

# Parallel Gauss Sieve Algorithm : Solving the Ideal Lattice Challenge of 128 dimensions

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2014/3/28

- Some contests from TU Darmstadt
  - SVP Challenge, Ideal Lattice Challenge, Lattice Challenge




## HALL OF FAME

Position	Dimension	Index	Seed	Euclidean norm	Contestant	Solution	Using Ideal Structure	Subm. Date
1	128	256	0	2959	Tsukasa Ishiguro, Shinsaku Kiyomoto, Yutaka Miyake, Tsuyoshi Takagi	<a href="#">yec</a>	yes	2013-04-11
2	108	324	0	2669	Tsukasa Ishiguro, Shinsaku Kiyomoto, Yutaka Miyake, Tsuyoshi Takagi	<a href="#">yec</a>	yes	2013-03-8
3	102	103	0	2670	Usatyuk Vasilyi	<a href="#">yec</a>	no	2013-07-17
4	100	202	0	2660	Po-Chun Kuo, Po-Hsiang Hao	<a href="#">yec</a>	no	2013-02-21
5	96	288	0	2493	Tsukasa Ishiguro, Shinsaku Kiyomoto, Yutaka Miyake, Tsuyoshi Takagi	<a href="#">yec</a>	yes	2013-02-20
6	92	188	0	2534	Po-Chun Kuo, Po-Hsiang Hao	<a href="#">yec</a>	no	2013-02-10
7	88	89	0	2482	Tsukasa Ishiguro, Shinsaku Kiyomoto, Yutaka Miyake, Tsuyoshi Takagi	<a href="#">yec</a>	no	2013-02-8
8	82	83	0	2385	Usatyuk Vasilyi	<a href="#">yec</a>	no	2013-02-8
9	80	220	0	2228	Tsukasa Ishiguro, Shinsaku Kiyomoto, Yutaka Miyake, Tsuyoshi Takagi	<a href="#">yec</a>	no	2013-02-8
10	66	67	0	2191	T. Plantard and M. Schneider	<a href="#">yec</a>	no	2012-07-13
11	64	160	0	2057	Bal Khadka, Suresh Manjivaram	<a href="#">yec</a>	yes	2013-02-13

## Background

- Some contests from TU Darmstadt
  - SVP Challenge, Ideal Lattice Challenge, Lattice Challenge



**IDEAL LATTICE CHALLENGE**

**HALL OF FAME**

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					Tsukasa Ishiguro, Shinsaku Kiyomoto, Yutaka Miyake, Tsuyoshi Takagi	<a href="#">vec</a>		2013-

### Our contributions

- A parallel version of an algorithm for solving SVP
- Improvements using ideal structures
- Solving the 128 dimensional SVP over ideal lattice

## $n$ dimensional lattice and SVP

Parallel Gauss  
Sieve  
Algorithm

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S.Kiyomoto,  
Y.Miyake,  
T.Takagi

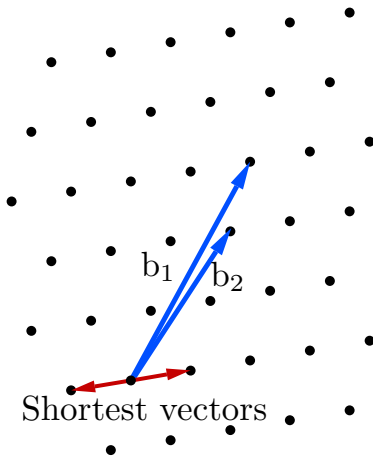
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- Lattice basis

$$\mathbf{B} = (\mathbf{b}_1, \dots, \mathbf{b}_n) \in \mathbb{Z}^{n \times n},$$
$$\mathbf{b}_i \in \mathbb{Z}^n$$

- Lattice

$$\mathcal{L}(\mathbf{B}) = \left\{ \sum_{1 \leq i \leq n} \alpha_i \mathbf{b}_i, \alpha_i \in \mathbb{Z} \right\}$$

- (Euclidean) norm of  $\mathbf{v} = (v_1, \dots, v_n)$

$$\|\mathbf{v}\| = \sqrt{\sum_{1 \leq i \leq n} v_i^2}$$

Definition (Shortest Vector Problem(SVP))

Given a lattice  $\mathcal{L}(\mathbf{B})$ , find a shortest non-zero vector in  $\mathcal{L}(\mathbf{B})$ .

