

# Stam's Conjecture and Threshold Phenomena in Collision Resistance

John Steinberger<sup>1</sup>, Xiaoming Sun, Zhe Yang

ITCS, Tsinghua University

August 21, 2012

---

<sup>1</sup>Supported by the National Basic Research Program of China Grant 2011CBA00300, 2011CBA00301, the National Natural Science Foundation of China Grant 61033001, 61061130540, 61073174, and by NSF grant 0994380.

## The Ideal Primitive Model (IPM)

- Used for proving the security of hash functions and compression functions that are based on some smaller primitive.

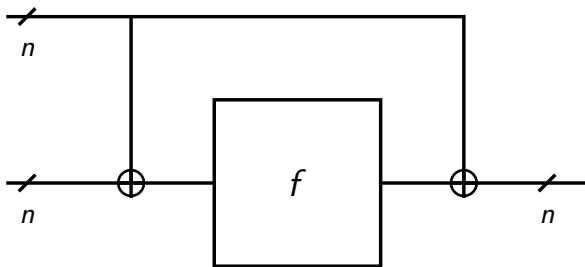
## The Ideal Primitive Model (IPM)

- Used for proving the security of hash functions and compression functions that are based on some smaller primitive.
- An **information-theoretic** adversary is **given oracle access** to the primitive, which is modeled as “ideal” or “perfectly random”.

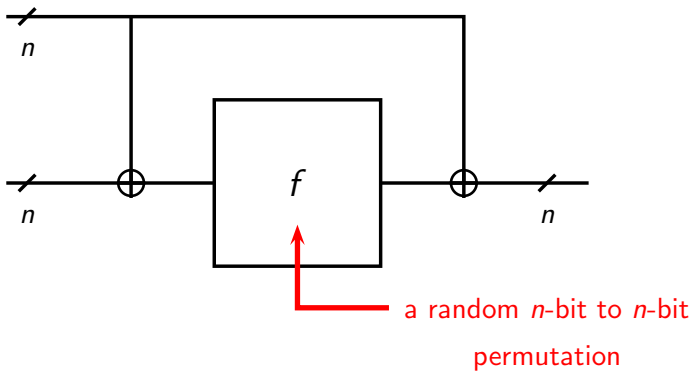
## The Ideal Primitive Model (IPM)

- Used for proving the security of hash functions and compression functions that are based on some smaller primitive.
- An **information-theoretic** adversary is **given oracle access** to the primitive, which is modeled as “ideal” or “perfectly random”.
- The only obstacle to the adversary's success is the randomness of the query responses.

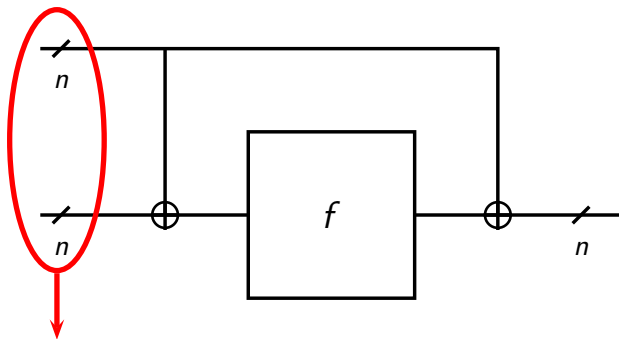
## The limits of IPM security



## The limits of IPM security

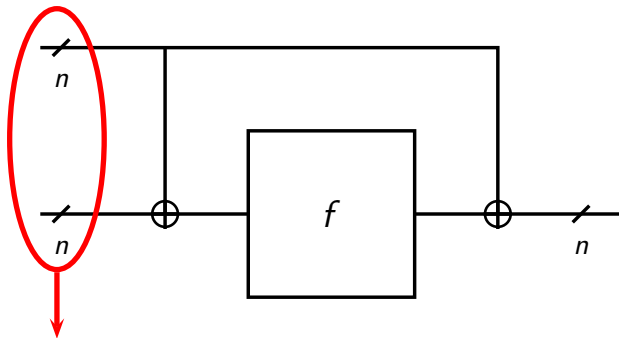


## The limits of IPM security



Domain of the compression function is  $\{0, 1\}^{2n}$

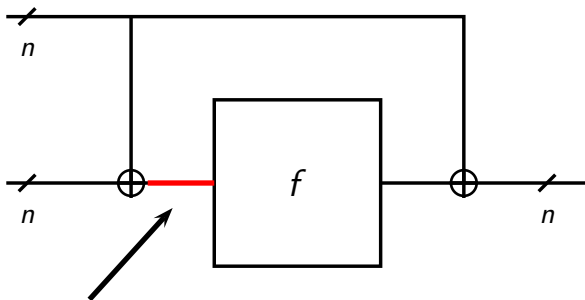
## The limits of IPM security



Domain of the compression function is  $\{0, 1\}^{2n}$   
 ...the size of the domain is  $2^{2n}$

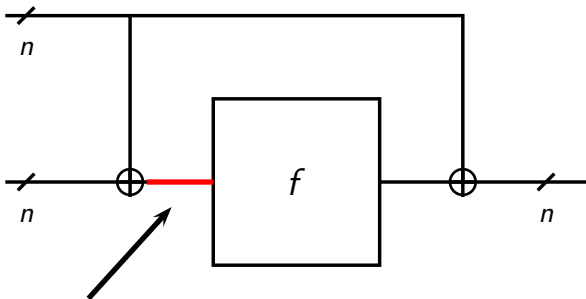


## The limits of IPM security



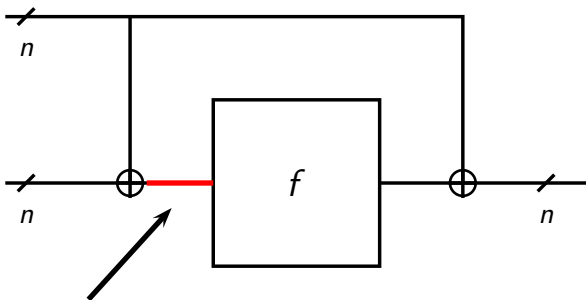
The adversary learns  $2^n$  inputs by making one query to  $f$ ...

## The limits of IPM security



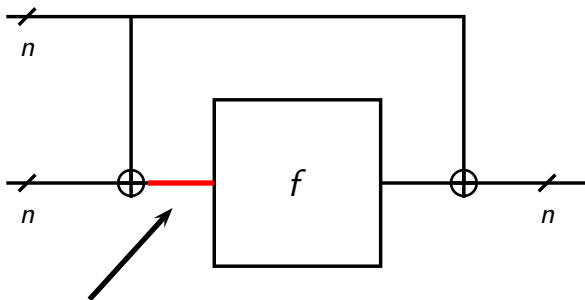
The adversary learns  $2^n$  inputs by making one query to  $f$ ...  
 ...and learns  $2 \cdot 2^n$  inputs by making two queries to  $f$ ...

## The limits of IPM security



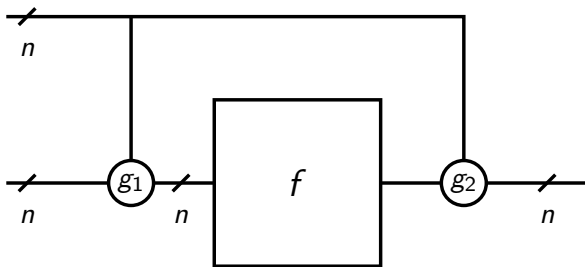
The adversary learns  $2^n$  inputs by making one query to  $f$ ...  
 ...and learns  $2 \cdot 2^n$  inputs by making two queries to  $f$ ...  
 ...but there are only  $2^n$  outputs total...

