the fraction of channel uses required for this communication

negligibly small. This is precisely what is needed for showing equality of  $C_S$  and  $\overline{C_S}$ .

Let  $R := C_S(P_{YZ|X})$ . For a constant  $\epsilon' > 0$  and integers  $M$  and  $N$  to be determined later, assume that the key-generation procedure, with respect to the (weak) secrecy capacity  $C_S$  and parameters  $\epsilon'$  and  $N$ , is repeated independently  $M$  times. Let  $S^M := [S_1, \dots, S_M]$  and  $(S')^M := [S'_1, \dots, S'_M]$  be the generated keys of Alice and Bob, respectively, and let  $K = \lfloor (R - \epsilon')N \rfloor$  be the length of (the binary strings)  $S_i$  and  $S'_i$ . From the fact that  $\text{Prob}[S_i \neq S'_i] < \epsilon'$  holds we conclude, by Fano's inequality,  $H(S_i|S'_i) \leq \epsilon'K + 1$  for all  $i$ , hence  $H(S^M|(S')^M) \leq M(\epsilon'K + 1)$ .

For constants  $\Delta_1, \Delta_2 > 0$ , we conclude from Lemma 1 that there exists an error-correction-information function  $h : (\{0, 1\}^K)^M \rightarrow \{0, 1\}^{\lceil (1+\Delta_1)M(\epsilon'K+1) \rceil}$  such that  $S^M$  can be determined from  $(S')^M$  and  $h(S^M)$  with probability at least  $1 - \Delta_2$  for sufficiently large  $M$ . Hence  $\lceil (1 + \Delta_1)M(\epsilon'K + 1) \rceil$  message bits have to be transmitted over the channel  $P_{Y|X}$  for error correction (see below).

According to the definition of the (weak) secrecy capacity  $C_S$ , we have  $H(S_i|Z_i^N) \geq K(1 - \epsilon')$ . For  $\delta > 0$ , let the event  $\mathcal{F}(\delta)$ , with respect to the random variables  $S$  and  $Z^N$ , be defined as in Lemma 6. For every  $\alpha > 0$  we can achieve, for arbitrarily large (fixed)  $N$  and  $M$ ,  $MK \cdot \text{Prob}[\overline{\mathcal{F}(\delta)}] < \alpha$  and

$$H_\infty(S^M|(Z^N)^M = (z^N)^M, \mathcal{F}(\delta)) \geq M(K(1 - \epsilon') - 2\delta) + \log(1 - \delta).$$

The reason is that the statement of Lemma 6 also holds for the min-entropy  $H_\infty$  instead of  $H_2$ . The proof of this variant is exactly the same because it is ultimately based on uniform distributions, for which  $H_2$  and  $H_\infty$  (and also  $H$ ) are equal.

Let us now consider the effect of the error-correction information (partially) leaked to the adversary. According to Lemma 10, we have for  $s > 0$  with probability at least  $1 - 2^{-s}$

$$\begin{aligned} H_\infty(S^M|(Z^N)^M = (z^N)^M, h(S^M) = h(s^M), \mathcal{F}(\delta)) \\ \geq M(K(1 - \epsilon') - 2\delta) + \log(1 - \delta) - \lceil (1 + \Delta_1)M(\epsilon'K + 1) \rceil - s \\ \geq MK(1 - \Delta_3) \end{aligned} \quad (14)$$

for some constant  $\Delta_3$  that can be made arbitrarily small by choosing  $N$  large enough,  $s := \lceil \log M \rceil$ , and  $\Delta_1$  as well as  $\epsilon'$  small enough.

Let now for constants  $\Delta_4, \Delta_5 > 0$  and sufficiently large  $M$  an extractor function  $E$  be given according to Lemma 9, i.e.,  $E : \{0, 1\}^{MK} \times \{0, 1\}^d \rightarrow \{0, 1\}^r$  with  $d \leq \Delta_4 MK$  and  $r \geq MK(1 - \Delta_3 - \Delta_5)$  such that, for  $\tilde{S} := E(S^M, V)$ , the inequality

$$H(\tilde{S}|(Z^N)^M = (z^N)^M, h(S^M) = h(s^M), V, \mathcal{F}(\delta)) \geq r - 2^{-(MK)^{1/2 - o(1)}}$$

holds if  $V$  is uniformly distributed in  $\{0, 1\}^d$ . Let  $S'$  be the key computed in the same way by Bob (where the random bits  $V$  are sent over to him by Alice using the channel  $P_{Y|X}$  with an appropriate error-correcting code).

