# On the Impossibility of Efficiently Combining Collision Resistant Hash Functions

Dan Boneh<sup>1 $\star$ </sup> and Xavier Boyen<sup>2</sup>

<sup>1</sup> Computer Science Dept., Stanford University — dabo@cs.stanford.edu
<sup>2</sup> Voltage Inc., Palo Alto — xb@boyen.org

Abstract. Let  $H_1, H_2$  be two hash functions. We wish to construct a new hash function H that is collision resistant if at least one of  $H_1$  or  $H_2$  is collision resistant. Concatenating the output of  $H_1$  and  $H_2$  clearly works, but at the cost of doubling the hash output size. We ask whether a better construction exists, namely, can we hedge our bets without doubling the size of the output? We take a step towards answering this question in the negative — we show that any secure construction that evaluates each hash function once cannot output fewer bits than simply concatenating the given functions.

### 1 Introduction

Let  $H : \{0,1\}^* \to \{0,1\}^n$  be a function. A collision for H is a pair  $M, M' \in \{0,1\}^*$  such that H(M) = H(M') and  $M \neq M'$ . Roughly speaking, we say that H is collision resistant if no efficient algorithm can find collisions for H. Recent attacks [21, 20, 23, 22] on functions previously believed to be collision resistant greatly stimulated the search for new constructions as well as methods to avoid collision resistance altogether [17]. One natural question is how to combine existing hash functions. Suppose we are given two hash functions

$$H_1, H_2: \{0, 1\}^* \to \{0, 1\}^v$$

that are currently believed to be collision resistant. We worry that one of these functions will become insecure due to a future attack. Hence, we want to hedge our bet and construct a new hash function  $H: \{0,1\}^* \to \{0,1\}^n$  that is collision resistant assuming at least one of  $H_1$  or  $H_2$  is collision resistant. This can be easily achieved by concatenation. Simply define:

$$H(x) := H_1(x) \parallel H_2(x)$$

in which case H outputs digests of length n = 2v. It is easy to see that if either  $H_1$  or  $H_2$  is collision resistant then so is H. More precisely, concatenation has the following property:

(\*) Any collision on H leads to a collision on **both**  $H_1$  and  $H_2$ 

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Therefore, if finding collisions for *either*  $H_1$  or  $H_2$  is difficult then finding collisions for H must also be difficult. Note that property (\*) holds no matter what hash functions  $H_1$  and  $H_2$  are used. We give precise definitions in the next section.

In this paper we ask whether there are more clever ways to construct H. Specifically, is there a construction that satisfies property (\*), but whose output size n is less than 2v bits? A positive answer will give a clean way to combine two hash functions, such as SHA-512 [18] and Whirlpool [2], without increasing the output size by too much. Here we only consider constructions for H that evaluate  $H_1$  and  $H_2$  at most once. Concatenation is such an example.

Unfortunately, we show that when n < 2v it is not possible to satisfy property (\*). In other words, there is no generic construction that combines arbitrary collision resistant hash functions  $H_1, H_2$  and whose output is any shorter than concatenation. We give a precise statement of the result in the next section. Roughly speaking, our proof shows that for any construction with n < 2v we can construct functions  $H_1, H_2$  for which property (\*) fails. It is worth noting that our counterexamples for  $H_1, H_2$  are realistic functions. For instance, they can be very similar to SHA-512 and Whirlpool. Our results apply to an arbitrary number of underlying hash functions and show that we cannot do better than concatenation, as long as each function is only used once.

We begin by precisely defining what it means for a construction combining  $\ell$  hash functions to be secure. Intuitively, the construction should satisfy property (\*) — a collision on H should lead to a collision on all  $\ell$  given hash functions. Consequently, if one of the  $\ell$  functions is collision resistant then so is H. We then state and prove our impossibility results.

#### 1.1 Related work

Robust combiners for various cryptographic primitives have received a steady amount of attention in the cryptographic community. Some examples include the early results of Asmuth and Blakely [1] on multiple encryption, Herzberg's work on combiners for commitment and one-way functions [9], Dodis and Katz's on encryption with chosen ciphertext security [7], and Harnik's *et al.* on key agreement [8]. Harnik *et al.* [8] provide lower bounds regarding the existence of "transparent" black-box combiners for oblivious transfer and secure computation [8]. We refer to Herzberg [9] for a survey of robust combiners for various cryptographic primitives as well as formal models. Hohenberger and Lysyanskaya recently investigated a related notion of "outsourcing" cryptographic operations by enlisting potentially malicious helpers to perform some of the calculations [11]. The very notion of increasing the security of encryption by using multiple independent keys dates back to the work of Shannon.