## The limits of IPM security

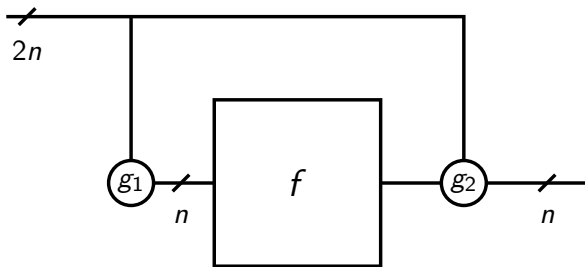


The adversary learns  $2^n$  inputs by making one query to  $f$ ...  
 ...and learns  $2 \cdot 2^n$  inputs by making two queries to  $f$ ...  
 ...but there are only  $2^n$  outputs total...  
 ...so the adversary breaks collision security in two queries.

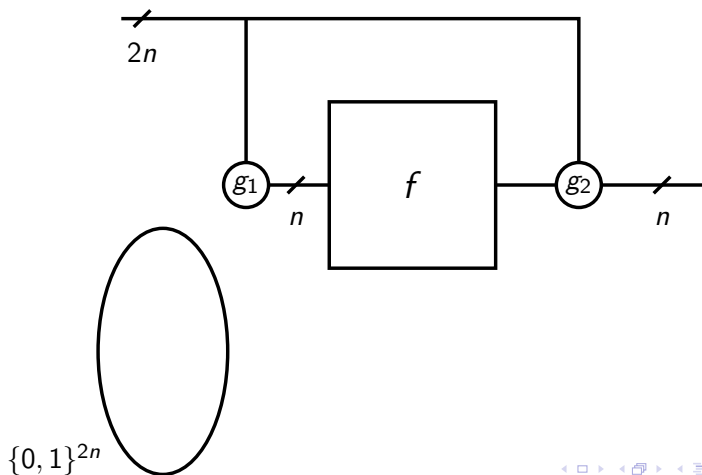
## The limits of IPM security



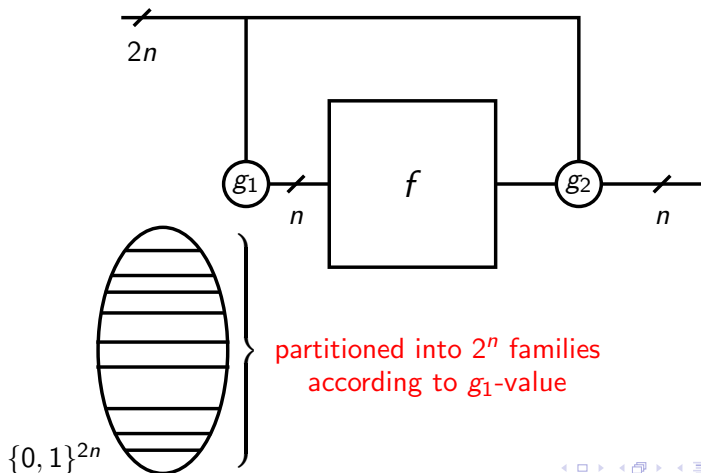
## The limits of IPM security



## The limits of IPM security

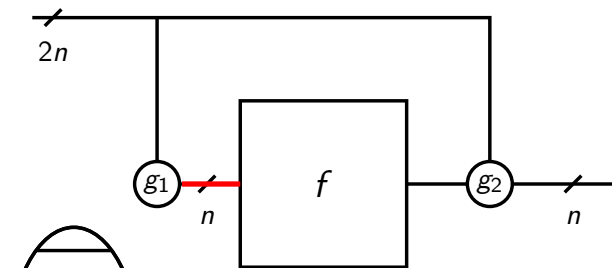


## The limits of IPM security



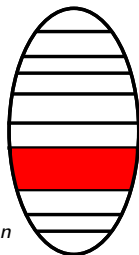


## The limits of IPM security

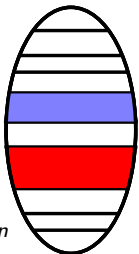
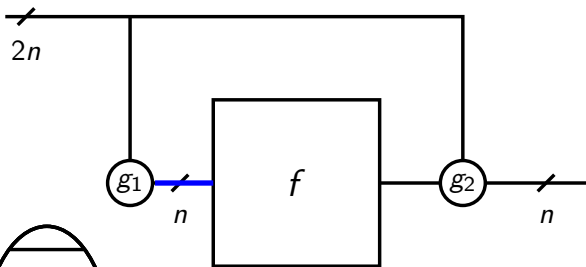


By making **1 greedy query** the adversary can learn the output value for  $\geq 2^n$  inputs

$\{0, 1\}^{2n}$



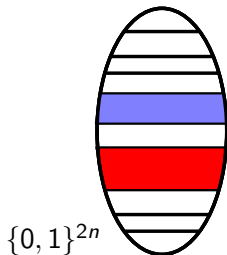
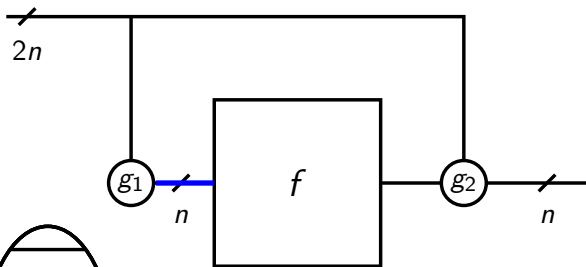
## The limits of IPM security



$\{0, 1\}^{2n}$

By making **two greedy queries** the adversary can learn the output value for  $\geq 2 \cdot 2^n$  inputs

## The limits of IPM security

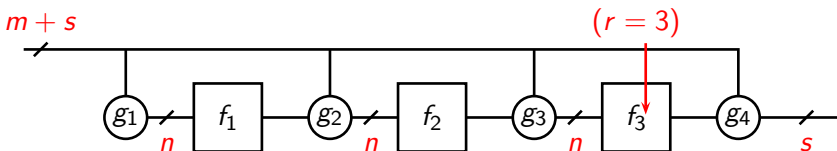


By making **two greedy queries** the adversary can learn the output value for  $\geq 2 \cdot 2^n$  inputs

**Collision security = 2 queries**

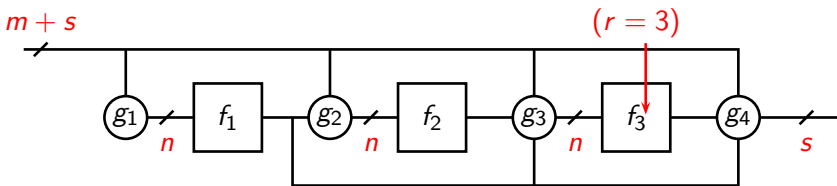
## The General Model

An  $(m + s)$ -bit to  $s$ -bit compression function making  $r$  calls to a primitive  $f$  of  $n$ -bit input.



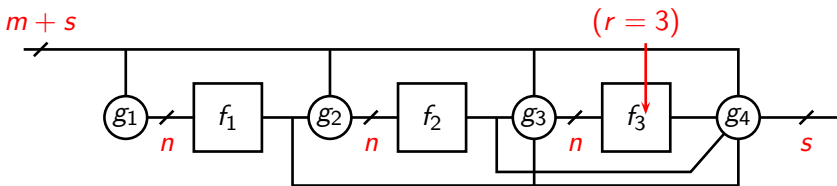
## The General Model

An  $(m + s)$ -bit to  $s$ -bit compression function making  $r$  calls to a primitive  $f$  of  $n$ -bit input.