# $n$ dimensional ideal lattice

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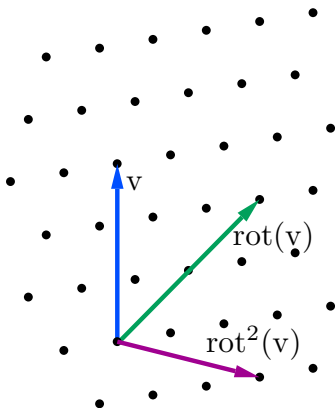
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- Polynomial representation

$$\mathbf{v} = (v_1, \dots, v_n) \in \mathcal{L}(\mathbf{B})$$
$$\Leftrightarrow \mathbf{v}(x) = \sum_{1 \leq i \leq n} v_i x^{i-1} \in \mathbb{Z}[x]$$

- Vector rotation

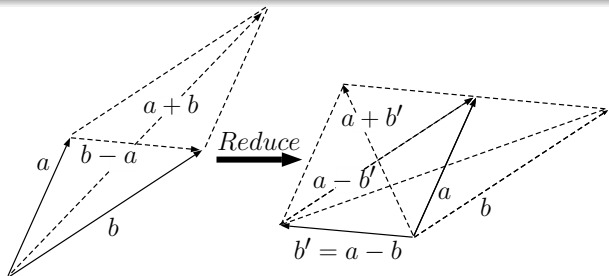
$$\mathbf{rot}(\mathbf{v}) = x\mathbf{v}(x) \bmod g(x)$$

$g(x)$ : monic,  $\deg(g(x)) = n$

- If  $\mathbf{rot}(\mathbf{v}) \in \mathcal{L}(\mathbf{B})$  for all  $\mathbf{v} \in \mathcal{L}(\mathbf{B})$ , then the  $\mathcal{L}(\mathbf{B})$  is called ideal lattice

## Definition (Gauss-reduced)

If two different vectors  $\mathbf{a}, \mathbf{b} \in \mathcal{L}(\mathbf{B})$  satisfy  $\|\mathbf{a} \pm \mathbf{b}\| \geq \max(\|\mathbf{a}\|, \|\mathbf{b}\|)$ , then  $\mathbf{a}, \mathbf{b}$  are called Gauss-reduced.

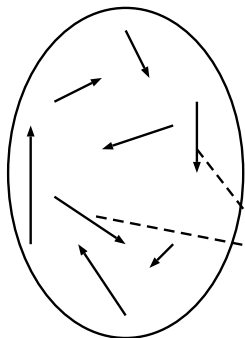


$\mathbf{a}, \mathbf{b}$  are not Gauss-reduced.  $\mathbf{a}, \mathbf{b}'$  are Gauss-reduced.  
We say that  $\mathbf{b}$  (or  $\mathbf{b}'$ ) was reduced by  $\mathbf{a}$ .

## Pairwise-reduced

### Definition (Pairwise-reduced)

Let  $A$  be a set of  $d$  vectors in  $\mathcal{L}(\mathbf{B})$ . If every pair of two vectors  $(\mathbf{a}_i, \mathbf{a}_j)$  in  $A$  for  $i, j = 1, \dots, d, i \neq j$  is Gauss-reduced, then the  $A$  is called pairwise-reduced.



Set of vectors

Any pair of vectors are Gauss-reduced

# Gauss Sieve Algorithm[Micciancio, 2009]

$L$  is always pairwise-reduced

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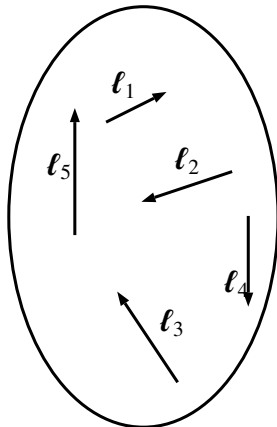
Experiment