Our focus in this paper is on robust combiners for collision resistant hash functions. Many cryptographic hash functions today are based on the Merkle-Damgård paradigm [15, 6], which repeatedly applies a given compression function on successive message blocks in order to hash messages of arbitrary size. Joux [12] showed that the concatenation of two Merkle-Damgård functions is not much more secure than the individual functions. In particular, let  $H_1, H_2$  be Merkle-Damgård functions that output v bits each. Joux showed that a collision for  $H = H_1 \parallel H_2$  can be found in expected time  $O(v 2^{v/2})$ . This is far less than the time for finding a collision on a random function outputting 2v bits. In other words, concatenation provides a good hedge, but does not increase security for Merkle-Damgård functions. Generalizations of Joux's attack were given by Nandi and Stinson [16] and Hoch and Shamir [10].

Kelsey [13] observed that truncating a collision resistant hash function need not be collision resistant. A special case of our results (the case  $\ell = 1$  in Theorem 1) implies that *any* construction that evaluates a collision resistant function H and outputs fewer bits than the output of H need not be collision resistant.

For constructing hash functions, Lucks [14] studied the idea of increasing the internal state of the iterated hash beyond the size of the final output, specifically to defend it against Joux's attack. Along the same lines, Coron *et al.* [5] showed how to increase the security of an iterated hash function in which the compression function is viewed as a random oracle. They gave several techniques for building an economical Merkle-Damgård hash that becomes indistinguishable from a random oracle provided we stay below the birthday bound, by truncating the output or by encoding the input using a prefix-free code.

Generic constructions that attempt to build secure compression functions from ideal block ciphers have also been investigated. Preneel *et al.* [19] identified 12 constructions that were potentially secure. Black *et al.* [4] provided formal security proofs for all 12 constructions in the ideal cipher model. Some impossibility results have also been shown. Notably, Black *et al.* [3] proved that secure hash functions based on block ciphers cannot be "highly efficient", *i.e.*, require only one block cipher call per message block on a fixed set of keys.

## 2 Secure combination of collision resistant hashing

Suppose we wish to combine  $\ell$  collision resistant hash functions  $H_1, \ldots, H_\ell$  into a single function that is collision resistant if any of the  $H_i$  is collision resistant. We first define precisely what it means for such a construction to be secure. Throughout the section we let  $H_i$  be a function from  $\{0,1\}^*$  to  $\{0,1\}^{v_i}$  for  $i = 1, \ldots, \ell$ .

A collision resistant combination of  $H_1, \ldots, H_\ell$  is a pair (C, P) where C is a boolean circuit and P is a probabilistic algorithm such that:

- The circuit C is a boolean circuit that includes special "oracle" gates for evaluating the hash functions  $H_1, \ldots, H_\ell$ . We refer to  $C^{H_1,\ldots,H_\ell}$  as the hash function constructed from  $H_1, \ldots, H_\ell$ . The circuit takes as input a string in  $\{0,1\}^{\leq m}$ , namely a string of length at most m, and outputs a digest in  $\{0,1\}^n$ . The upper bound on the input size merely reflects the finiteness of the circuit. This upper bound only strengthens our results since it shows that efficient combiners are not possible even when the input space for C is finite. We assume C is compressing so that m is (much) larger than n. The output of C on input M and using hash functions  $H_1, \ldots, H_\ell$  is denoted by  $C^{H_1,\ldots,H_\ell}(M)$ .

- Algorithm P is an oracle Turing machine. It provides the proof of security for C. The algorithm takes as input a pair of messages (M, M'). It then repeatedly queries the oracles  $H_1, \ldots, H_\ell$  on inputs of its choice and finally outputs two vectors,

$$W = (W_1, \dots, W_\ell)$$
 and  $W' = (W'_1, \dots, W'_\ell)$ 

The output of P on input (M, M') is denoted by  $P^{H_1, \dots, H_\ell}(M, M')$ .

The purpose of algorithm P is to convert any collision on  $C^{H_1,\ldots,H_\ell}$  into collisions on all  $H_1,\ldots,H_\ell$ . Thus, we define security of a pair (C,P) as follows.

- Let  $H_1, \ldots, H_\ell$  be functions where  $H_i : \{0, 1\}^* \to \{0, 1\}^{v_i}$ .
- Let  $M, M' \in \{0, 1\}^{\leq m}$  be a collision for the resulting function  $C^{H_1, \dots, H_\ell}$ .

We say that P succeeds on this  $(H_1, \ldots, H_\ell)$  and (M, M') if the output of  $P^{H_1, \ldots, H_\ell}(M, M')$  is two vectors  $\boldsymbol{W} = (W_1, \ldots, W_\ell)$  and  $\boldsymbol{W}' = (W'_1, \ldots, W'_\ell)$  such that

For all 
$$i = 1, \ldots, \ell$$
:  $(W_i, W'_i)$  is a collision for  $H_i$ .