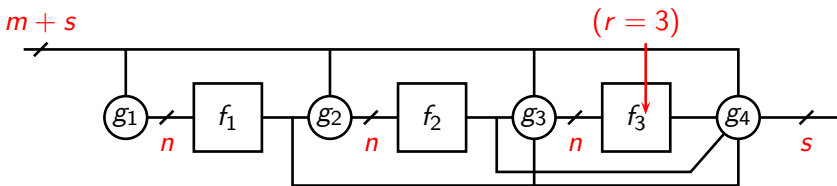
## The General Model

An  $(m + s)$ -bit to  $s$ -bit compression function making  $r$  calls to a primitive  $f$  of  $n$ -bit input.



## The General Model

An  $(m + s)$ -bit to  $s$ -bit compression function making  $r$  calls to a primitive  $f$  of  $n$ -bit input.



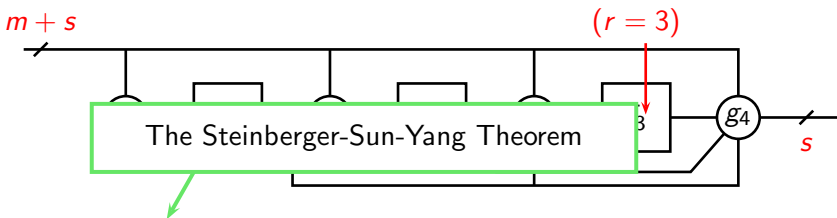
**Stam's conjecture:** The collision security of such a compression function is upper bounded by

$$r \cdot \min(2^{(nr-m)/(r+1)}, 2^{s/2})$$

(up to a possible constant factor).

## The General Model

An  $(m + s)$ -bit to  $s$ -bit compression function making  $r$  calls to a primitive  $f$  of  $n$ -bit input.



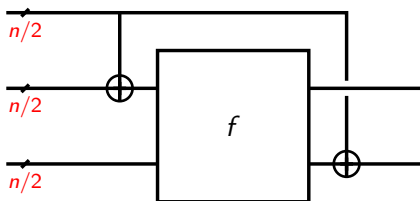
~~Stam's conjecture:~~ The collision security of such a compression function is upper bounded by

$$r \cdot \min(2^{(nr-m)/(r+1)}, 2^{s/2})$$

(up to a possible constant factor).



## Example: the JH compression function

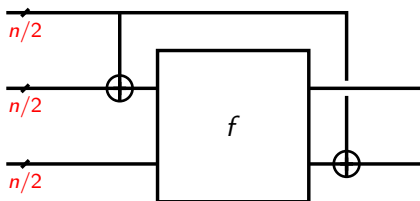


$$s = n$$

$$m = n/2$$

$$r = 1$$

## Example: the JH compression function



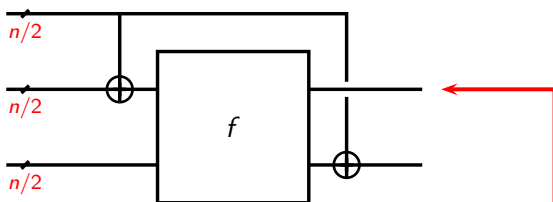
$$s = n$$

$$m = n/2$$

$$r = 1$$

$$2^{(nr-m)/(r+1)} = 2^{(n-0.5n)/2} = 2^{n/4}$$

## Example: the JH compression function



$$s = n$$

$$m = n/2$$

$$r = 1$$

$$2^{(nr-m)/(r+1)} = 2^{(n-0.5n)/2} = 2^{n/4}$$

It suffices to find a collision on this wire

## (Main Theorem)

With

$$q = O(1)r2^{(nr-m)/(r+1)}$$

queries one can obtain at least

$$2^{2(s/2-(nr-m)/(r+1))}$$

collisions with high probability.

## (Main Theorem)

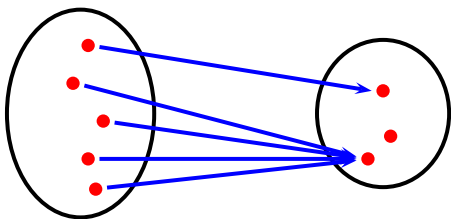
With

$$q = O(1)r2^{(nr-m)/(r+1)}$$

queries one can obtain at least

$$2^{2(s/2-(nr-m)/(r+1))}$$

collisions with high probability.



= 4 "collisions"

## (Main Theorem)

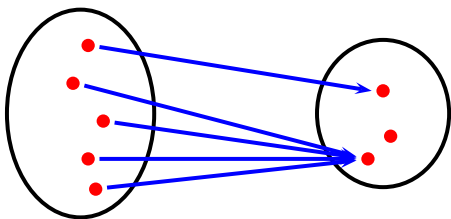
With

$$q = O(1)r2^{(nr-m)/(r+1)}$$

queries one can obtain at least

$$2^{2(s/2-(nr-m)/(r+1))}$$

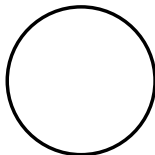
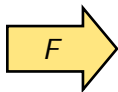
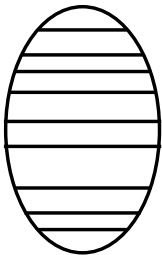
collisions with high probability.



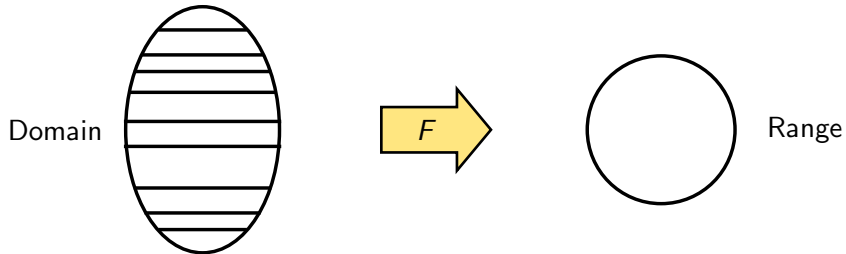
= 4 "collisions"

$$\geq |Domain| - |Range|$$

## Key Lemma

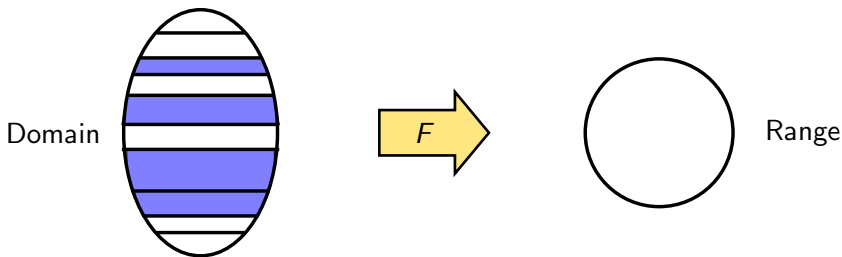


## Key Lemma



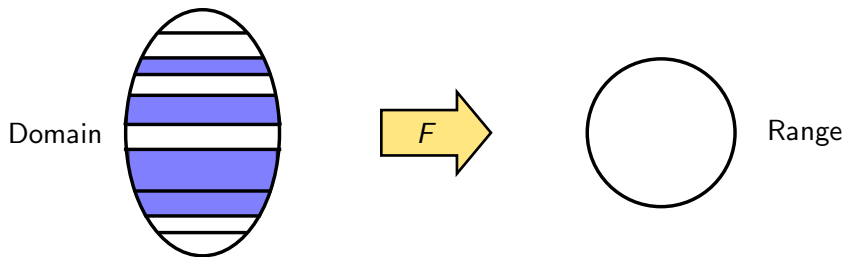


## Key Lemma



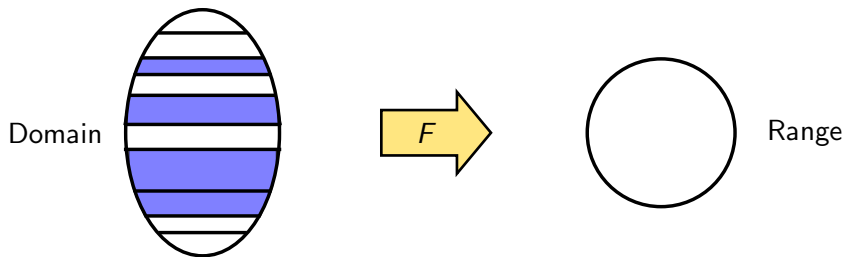
**Basic idea:** That if we restrict the domain by selecting a certain number of layers randomly, the number of leftover colliding inputs is close to its expectation with high probability.