Stack  $S$



Vector  $v$



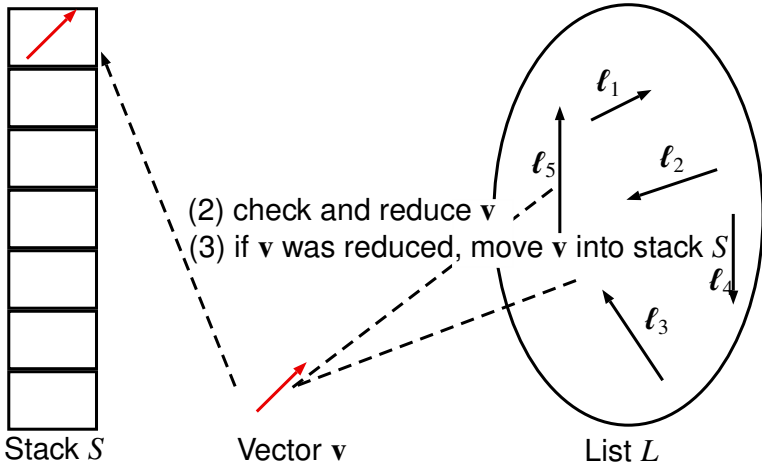
List  $L$

(1) chosen at random or popped from stack  $S$



# Gauss Sieve Algorithm[Micciancio, 2009]

$L$  is always pairwise-reduced



Parallel Gauss Sieve Algorithm

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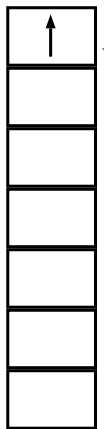
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# Gauss Sieve Algorithm[Micciancio, 2009]

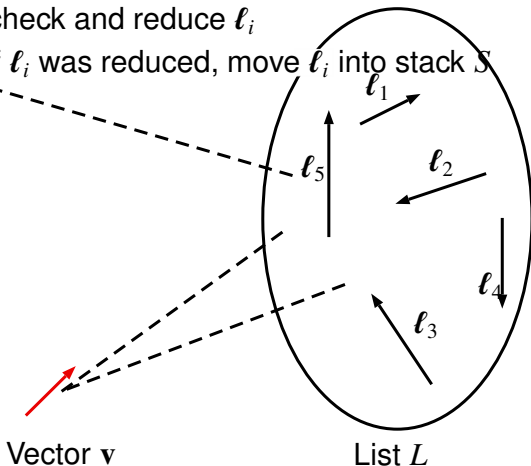
$L$  is always pairwise-reduced

(4) check and reduce  $\ell_i$

(5) if  $\ell_i$  was reduced, move  $\ell_i$  into stack  $S$



Stack  $S$

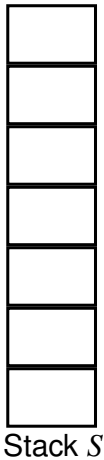
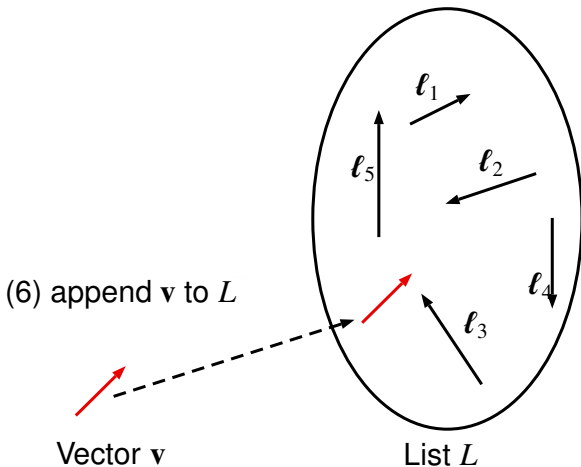


Vector  $v$

List  $L$

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$L$  is always pairwise-reduced



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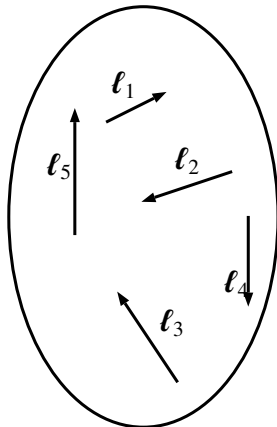
Experiment



Stack  $S$



Vector  $v$



List  $L$

Gauss Sieve algorithm constructs a big list  $L$  of lattice vectors, which is always pairwise-reduced.

Finally, a shortest vector appeared in the list  $L$ .