We define the "advantage"  $\operatorname{Adv}_P[(H_1, \ldots, H_\ell), (M, M')]$  as the probability that P succeeds on input (M, M') relative to the oracles  $(H_1, \ldots, H_\ell)$ . The probability is over the random bits used by algorithm P.

**Definition 1.** We say that (C, P) is an  $\epsilon$ -secure collision resistant combination if for all  $H_1, \ldots, H_\ell$  and all collisions (M, M') on  $C^{H_1, \ldots, H_\ell}$  we have that

$$Adv_P[(H_1, ..., H_\ell), (M, M')] > 1 - \epsilon$$

For example, for the concatenation construction discussed in the introduction, the pair (C, P) is as follows:

 $\begin{array}{l} - \ C^{H_1,H_2}(M) = H_1(M) \parallel H_2(M), \quad \text{and} \\ - \ P^{H_1,H_2}(M,M') \ \text{simply outputs the two vectors } (M,M) \ \text{and} \ (M',M'). \end{array}$ 

This pair (C, P) is a secure collision resistant combination since for any  $H_1, H_2$ and any collision (M, M') on  $C^{H_1, H_2}$  we have that

$$Adv_P[(H_1, H_2), (M, M')] = 1$$

Our goal is to show that for any construction (C, P) where C outputs fewer bits than concatenation, we have that  $\operatorname{Adv}_P[(H_1, \ldots, H_\ell), (M, M')]$  is negligible, for some  $(H_1, \ldots, H_\ell)$  and some collision (M, M'). This will show that there is no provably secure way of combining generic hash functions that is better than concatenation. In this paper we focus on constructions (C, P) where C makes at most one call to each of  $H_1, \ldots, H_\ell$ . We do not discuss constructions where Cuses some hash function multiple times.

## **3** Black-box impossibility results

Our main result states that, given any pair (C, P) where C evaluates each function once, it will always be possible to find a collision for  $C^{H_1,\ldots,H_\ell}$  while preventing P from finding collisions for all the  $H_i$ . The only trivial exceptions are (1) when the output size of C is at least as large as the combined output sizes of all the  $H_i$ , which is to say that C does no better than concatenation, and (2) when the output of C is as large as its input, which is to say that C is non-compressing. Formally, we have the following theorem.

**Theorem 1.** Let (C, P) be a collision resistant combination of oracles  $H_i$ :  $\{0,1\}^* \to \{0,1\}^{v_i}$  for  $i = 1, ..., \ell$ , where  $C : \{0,1\}^{\leq m} \to \{0,1\}^n$ . Let  $w = v_1 + ... + v_\ell$ . Suppose that  $n < w \leq m - \log_2 \ell$ . Suppose further that C calls each of the  $H_i$  at most once. Then, there exist inputs  $M, M' \in \{0,1\}^{\leq m}$  and functions  $\hat{H}_i : \{0,1\}^* \to \{0,1\}^{v_i}$  for  $i = 1, ..., \ell$ , relative to which:

$$Adv_P[(\hat{H}_1,\ldots,\hat{H}_\ell),(M,M')] \le q^2/2^{\nu+1},$$

where  $v = \min_{i=1}^{\ell} \{v_i\}$  is the smallest oracle output size, and q is the maximum number of oracle queries made by P during each execution.

The theorem shows that if C outputs fewer than  $w = v_1 + \cdots + v_{\ell}$  bits then the probability of defeating P is overwhelming, provided that  $q \ll \sqrt{2^{v+1}}$ , namely,  $q \ll \sqrt{2^{v_i+1}}$  for all  $i = 1, \ldots, \ell$ . The condition  $q \ll \sqrt{2^{v+1}}$  must hold since otherwise one of the  $H_i$  is trivially not collision resistant and should not be used to begin with.

We prove Theorem 1 in two steps. First, in Section 3.1 we assume the existence of  $H_1, \ldots, H_\ell$  and a pair  $M \neq M'$  that is a collision for  $C^{H_1,\ldots,H_\ell}$  satisfying a certain property. We use a randomization argument to build  $\hat{H}_1, \ldots, \hat{H}_\ell$  that defeat P. Then, in Section 3.2 we show that for any C satisfying the conditions of Theorem 1 there must exist functions  $H_1, \ldots, H_\ell$  and a collision  $M \neq M'$ that satisfies the required property. This second step is the heart of the proof. These two results taken together will immediately prove Theorem 1.

*Proof.* Theorem 1 follows from Theorems 2 and 3.

#### 3.1 Randomization

Suppose there exist functions  $H_1, \ldots, H_\ell$  and two messages M, M' such that:

- -M, M' are a collision for  $C^{H_1, \ldots, H_\ell}$ , and
- there is some  $j \in \{1, \ldots, \ell\}$  so that the process of evaluating  $C^{H_1, \ldots, H_\ell}(M)$ and  $C^{H_1, \ldots, H_\ell}(M')$  does not present a collision for  $H_j$ .