## Key Lemma



$C$ : Original number of colliding inputs in  $F$

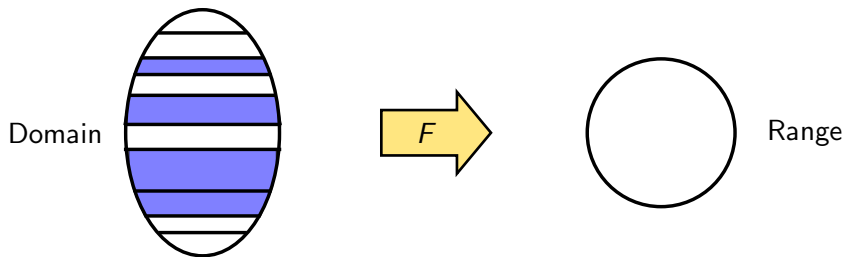
## Key Lemma



$C$ : Original number of colliding inputs in  $F$

$k$ : Number of layers in egg

## Key Lemma

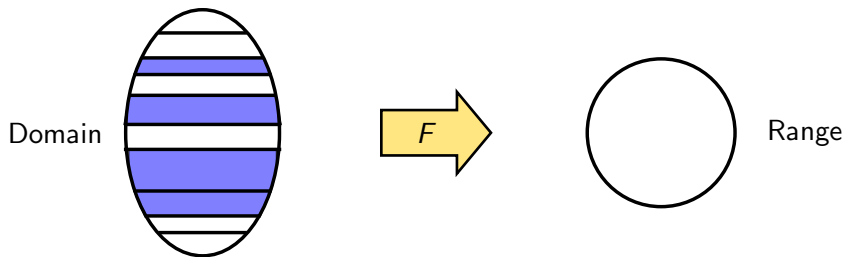


$C$ : Original number of colliding inputs in  $F$

$k$ : Number of layers in egg

$q$ : Number of layers to be randomly selected

## Key Lemma



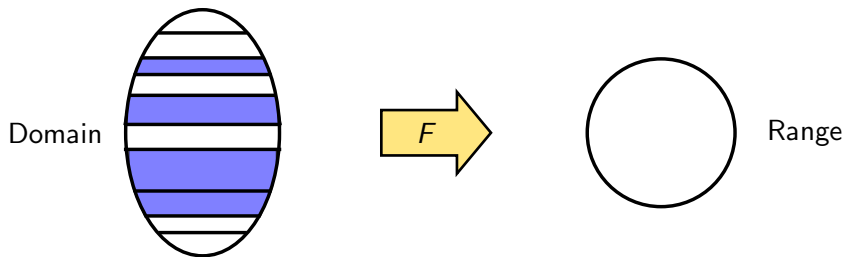
$C$ : Original number of colliding inputs in  $F$

$k$ : Number of layers in egg

$q$ : Number of layers to be randomly selected

$p = q/k$ : The probability of a layer being selected

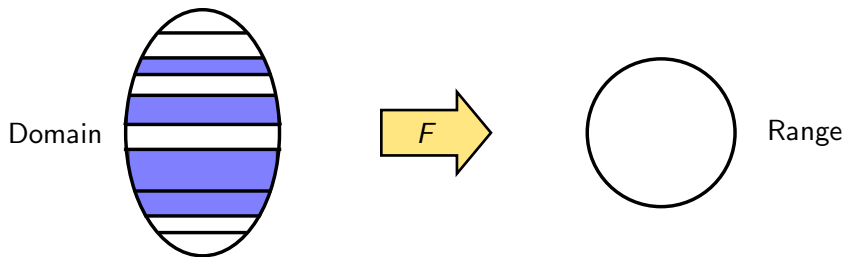
## Key Lemma



$C$ : Original number of colliding inputs in  $F$

$p$ : The probability of a layer being selected

## Key Lemma

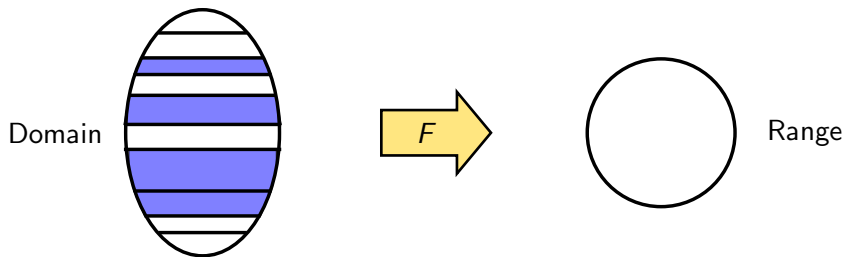


$C$ : Original number of colliding inputs in  $F$

$p$ : The probability of a layer being selected

Back-of-the-envelope expected number of leftover collisions:  $p^2 C$

## Key Lemma



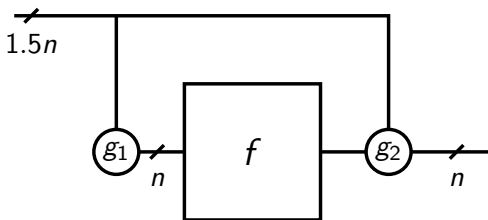
$C$ : Original number of colliding inputs in  $F$

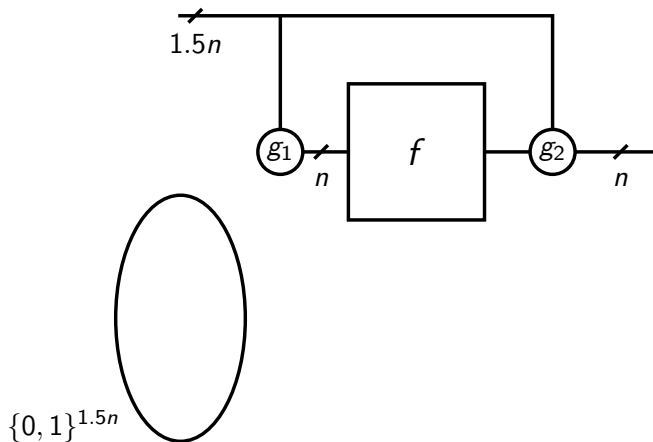
$p$ : The probability of a layer being selected

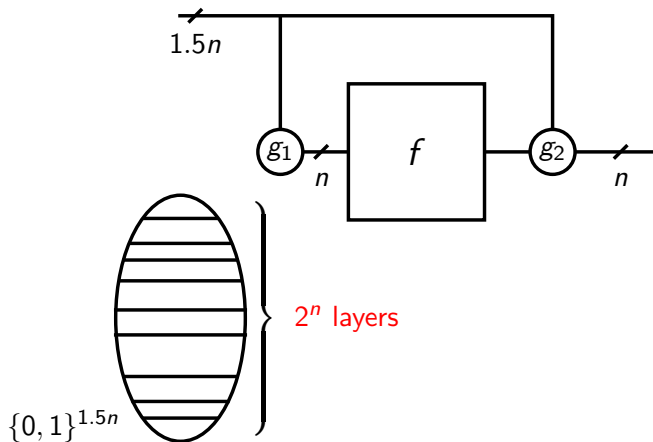
Back-of-the-envelope expected number of leftover collisions:  $p^2 C$