# Parallelization?

## Parallel Gauss Sieve Algorithm

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- The Gauss Sieve algorithm is not easy to be parallelized
- Milde and Schneider proposed a parallel implementation of the Gauss Sieve[Milde and Schneider, '10]
- Their algorithm does not keep the list  $L$  pairwise-reduced
- When they used 10 threads, the list  $L$  doubled size of original algorithm

# Parallelization?

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## Our goal

We propose a fully parallelized Gauss Sieve algorithm.

# Parallelization?

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## Our goal

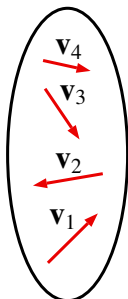
We propose a fully parallelized Gauss Sieve algorithm.

## Our strategy

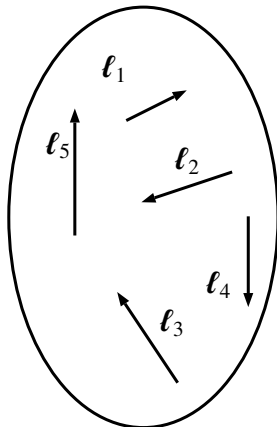
Our algorithm always keeps the list  $L$  pairwise-reduced without reference to the number of threads.



Stack  $S$



List  $V$



List  $L$

(1) choose at random or popped from stack  $S$

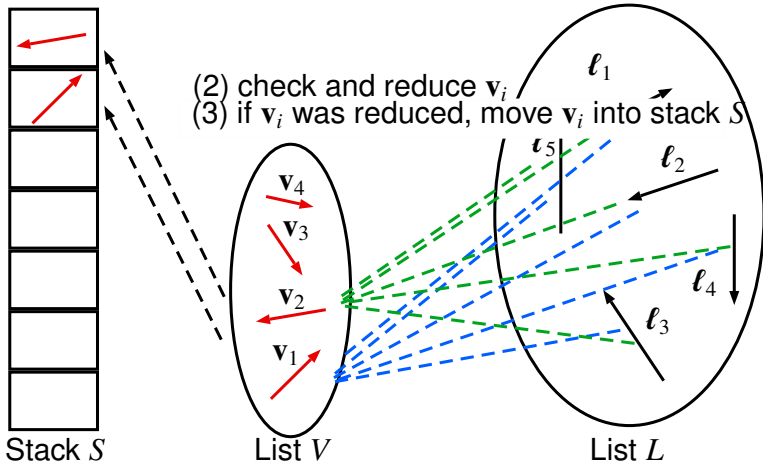
## Parallel Gauss Sieve Algorithm

$L$  is always pairwise-reduced



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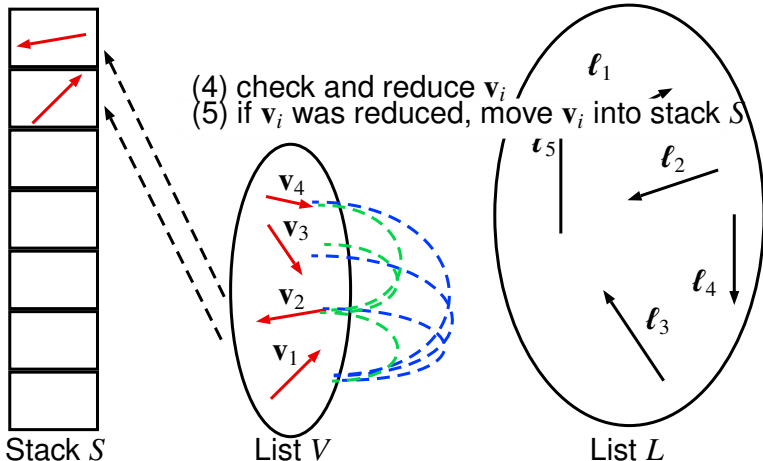
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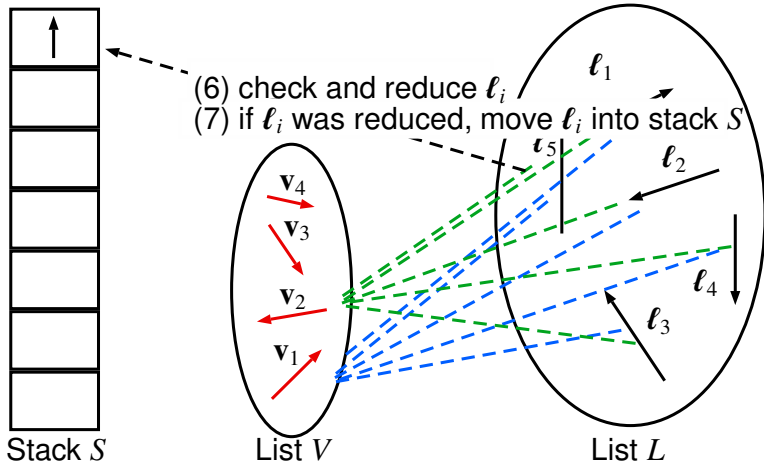
Improvements