We show how to tweak the  $H_i$  into a new set of oracles,  $\hat{H}_1, \ldots, \hat{H}_\ell$ , such that P will fail to reduce a collision for  $C^{\hat{H}_1,\ldots,\hat{H}_\ell}$  to a collision on all the  $\hat{H}_i$ . In Section 3.2 we show that such  $H_1, \ldots, H_\ell$  and M, M' must exist.

To state the result more precisely, we first introduce some notation. As before, we let  $H_i : \{0,1\}^* \to \{0,1\}^{v_i}$  for  $i = 1, \ldots, \ell$  be a set of functions, and denote by  $C^{H_1,\ldots,H_\ell} : \{0,1\}^m \to \{0,1\}^n$  the function evaluated by C when it is given oracle access to the  $H_i$ . Consider an input message  $M \in \{0,1\}^m$ . For each  $i = 1, \ldots, \ell$ , we define:

- $\mathbf{W}_i(M)$  to be the set of oracle queries to  $H_i$  made by C while evaluating  $C^{H_1,\ldots,H_\ell}(M)$ ,
- $-\mathbf{V}_i(M) = \{H_i(W) : W \in \mathbf{W}_i(M)\}$  to be the set of corresponding values output by  $H_i$ .

These sets are taken without multiplicity, and hence, we have a collision for  $H_j$  upon evaluating C(M) if and only if  $|\mathbf{W}_j(M)| > |\mathbf{V}_j(M)|$ . If  $|\mathbf{W}_j(M)| = |\mathbf{V}_j(M)|$  then no collision occurred for  $H_j$ . We can now state the following theorem.

**Theorem 2.** Let (C, P) be a collision resistant combination of  $\ell$  oracles as previously defined. Assume that there exist oracles  $H_i : \{0,1\}^* \to \{0,1\}^{v_i}$  for  $i = 1, \ldots, \ell$  and a pair of messages M, M', such that:

 $- M \neq M' \text{ and } C^{H_1,...,H_{\ell}}(M) = C^{H_1,...,H_{\ell}}(M'), \text{ and} \\ - |\mathbf{V}_i(M) \cup \mathbf{V}_i(M')| = |\mathbf{W}_i(M) \cup \mathbf{W}_i(M')| \text{ for some } j \in \{1,...,\ell\}.$ 

Let  $v = \min_{i=1}^{\ell} \{v_i\}$ . Suppose that P never makes more than q oracle queries upon each execution. Then, there exist oracles  $\hat{H}_i : \{0,1\}^* \to \{0,1\}^{v_i}$  for  $i = 1, \ldots, \ell$ , relative to which:

$$Adv_P[(\hat{H}_1, \dots, \hat{H}_\ell), (M, M')] \le q^2/2^{v+1}$$

*Proof.* Let thus M, M', and  $H_i$  for  $i = 1, \ldots, \ell$ , be as stated in the theorem. We construct the  $\hat{H}_i$  by patching  $H_i$  in a way that breaks P without affecting the output of C(M) and C(M'). Basically, we instruct  $\hat{H}_i$  to emulate  $H_i$  on all queries that appear in the course of evaluating one or both of  $C^{H_1,\ldots,H_\ell}(M)$  and  $C^{H_1,\ldots,H_\ell}(M')$ . On all other inputs, the output of  $\hat{H}_i$  is set to a fresh random string, so that  $\hat{H}_i$  behaves as a random function on these inputs.

We now give an explicit construction of the  $H_i$  based on the  $H_i$  and the circuit C. For each  $i \in \{1, \ldots, \ell\}$  we pick an independent random function  $R_i : \{0,1\}^* \to \{0,1\}^{v_i}$ . Then, for  $i \in \{1, \ldots, \ell\}$ , we define  $\hat{H}_i$  as follows:

$$\hat{H}_i(W) := \begin{cases} H_i(W) & \text{if } W \in \mathbf{W}_i(M) \cup \mathbf{W}_i(M') \\ R_i(W) & \text{otherwise.} \end{cases}$$

Notice that the random function  $R_i$  can be selected and evaluated efficiently using a lazy algorithm that would pick and store a randomly drawn  $R_i(W) \in \{0,1\}^{v_i}$  upon each novel query for  $R_i(W)$ .

It is easy to see that the messages M and M' still collide under  $C^{\hat{H}_1,\ldots,\hat{H}_\ell}$  for the new oracle functions, since C is a deterministic function, and its evaluation on inputs M and M' is unaffected by the change of oracles. Now, recall that j is the index of (one of) the hash oracle for which no collision occurred during the evaluations of  $C^{H_1,\ldots,H_\ell}(M)$  and  $C^{H_1,\ldots,H_\ell}(M')$ , or, equivalently, during the evaluations of  $C^{\hat{H}_1,\ldots,\hat{H}_\ell}(M)$  and  $C^{\hat{H}_1,\ldots,\hat{H}_\ell}(M')$ . At least one such  $j \in \{1,\ldots,\ell\}$  exists by our assumptions.