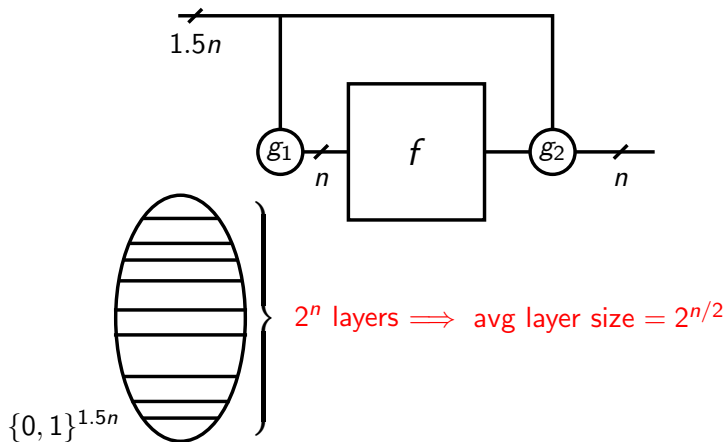
**Lemma says:** Leftover number of colliding inputs is not much less than  $p^2 C$  as long as  $p^2 C$  is greater than the largest size of a layer.

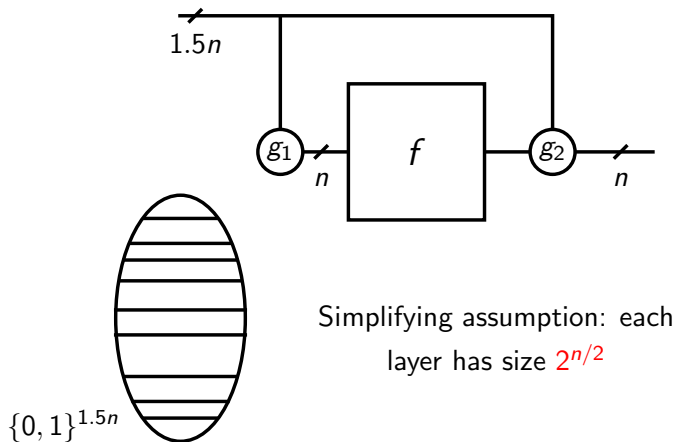


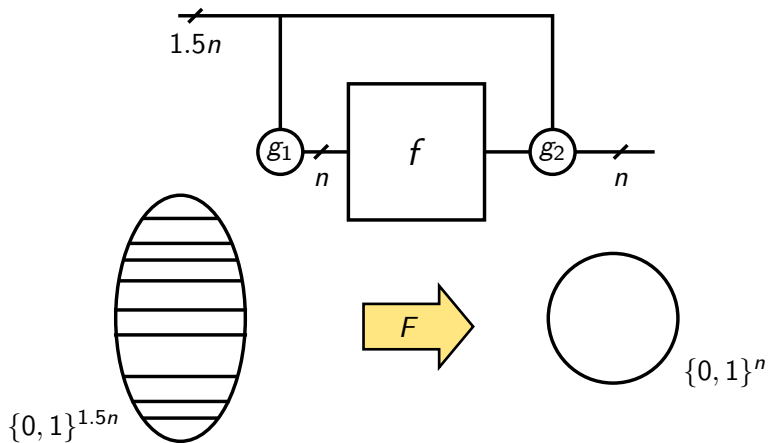
Proof sketch ( $r = 1$ )

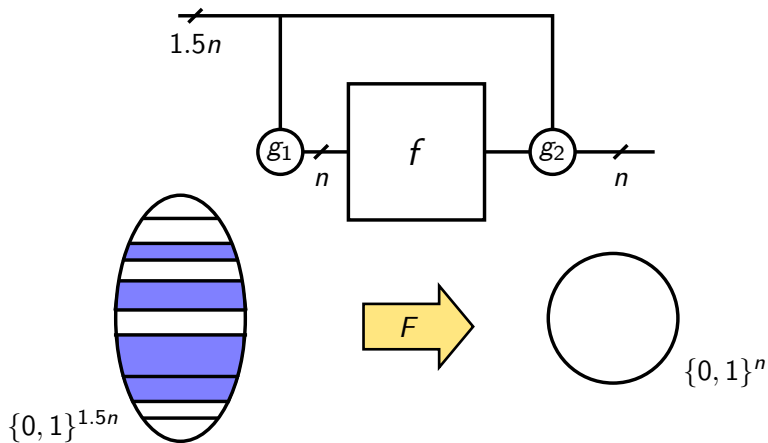
Proof sketch ( $r = 1$ )

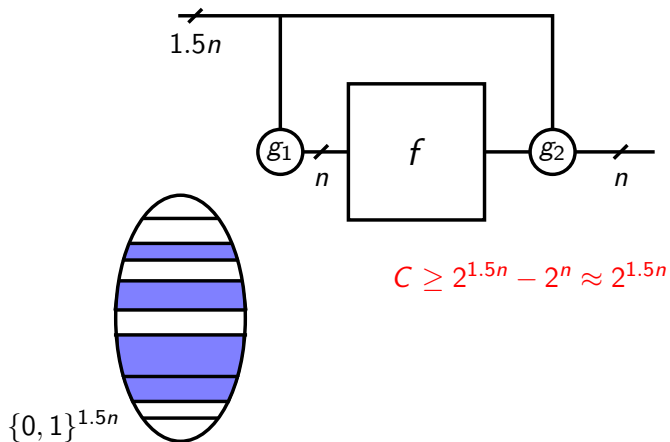
Proof sketch ( $r = 1$ )

Proof sketch ( $r = 1$ )

Proof sketch ( $r = 1$ )

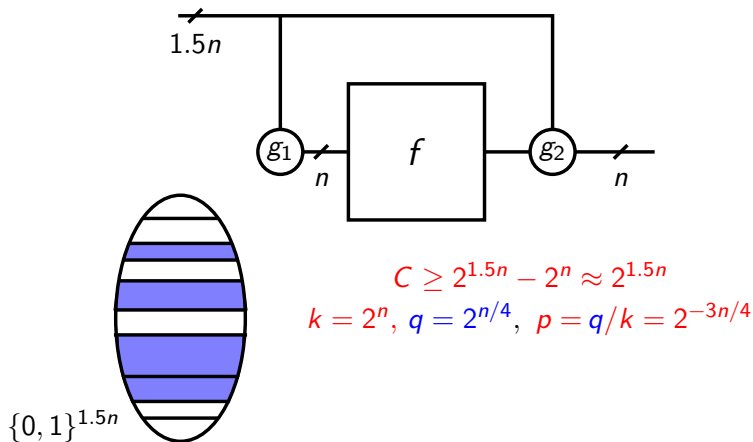
Proof sketch ( $r = 1$ )

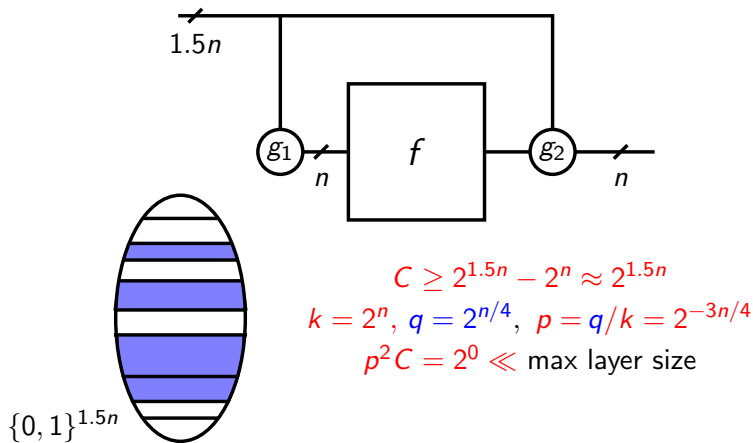
Proof sketch ( $r = 1$ )