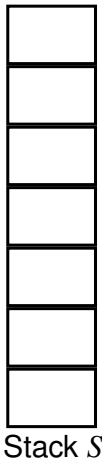
Experiment



## Parallel Gauss Sieve Algorithm

$L$  is always pairwise-reduced

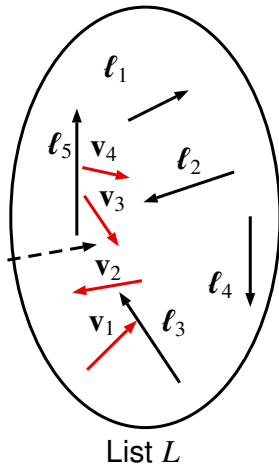
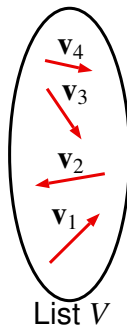




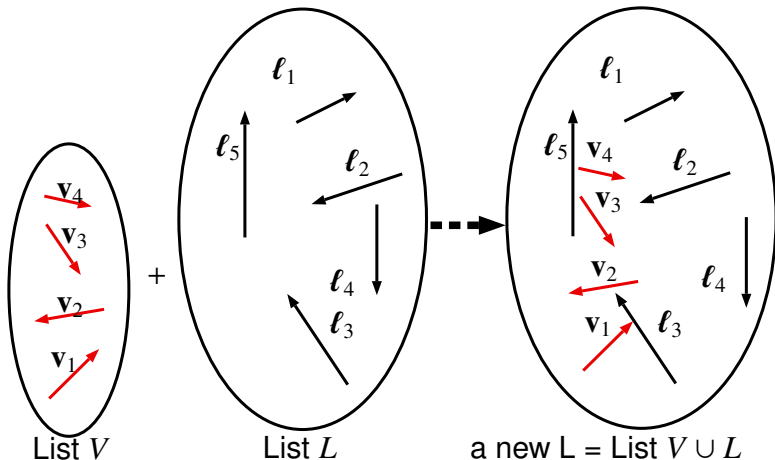
## Parallel Gauss Sieve Algorithm

$L$  is always pairwise-reduced

(8) append  $\mathbf{v}_i$  to  $L$

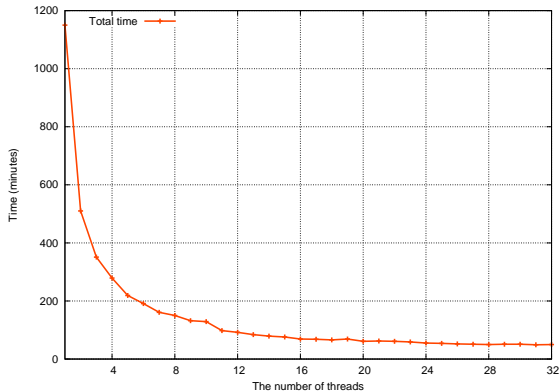


## Is a new L pairwise-reduced?



- $L$  and  $V$  are pairwise-reduced, respectively
- All pairs  $(\ell_i, v_j)$  are Gauss-reduced
- $V \cup L$  is pairwise-reduced

# Solving the 72 dimensional SVP



- This instance has 16 cores
- The running time decreases until 16 threads
- The sizes of the list  $L$  are most of the same