Next, we consider the set of *all* distinct queries to  $\hat{H}_j$  that are made during an execution of P on input (M, M'). Each output value  $\hat{H}_j(x)$  returned by  $\hat{H}_j$ on query x is either:

- 1. "unpatched", *i.e.*, so that  $\hat{H}_j(x) = H_j(x)$ , corresponding to a query in  $\mathbf{W}_j(M) \cup \mathbf{W}_j(M')$ ;
- 2. "patched", *i.e.*, such that  $\hat{H}_j(x) = R_j(x)$ , in response to any other query.

We know that the few unpatched outputs of  $\hat{H}_j$  are all distinct, otherwise the evaluations of C(M) and C(M') would have caused a collision on  $H_j$  and thus  $\hat{H}_j$ , contradicting our assumption. As for the patched outputs, by construction they are random binary strings of  $v_j$  bits.

Therefore, using the union bound we obtain the following bound on the probability that an arbitrary set of queries to  $\hat{H}_j$  will result in a collision on  $\hat{H}_j$ :

$$\Pr\left[\begin{array}{l} q \text{ queries cause} \\ \text{a collision on } \hat{H}_j \end{array}\right] \leq \sum_{\substack{a \neq b \in \\ \{0, \dots, q-1\}}} \Pr\left[\begin{array}{l} \text{query } a \text{ collides} \\ \text{with query } b \end{array}\right] \leq \\ \leq \sum_{\substack{a \neq b \in \\ \{0, \dots, q-1\}}} \frac{1}{2^{v_j}} \leq \frac{q^2}{2^{v_j+1}} \leq \frac{q^2}{2^{v+1}} \end{cases}$$

We conclude that the probability that  $P^{\hat{H}_1,\ldots,\hat{H}_\ell}(M,M')$  outputs a collision on  $\hat{H}_j$  is at most  $\frac{q^2}{2^{v+1}}$ . The probability is over the random choice of  $R_1,\ldots,R_\ell$  and the random bits used by P. But then by Markov there must exist some fixed setting of  $R_1,\ldots,R_\ell$  so that for the corresponding  $\hat{H}_1,\ldots,\hat{H}_\ell$ , algorithm  $P^{\hat{H}_1,\ldots,\hat{H}_\ell}(M,M')$  outputs a collision on  $\hat{H}_j$  with probability at most  $\frac{q^2}{2^{v+1}}$ . This time the probability is only over the random bits of P. Therefore, the advantage  $\operatorname{Adv}_P[(\hat{H}_1,\ldots,\hat{H}_\ell),(M,M')]$  is at most  $q^2/2^{v+1}$  as required.

#### 3.2 Existence argument

We now turn to the second step of the proof of Theorem 1, which is the main part of the proof. Let C be a circuit that outputs elements in  $\{0,1\}^n$  and uses hash functions (i.e. oracles)  $H_1, \ldots, H_\ell : \{0,1\}^* \to \{0,1\}^{v_i}$ . As in Section 3, let  $w = v_1 + \ldots + v_\ell$ . We assume that n < w so that the output of C is at least one bit less than the concatenation of all the outputs of  $H_1, \ldots, H_\ell$ . In this section we will occasionally use the standard notation  $A \to B$  to denote  $(\neg A) \lor B$  where A and B are boolean expressions.

Suppose C uses each function  $H_i$  for  $i = 1, ..., \ell$  at most once. We show that there exists  $H_1, ..., H_\ell$  and  $M, M' \in \{0, 1\}^m$  such that: M, M' are a collision for  $C^{H_1,\ldots,H_\ell}$ , but for some  $H_j$   $(1 \le j \le \ell)$  evaluating C(M) and C(M') causes no internal collision on  $H_i$ . The argument of Section 3.1 then shows that this M, M' pair cannot be used to find collisions on  $H_i$  proving that C cannot be a secure combination of  $H_1, \ldots, H_\ell$ .

More precisely, we state the main result of this section in the following theorem. We use the notation introduced at the beginning of Section 3.1.