The resulting key  $\tilde{S}$  of Alice is now close-to-uniformly, but not perfectly-uniformly distributed. Given the events  $\mathcal{F}(\delta)$  and that inequality (14) holds, we have  $H(\tilde{S}) \geq r - 2^{-(MK)^{1/2-o(1)}}$ .

Let now, as in the proof of Lemma 7,  $S$  be the “uniformization” of  $\tilde{S}$  (the random variable which is uniformly distributed in  $\{0, 1\}^r$  and jointly distributed with  $\tilde{S}$  in such a way that  $\text{Prob}[S \neq \tilde{S}]$  is minimized). It is clear that for any  $\Delta_6 > 0$ ,  $\text{Prob}[S \neq \tilde{S}] < \Delta_6$  can be achieved for sufficiently large  $M$ .

Let us finally consider the number of channel uses necessary for communicating the information for information reconciliation and privacy amplification. The number of bits to be transmitted is, according to the above, at most  $\lceil (1 + \Delta_1)M(\epsilon'K + 1) \rceil + \Delta_4MK$ . It is an immediate consequence of Shannon’s channel-coding theorem (see for example [8]) that for arbitrary  $\Delta_7, \Delta_8 > 0$  and sufficiently large  $M$ , the number of channel uses for transmitting these messages can be less than

$$\frac{MK((1 + \Delta_1)\epsilon' + \Delta_4) + (1 + \Delta_1)M + 1}{C(P_{Y|X}) - \Delta_7}$$

(where  $C(P_{Y|X})$  is the capacity of the channel  $P_{Y|X}$  from Alice to Bob), keeping the probability of a decoding error below  $\Delta_8$ . Note that  $C(P_{Y|X}) > 0$  clearly holds when  $C_S(P_{YZ|X}) > 0$ . (If  $C(P_{Y|X}) = 0$ , the statement of the theorem is hence trivially satisfied.) Thus the total number of channel uses for the entire key generation can be made smaller than  $MN(1 + \Delta_9)$  for arbitrarily small  $\Delta_9 > 0$  and sufficiently large  $N$ .

From the above we can now conclude that  $S$  is a perfectly-uniformly distributed string of length  $r = (1 - o(1))RL$ , where  $L = (1 + o(1))MN$  is the total number of channel uses. Furthermore, we have by construction  $\text{Prob}[S' \neq S] = o(1)$  and finally

$$\begin{aligned} H(S|Z^L) &= H(S) - I(S; Z^L) \geq H(S) - I(\tilde{S}; Z^L) \\ &= r - 2^{-(MK)^{1/2-o(1)}} - r \cdot (2^{-s} + \text{Prob}[\overline{\mathcal{F}(\delta)}]) = r - o(1) . \end{aligned} \tag{15}$$

The inequality in (15) holds because  $Z^L \rightarrow \tilde{S} \rightarrow S$  is a Markov chain and because of the data-processing lemma [8]. Hence the achievable rate with respect to the strong secrecy-capacity definition is of order  $(1 - o(1))R = (1 - o(1))C_S(P_{YZ|X})$ , thus  $\overline{C}_S(P_{YZ|X}) = C_S(P_{YZ|X})$  holds.  $\square$

### 4 Concluding Remarks

The fact that previous security definitions of information-theoretic key agreement in the noisy-channel models by Wyner [18] and Csiszár and Körner [10] and the correlated-randomness settings of Maurer [13] and Ahlswede–Csiszár [1] are unsatisfactory is a motivation for studying much stronger definitions which tolerate the adversary to obtain only a negligibly small amount of information

about the generated key. We have shown, by a generic reduction with low communication complexity and based on extractor functions, that in all these models, the achievable key-generation rates with respect to the weak and strong definitions are asymptotically identical. Therefore, the old notions can be entirely replaced by the new definitions.

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