# List of the improvements of Gauss Sieve

- Generic improvements
    - Sampling short vectors
      - Reduction of lengths of sampling vectors
      - about 5 times faster
    - Improvement of implementation
      - Using SIMD operations
      - $n = 80, 96, 128$
      - about 4 times faster
  - Specific improvements
    - Ideal Gauss Sieve for  $n = 2^\alpha$  (Anti-cyclic lattice) [Schneider, '11]
      - $n = 128$
    - Trinomial lattice for  $n = 2^s 3^t$ 
      - Inverse rotation  $\mathbf{rot}^{-1}(\mathbf{v}) = x^{-1}\mathbf{v}(x) \bmod \mathbf{g}(x)$
      - Updating to short vectors
      - $n = 96$
- more than 25 times faster

## Experiment environment

- Amazon EC2 cc1.8xlarge instance
- OS: Ubuntu12.10
- Intel Xeon E5-2670(2.6Ghz), total 16 cores
- gsieve library [Voulgaris]
- compiler: g++4.1.2, OpenMP, OpenMPI

### Improvement of implementation

- Our assumptions
    - All absolute values of norms of vectors are less than  $2^{16}$
    - Calculating time of inner product is most expensive
  - We optimized inner product by using SIMD operations
    - 8-parallelization of 16-bit addition and multiplication (SSE4.2)
- about 4 times faster



# Solving the Challenges

- SVP Challenge

dim $n$	CPU hours	#instances	#threads	type
80	0.9	1	32	<i>Random lattice</i>
96	200	4	128	<i>Random lattice</i>

- Ideal Lattice Challenge

dim $n$	CPU hours	#instances	#threads	type
80	0.9	1	32	<i>Ideal lattice</i>
96	8	1	32	<i>Trinomial lattice</i>
128	29,994	84	2,688	<i>Anti-cyclic lattice</i>

- Original gsieve library requires **about 1 week** for solving a 80 dimensional SVP
- Trinomial lattice : **25 times** faster

## Conclusion

- We proposed a parallel version of the Gauss Sieve algorithm
  - We found the new conditions to speed up the Gauss Sieve algorithm
  - We solved a 128 dimensional SVP over ideal lattice, which had not been solved before
  - The full-version is published in [ePrint 2013/388]
- ★ Open problems
- How is the theoretical complexity of the Gauss Sieve, the Parallel Gauss Sieve, and the Ideal Gauss Sieve?
  - Does there exist other conditions or techniques to speed up the Gauss Sieve algorithm?

Parallel Gauss  
Sieve  
Algorithm

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# Solving the 80 dimensional SVP

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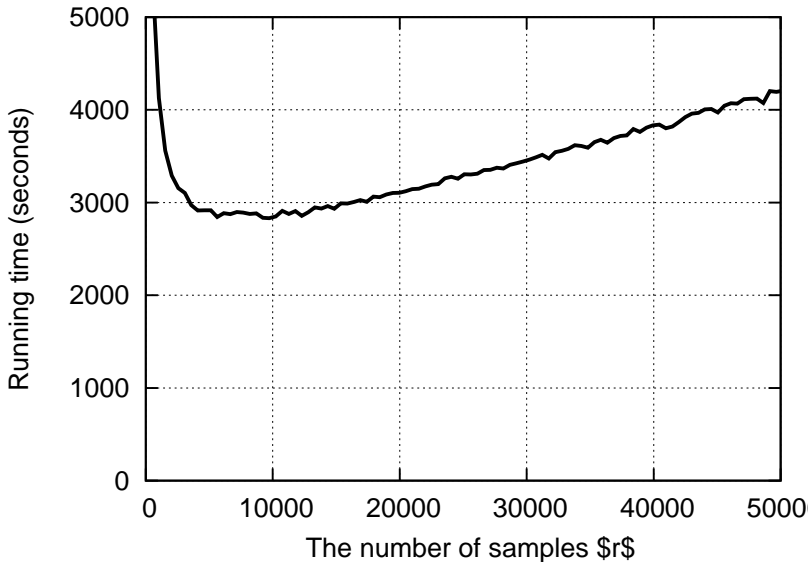
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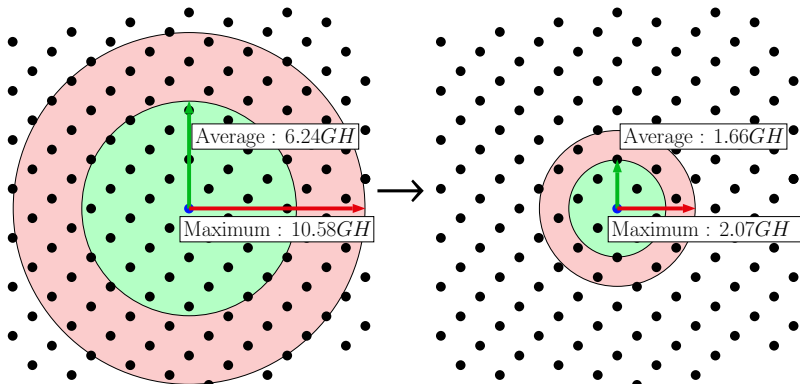
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## Sampling short vector