**Theorem 3.** Let C be a circuit computing a function from  $\{0,1\}^m$  to  $\{0,1\}^n$ using a set of oracles  $H_i: \{0,1\}^* \to \{0,1\}^{v_i}$  for  $i = 1, \ldots, \ell$ . Furthermore, we assume that each  $H_i$  is used at most once in C. Let  $w = v_1 + \ldots + v_\ell$ . Then, whenever  $n < w \leq m - \log_2 \ell$ , there exist functions  $H_1, \ldots, H_\ell$  and messages M, M' such that:

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$$- M \neq M' \quad and \quad C^{H_1,\dots,H_\ell}(M) = C^{H_1,\dots,H_\ell}(M'), \quad and \\ - |\mathbf{V}_j(M) \cup \mathbf{V}_j(M')| = |\mathbf{W}_j(M) \cup \mathbf{W}_j(M')| \quad for \ some \ j \in \{1,\dots,\ell\}.$$

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**Fig. 1.** Generic hash construction – the circuit C

Clearly the circuit C must use all hash functions  $H_1, \ldots, H_\ell$ . Otherwise, there is no hope of using a collision on C to get a collision on all  $H_i$ . Since we assumed that each  $H_i$  is used only once we know that C makes exactly  $\ell$  oracle calls, one for each of  $H_1, \ldots, H_\ell$ . An inductive argument on the structure of C shows that, up to re-ordering the oracles, there exist circuits  $G_0, G_1, \ldots, G_\ell$  so that C can be written as shown in Figure 1. Note that the wires connecting  $G_i$  and  $G_{i+1}$ can be quite thick, and in particular preserve all of the input and the state so far.

For an input message  $M \in \{0,1\}^m$  let  $\bar{y} = (y_1, \ldots, y_\ell)$  be the outputs of  $H_1, \ldots, H_\ell$  while evaluating C(M). Clearly the output of C is fully determined by M and  $\bar{y}$ . For  $i = 1, \ldots, \ell$  we define the function:

$$X_i(M, y_1, \dots, y_{i-1}) := \{0, 1\}^{m+v_1+\dots+v_{i-1}} \to \{0, 1\}^{m+v_1+\dots+v_{i-1}}$$

to be the input  $x_i$  given to  $H_i$  when evaluating C(M) and assuming that the output of the hash functions  $H_1, \ldots, H_{i-1}$  is  $y_1, \ldots, y_{i-1}$  respectively.

Theorem 3 can now be restated more directly. The theorem states that there exist functions  $H_1, \ldots, H_\ell$  and inputs  $M \neq M'$  such that C(M) = C(M') and the following condition holds. Let  $(x_1, y_1), \ldots, (x_\ell, y_\ell)$  be the input/output pairs for  $H_1, \ldots, H_\ell$  while evaluating C(M). Similarly let  $(x'_1, y'_1), \ldots, (x'_\ell, y'_\ell)$  be the input/output pairs while evaluating C(M'). Then there exists a  $j \in \{1, \ldots, \ell\}$  for which

$$(y_j = y'_j) \to (x_j = x'_j)$$

In other words, no collision occurred on  $H_j$ .

Proof (of Theorem 3). The plan is to consider the set S of all  $2^{m+w}$  tuples of the form  $(M, y_1, \ldots, y_\ell)$ . We often write  $\bar{y} = (y_1, \ldots, y_\ell)$ . Observe that a tuple  $(M, \bar{y})$  in S completely defines the output of C(M): simply define the output of hash function *i* to be  $y_i$  for all  $i = 1, \ldots, \ell$ . We view a tuple  $(M, \bar{y})$  as an abstract object that is not derived from a specific instantiation of the hash functions.

We first partition S into equivalence classes based on the output of C. That is, two tuples  $(M, \bar{y})$  and  $(M', \bar{y}')$  are in the same equivalence class if C evaluates to the same output on both. Note that this partition of S is dependent only on C. It is independent of the choice of  $H_1, \ldots, H_\ell$ . Since C outputs elements in  $\{0, 1\}^n$  there are at most  $2^n$  equivalence classes. It follows that there exists an equivalence class  $E \subseteq S$  of size at least  $2^{m+w-n}$ . Since w > n this equivalence class E must be of size strictly greater than  $2^m$ . We will use this E extensively in the remainder of the proof. First, we argue in the following simple lemma that E must contain tuples with certain properties.

**Lemma 1.** Under the conditions of Theorem 3 the set E must contain tuples satisfying the following properties:

- 1. There exist distinct  $M^{(0)}, \ldots, M^{(\ell)} \in \{0,1\}^m$  and some  $\bar{y} \in \{0,1\}^w$  such that  $(M^{(i)}, \bar{y})$  is in E for all  $i = 0, \ldots, \ell$ . In other words, E contains  $\ell + 1$  tuples that all share the same  $\bar{y}$ .
- 2. There exists a tuple  $(M', \bar{y}')$  in E such that  $\bar{y}' \neq \bar{y}$ .

*Proof.* The first property is easy to see. Let us partition E into equivalence classes based on  $\bar{y}$ . Two tuples are in the same equivalence class if they have identical  $\bar{y}$  components. If every class had only  $\ell$  tuples in it then the size of E would be at most  $2^{w}\ell$ . But  $2^{w}\ell \leq 2^{m}$  which contradicts the fact that  $|E| > 2^{m}$ . Hence, there must exist  $\ell + 1$  tuples in E with the same  $\bar{y}$ .