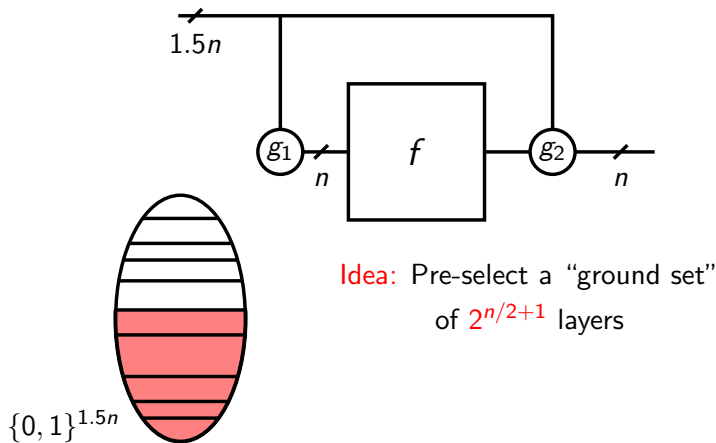
Proof sketch ( $r = 1$ )

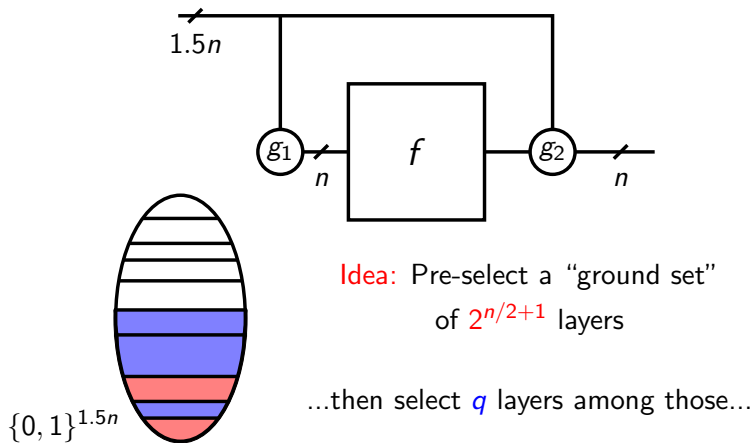
$$C \geq 2^{1.5n} - 2^n \approx 2^{1.5n}$$

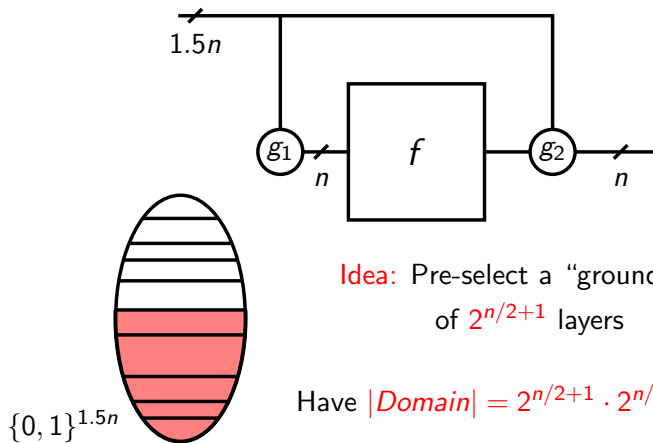


Proof sketch ( $r = 1$ )

Proof sketch ( $r = 1$ )

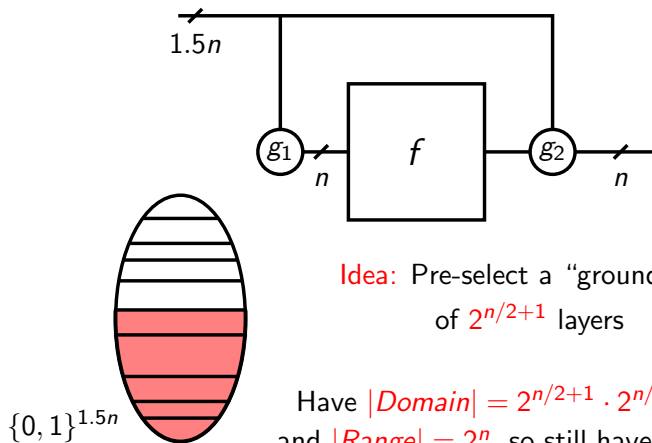
Proof sketch ( $r = 1$ )

Proof sketch ( $r = 1$ )

Proof sketch ( $r = 1$ )

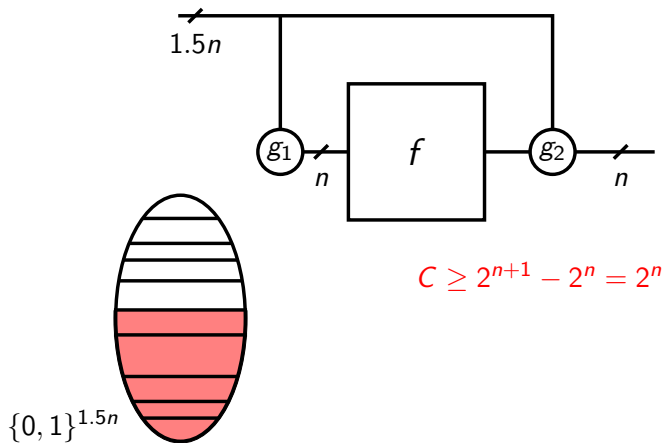
Idea: Pre-select a "ground set"  
of  $2^{n/2+1}$  layers

Have  $|Domain| = 2^{n/2+1} \cdot 2^{n/2} = 2^{n+1}$

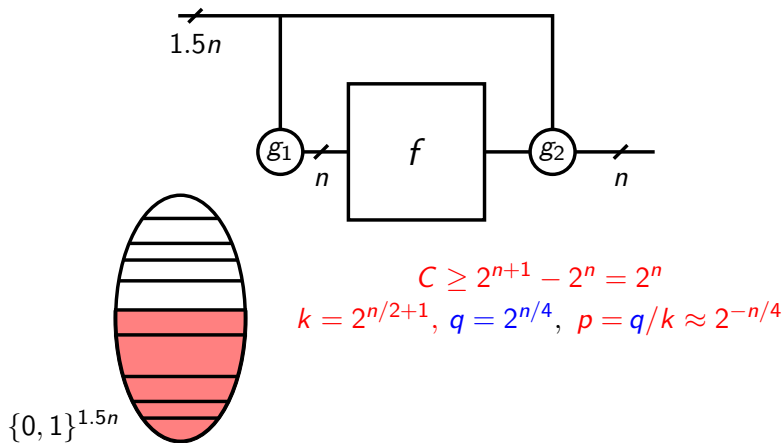
Proof sketch ( $r = 1$ )

Idea: Pre-select a “ground set”  
of  $2^{n/2+1}$  layers

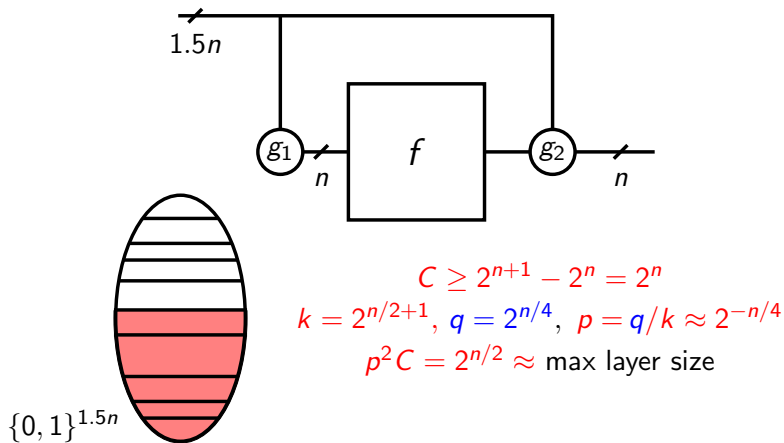
Have  $|Domain| = 2^{n/2+1} \cdot 2^{n/2} = 2^{n+1}$   
...and  $|Range| = 2^n$ , so still have  $2^n$  collisions