- Optimization of sampling algorithm, namely *SampleD* algorithm in Klein's randomized rounding algorithm.
- We try to adjust the parameter which determines the tradeoff between the length of the norm of sample vectors and the running time of our algorithm.



GH is the Gaussian heuristic bound:

$$GH = (1/\sqrt{\pi})\Gamma(\frac{n}{2} + 1)^{\frac{1}{n}} \cdot \det(\mathcal{L}(\mathbf{B}))^{\frac{1}{n}}$$

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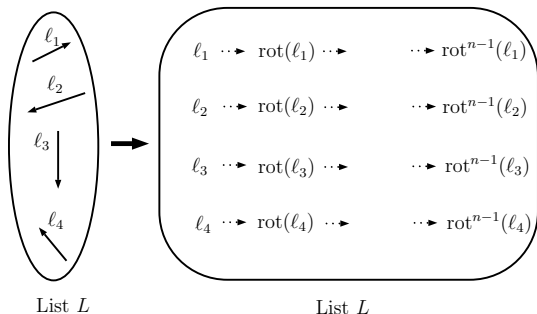
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- Anti-cyclic lattice
  - $n = 2^\alpha, \alpha \in \mathbb{N}$
  - Cyclotomic polynomial:  $g(x) = x^n + 1$
- Vector rotation

$$\mathbf{rot}(\mathbf{v}) = (-v_n, v_1, \dots, v_{n-1})$$

$$\|\mathbf{rot}^i(\mathbf{v})\| = \|\mathbf{v}\|, \quad (1 \leq i \leq n)$$

- It is easy to generate  $(n - 1)$  independent vectors  $\mathbf{rot}^i(\mathbf{v})$  of same length from one vector  $\mathbf{v}$



## Trinomial Lattice (1/2)

- Cyclotomic polynomial :  $g(x) = x^n \pm x^{n/2} + 1$ 
    - (case 1)  $n = 2 \cdot 3^m, m > 0$
    - (case 2)  $n = 2^s 3^t, s > 1, t > 0$
  - Vector rotation  
 $\mathbf{rot}(\mathbf{v}) = (-v_n, v_1, \dots, v_{\frac{n}{2}-2}, v_{\frac{n}{2}-1} - v_{n-1}, v_{\frac{n}{2}}, \dots, v_{n-1})$
  - Differential of norm  
$$\|\mathbf{rot}(\mathbf{v})\| - \|\mathbf{v}\| = (v_{n-1})^2 - 2v_{\frac{n}{2}-1}v_{n-1}$$
- If  $(v_{n-1})^2 - 2v_{\frac{n}{2}-1}v_{n-1} < 0$ , norm of a lattice vector decreases.

## Trinomial Lattice (2/2)

- Improvement 3-1: Inverse rotation
  - $\mathbf{rot}^{-1}(\mathbf{v}) = x^{-1}\mathbf{v}(x) \bmod \mathbf{g}(x)$   
 $x^{-1}$ : inverse of  $x$  modulo  $\mathbf{g}(x)$
- Improvement 3-2: Vector update
  - choosing the shortest vector in following vectors

$$\mathbf{rot}(\mathbf{v}), \mathbf{rot}^2(\mathbf{v}), \dots, \mathbf{rot}^k(\mathbf{v})$$

$$\mathbf{rot}^{-1}(\mathbf{v}), \mathbf{rot}^{-2}(\mathbf{v}), \dots, \mathbf{rot}^{-k}(\mathbf{v})$$

- Solving the 72 dimensional SVP