Similarly, we prove the second property by a counting argument. Since  $|E| > 2^m$  there must exist in E two tuples  $T_1 = (M, \bar{y}_1)$  and  $T_2 = (M, \bar{y}_2)$  where  $\bar{y}_1 \neq \bar{y}_2$ . Then either  $\bar{y} \neq \bar{y}_1$  or  $\bar{y} \neq \bar{y}_2$ . Thus, either  $T_1$  or  $T_2$  is the required tuple. This completes the proof of the lemma.

Note that M' may be contained in  $\{M^{(0)}, \ldots, M^{(\ell)}\}$ . This lemma relies on the fact that C outputs fewer than w bits. Part (2) of the lemma would not hold

otherwise. For example, part (2) does not hold for the concatenation construction.

Back to the proof of Theorem 3, we will use the tuples that are guaranteed to exist by the lemma to complete the proof of the theorem. We need a little more notation. For  $i = 0, 1, ..., \ell$  and  $\bar{y} = (y_1, ..., y_\ell)$  we let:

$$\begin{aligned} x_1^{(i)} &= X_1(M^{(i)}), \\ x_2^{(i)} &= X_2(M^{(i)}, y_1), \\ &\vdots \\ x_\ell^{(i)} &= X_\ell(M^{(i)}, y_1, \dots, y_{\ell-1}) \end{aligned}$$

and write  $\bar{x}^{(i)} = (x_1^{(i)}, \ldots, x_{\ell}^{(\ell)})$ . These are the inputs to the hash functions corresponding to the tuple  $(M^{(i)}, \bar{y}^{(i)})$ . Similarly, we write  $\bar{y}' = (y'_1, \ldots, y'_{\ell})$ , and let:

$$\begin{aligned} x'_1 &= X_1(M'), \\ x'_2 &= X_2(M', y'_1), \\ \vdots \\ x'_{\ell} &= X_{\ell}(M', y'_1, \dots, y'_{\ell-1}) \end{aligned}$$

Again, we write  $\bar{x}' = (x'_1, \ldots, x'_\ell)$ .

We now construct the functions  $H_1, \ldots, H_\ell$  and the inputs M, M' needed to prove Theorem 3. For  $i = 1, \ldots, \ell$  let  $H_i$  be some arbitrary function from  $\{0, 1\}^*$ to  $\{0, 1\}^{v_i}$ . We show how to modify these functions in at most two points to obtain a collision on C without an internal collision on some  $H_j$ . The difficulty is in ensuring that our modifications to the  $H_i$  are legal. That is, we never map the same input to two different outputs, otherwise we will not be able to instantiate the  $H_i$ . We consider two cases.

Case 1: There exist  $j \in \{1, \ldots, \ell\}$  and  $a, b \in \{0, \ldots, \ell\}$  such that  $x_j^{(a)} = x_j^{(b)}$  and  $a \neq b$ . In this case, for  $i = 1, \ldots, \ell$  we define  $\hat{H}_i$  as follows:

$$\hat{H}_i(x) = \begin{cases} y_i & \text{if } x = x_i^{(a)} \\ y_i & \text{if } x = x_i^{(b)} \\ H_i(x) & \text{otherwise} \end{cases}$$

Defining  $H_i(x)$  in this way is legal since we never map the same input to two different outputs.

Recall that both tuples  $(M^{(a)}, \bar{y})$  and  $(M^{(b)}, \bar{y})$  are in E. Moreover, we just forced each  $\hat{H}_i$  to evaluate to  $y_i$  when C is given  $M^{(a)}$  or  $M^{(b)}$  as input. Therefore,

$$C^{\hat{H}_1,\dots,\hat{H}_\ell}(M^{(a)}) = C^{\hat{H}_1,\dots,\hat{H}_\ell}(M^{(b)})$$

Since  $a \neq b$ , we know by the lemma that  $M^{(a)} \neq M^{(b)}$ . But  $x_j^{(a)} = x_j^{(b)}$  and hence there is no collision on  $\hat{H}_j$ . Thus,  $M^{(a)}$  and  $M^{(b)}$  cause a collision on C but not a collision on  $\hat{H}_j$ , as required.

*Case 2:* Suppose Case 1 did not happen. In this case we know that the following holds:

- Since  $\bar{y} \neq \bar{y}'$ , there exists an  $r \in \{1, \ldots, \ell\}$  where:  $y_r \neq y'_r$ .
- Since Case 1 did not happen, then for all  $j = 1, ..., \ell$  the set  $\{x_j^{(i)}\}_{i=0}^{\ell}$  contains  $\ell + 1$  distinct elements.