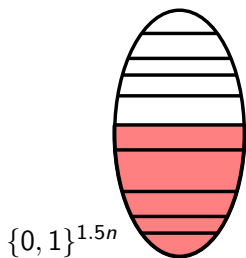
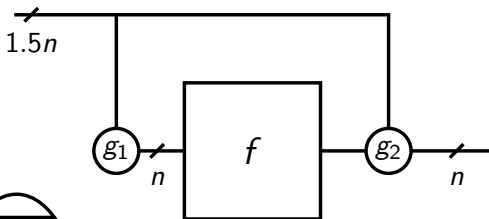
Proof sketch ( $r = 1$ )

$$C \geq 2^{n+1} - 2^n = 2^n$$

Proof sketch ( $r = 1$ )



Proof sketch ( $r = 1$ )

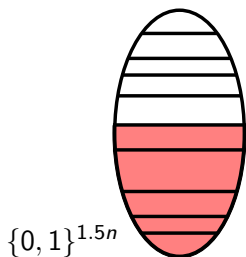
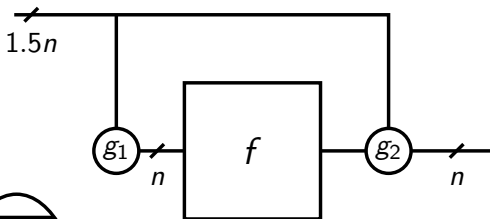
Proof sketch ( $r = 1$ )

$$C \geq 2^{n+1} - 2^n = 2^n$$

$$k = 2^{n/2+1}, q = 2^{n/4}, p = q/k \approx 2^{-n/4}$$

$$p^2 C = 2^{n/2} \approx \text{max layer size}$$



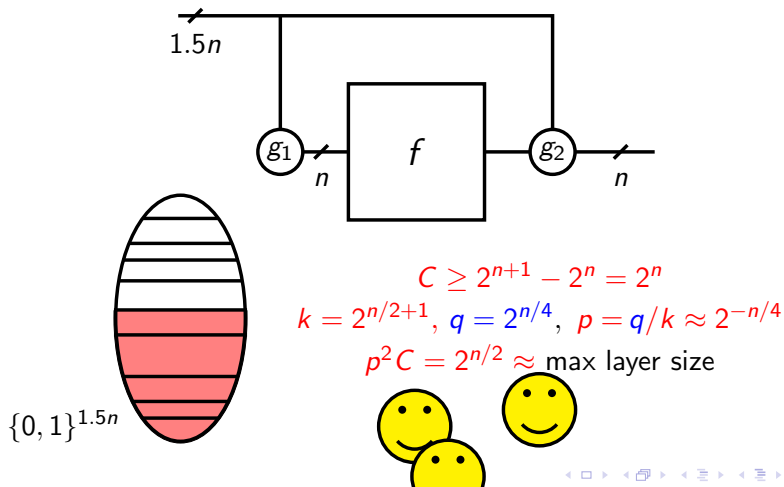
Proof sketch ( $r = 1$ )

$$C \geq 2^{n+1} - 2^n = 2^n$$

$$k = 2^{n/2+1}, q = 2^{n/4}, p = q/k \approx 2^{-n/4}$$

$$p^2 C = 2^{n/2} \approx \text{max layer size}$$

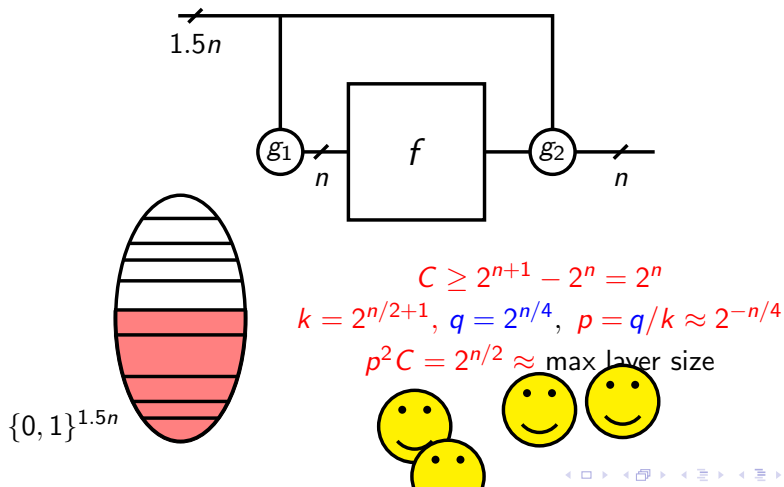


Proof sketch ( $r = 1$ )

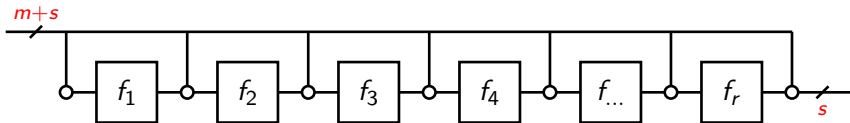
$$C \geq 2^{n+1} - 2^n = 2^n$$

$$k = 2^{n/2+1}, q = 2^{n/4}, p = q/k \approx 2^{-n/4}$$

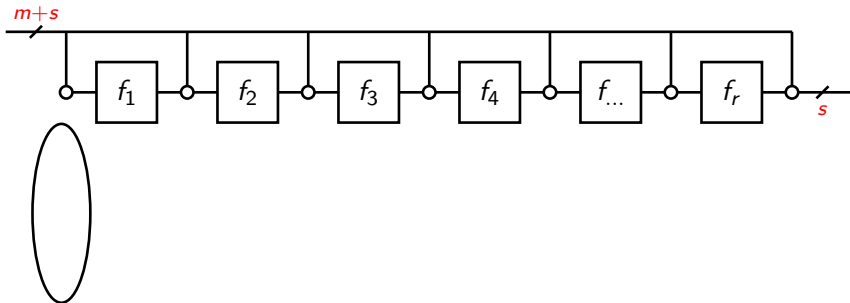
$$p^2 C = 2^{n/2} \approx \text{max layer size}$$

Proof sketch ( $r = 1$ )