Observe that the second bullet implies that for any vector  $\bar{x} = (x_1, \ldots, x_\ell)$  there exists some  $k \in \{0, 1, \ldots, \ell\}$  such that  $x_j \neq x_j^{(k)}$  for all  $j = 1, \ldots, \ell$ . The reason is that there are  $\ell + 1$  possible values for k, but there are only  $\ell$  coordinates in  $\bar{x}$ . Therefore, there must exist some  $k \in \{0, 1, \ldots, \ell\}$  such that  $\bar{x}$  differs from  $\bar{x}^{(k)}$  in all the coordinates.

In particular, there exists  $k \in \{0, 1, ..., \ell\}$  such that  $\bar{x}'$  differs from  $\bar{x}^{(k)}$  in all the coordinates. Then for  $i = 1, ..., \ell$  we define  $\hat{H}_i$  as follows:

$$\hat{H}_i(x) = \begin{cases} y'_i & \text{if } x = x'_i \\ y_i & \text{if } x = x^{(k)}_i \\ H_i(x) & \text{otherwise} \end{cases}$$

Since the vectors  $\bar{x}'$  and  $\bar{x}^{(k)}$  differ in all the coordinates, defining  $\hat{H}_i(x)$  in this way is legal. We never map the same input to two different outputs.

Both tuples  $(M^{(k)}, \bar{y})$  and  $(M', \bar{y}')$  are in E and therefore

$$C^{\hat{H}_1,\dots,\hat{H}_\ell}(M^{(k)}) = C^{\hat{H}_1,\dots,\hat{H}_\ell}(M')$$

Furthermore, since  $x_1^{(k)} \neq x_1'$  we know that  $X_1(M^{(k)}) \neq X_1(M')$  and hence  $M^{(k)} \neq M'$ . In addition, since  $y_r \neq y_r'$  there is no collision on  $\hat{H}_r$ . Thus, once again, M' and  $M^{(k)}$  cause a collision on C but not a collision on  $\hat{H}_r$ , as required.

We see that in both cases we were able to produce the required functions  $\hat{H}_1, \ldots, \hat{H}_\ell$  and pair of inputs M, M'. This completes the proof of Theorem 3.

#### 4 Discussion and Future Work

We discuss a few directions for future work in this area.

Stronger impossibility results. Our current impossibility results apply to any construction C that uses each of the underlying hash functions at most once. Is there a similar impossibility result for constructions that use each hash function multiple times? To extend our results all that is needed is a stronger existence argument, namely a version of Theorem 3 that applies to more general circuits. Ideally, Theorem 3 can be strengthened to handle adaptive constructions, namely constructions that evaluate the underlying hash functions a variable number times. For example, the number of times that C evaluates the function  $H_1$  may depend on the length of M. Nevertheless, even an existence argument for circuits C that evaluate the hash functions a *constant* number of times would be progress. Until a complete impossibility argument is obtained, we cannot rule out the existence of space-efficient combiners.

Non-blackbox results. Our impossibility results build generic hash functions  $H_1, \ldots, H_\ell$  that cause a construction C and its proof of security P to fail. In practice, however, hash functions typically follow the Merkle-Damgård paradigm. Thus, a natural question is whether one can give an impossibility proof where the counter-example hash functions  $H_1, \ldots, H_\ell$  are Merkle-Damgård functions. Alternatively, is there a space efficient combiner that is proven secure only when instantiated with Merkle-Damgård functions? (even though the combiner is necessarily insecure when instantiated with general hash functions).

We note that a stronger impossibility proof, as mentioned in the first paragraph, will also provide an impossibility proof for efficiently combining Merkle-Damgård functions. Simply view the  $\ell$  Merkle-Damgård chains as part of the circuit C and then apply the impossibility argument to the  $\ell$  compression functions. A complete impossibility proof will provide  $\ell$  compression functions for which the proof of security P fails. Then the derived hash functions  $H_1, \ldots, H_\ell$ cause the proof P to fail and are Merkle-Damgård functions as required.

Impossibility results for other hashing concepts. It seems natural that similar ideas can be applied to other hash function concepts such as second pre-image resistance. Can one prove that concatenation is the best secure combiner for second pre-image resistant functions?

## 5 Conclusion

We studied the problem of combining multiple hash functions into a single function that is collision resistant whenever at least one of the original functions is. The hope was that, given a number of plausibly secure hash constructions (*e.g.*, SHA-512 and Whirlpool), one might be able to hedge one's bet and build a new function that is at least as secure as the strongest of them. The combination should be space efficient in that the final output should be smaller than the concatenation of all hashes.

We showed that no such efficient black-box combination is possible assuming each hash function is evaluated once. We leave for future work the question of generalizing our proof to the case where the same hash can be evaluated more than once — or building a working construction that exploits this condition.

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