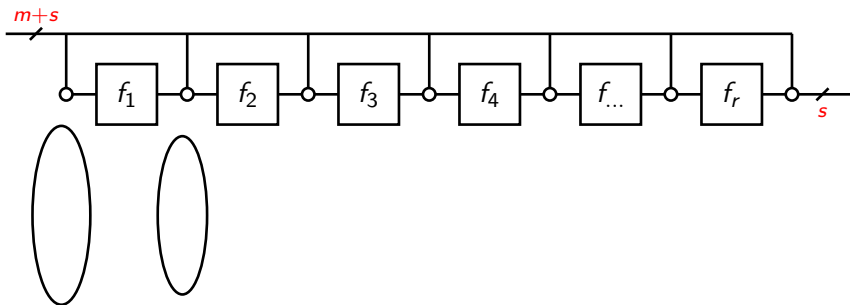
## General Case



## General Case

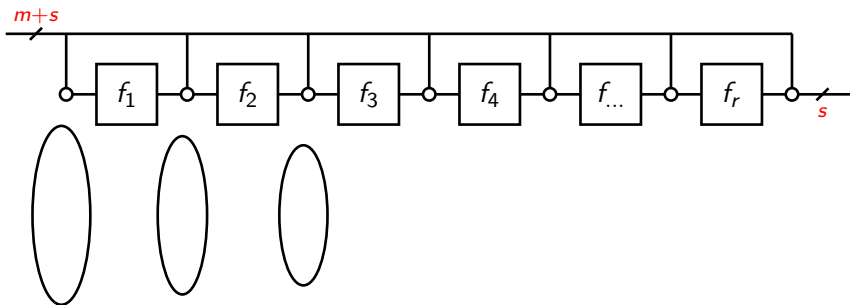


## General Case

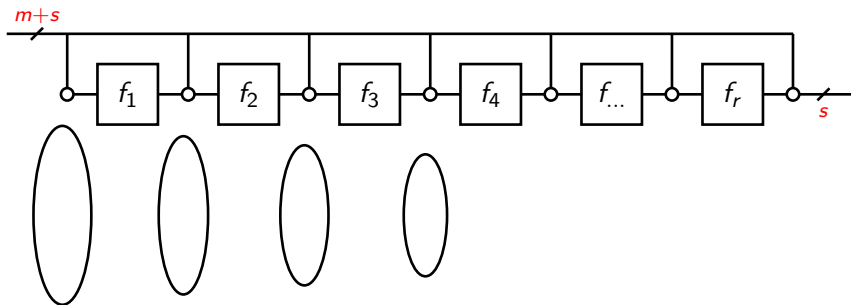




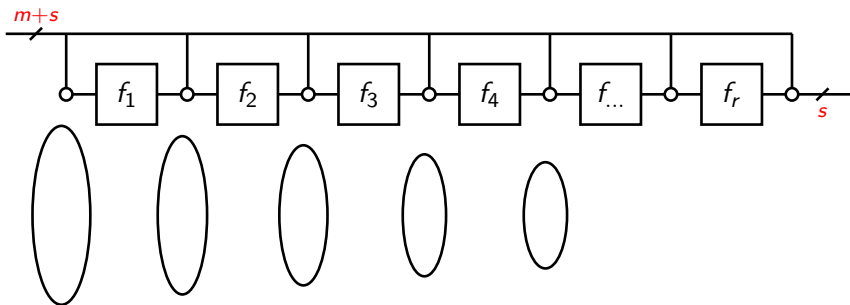
## General Case



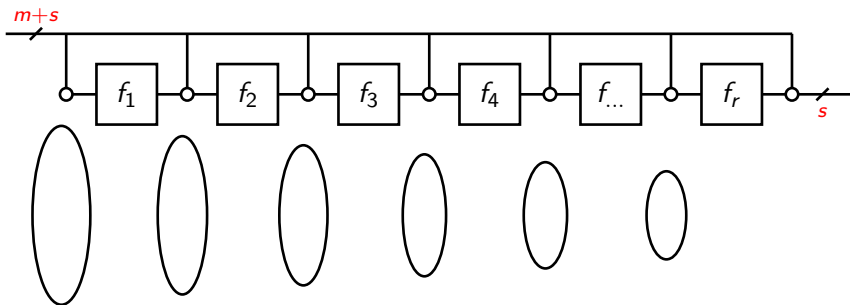
## General Case



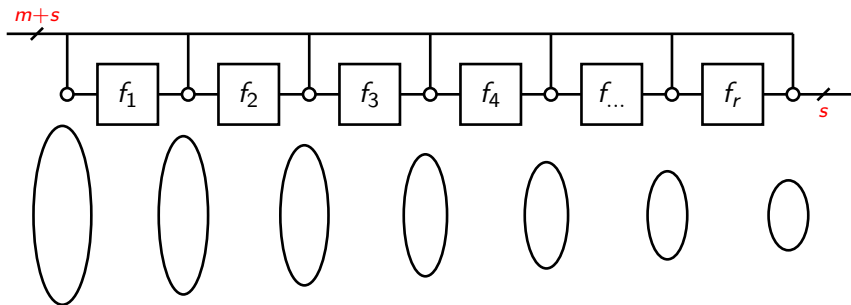
## General Case



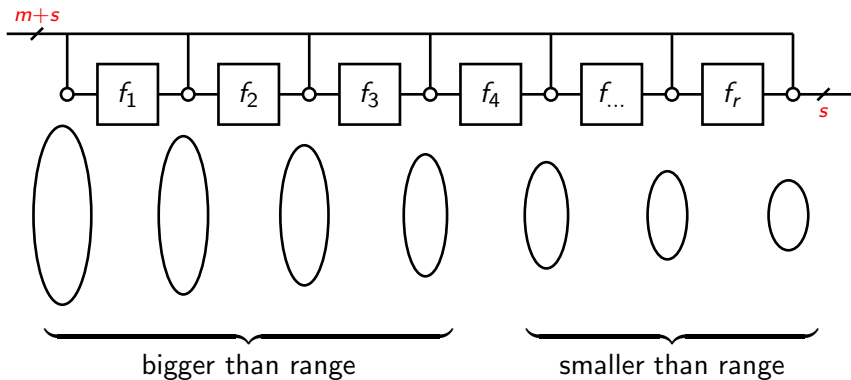
## General Case



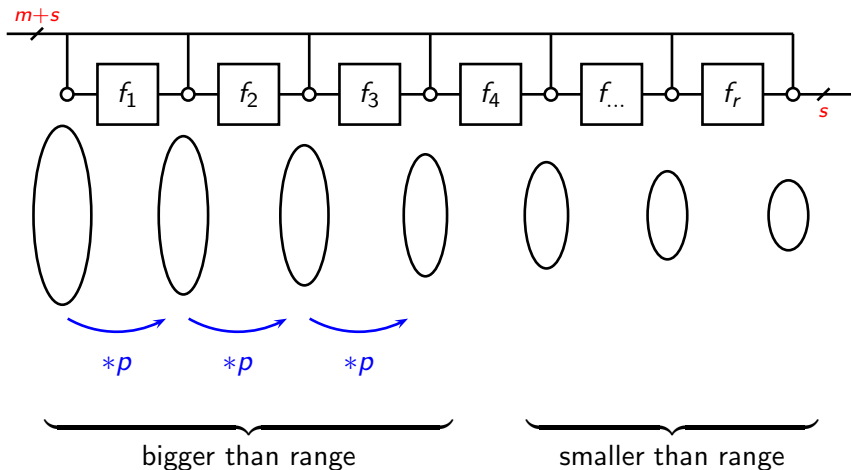
## General Case



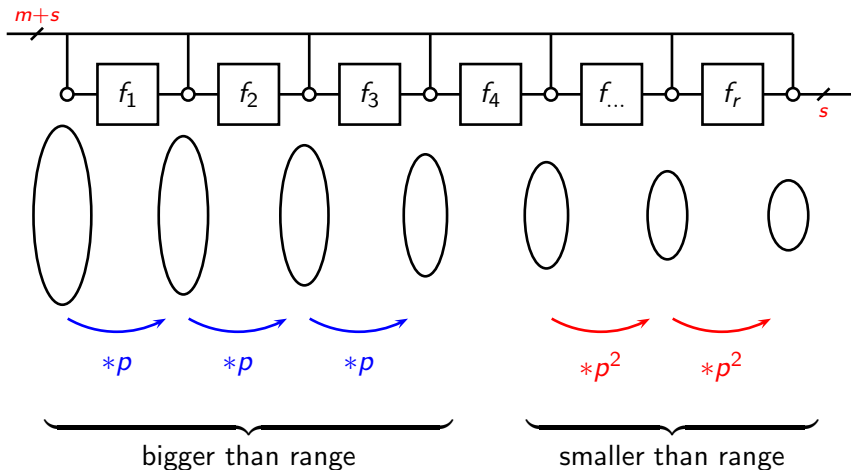
## General Case



## General Case



## General Case





## General Case

