

# On Cryptographic Assumptions and Challenges

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**Abstract.** We deal with computational assumptions needed in order to design secure cryptographic schemes. We suggest a classification of such assumptions based on the complexity of falsifying them (in case they happen not to be true) by creating a challenge (competition) to their validity. As an outcome of this classification we propose several open problems regarding cryptographic tasks that currently do not have a good challenge of that sort. The most outstanding one is the design of an efficient block ciphers.

## 1 The Main Dilemma

Alice and Bob are veteran cryptographers (see Diffie [15] for their history; apparently RSA [38] is their first cooperation). One day, while Bob is sitting in his office his colleague Alice enters and says: “I have designed a new signature scheme. It has an 120 bits long public key and the signatures are 160 bits long”. That’s fascinating, says Bob, but what computational assumption is it based on? Well, says Alice, it is based on a new trapdoor permutation  $f_k$  and a new hash function  $h$  and the assumption that after given  $f_k$  (but not the trapdoor information) and many pairs of the form  $(m_i, f_k^{-1}(h(m_i)))$  it is still hard to come up with a new pair  $(m, f_k^{-1}(h(m)))$ . So what should be Bob’s response? There are several issues regarding these assumptions. For instance, given that the trapdoor permutation and hash function are “new” can they be trusted? But a more bothersome point is whether the assumption Alice uses is really weaker than the assumption “this signature scheme is secure”.

So how can we differentiate between the strengths of assumptions and avoid circularity in our arguments? This is the main question we would like to address in this paper and talk and, in particular, to allow answering such doubts and thoughts in a somewhat quantitative manner. We suggest several categories of computational assumptions. Given an assumption it should then be possible to put it into a weaker or stronger class and evaluate it according to this classification. Furthermore, this classification raises many interesting open problems regarding the possibility of basing schemes on assumptions from a weaker class than is currently known.

Designing secure systems is a complex task, even more so than most other design tasks, as there are some malicious entities trying to interfere with the operation of the system. Furthermore, demonstrating that the design is a ‘good’ one is also not so simple.

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First, of course, one should define what ‘good’ means. The last twenty years have seen great progress in defining what a good system is in the area of Foundations of Cryptography (see Goldreich [24] for a more formal treatment and Bellare and Goldwasser [8] for a more concrete one). For many cryptographic tasks (e.g. signature schemes) we have precise definitions of what a good system means i.e. what the attack is and what it means to break the system. In particular:

- The power of the adversary in terms of access to the system is specified (in the context of signature schemes, whether it is an adaptive message attack or not).
- What constitutes failure of the system (in the context of signature schemes, existential forgery means that the adversary comes up with a single message and a valid signature on it that was not explicitly signed by the signer).

For almost any interesting cryptographic task we need to make computational hardness assumptions, i.e. that certain computational tasks require a lot of resources e.g. time and memory\*. Furthermore, given the state-of-the-art in Complexity Theory this hardness must be assumed rather than proved.

We deal with hardness assumptions where the adversary is assumed to be limited in its computation time and may succeed with a low probability. The assumptions are stated formally using three parameters  $n, t$  and  $\epsilon$  as follows. Let  $n$  be the instance size (which can be thought of as the security parameter),  $t$  the upper bound on the time available to the adversary and  $\epsilon$  the probability of success. We assume that there is some underlying distribution on instances (this could be for instance the choice of a key in signature scheme). For an assumption to be ‘hard’ we require that for instances of size  $n$  no adversary whose run time is bounded by  $t$  can succeed (where the notion of succeeds depends on the problem type) with probability better than  $\epsilon$ . The probability of success is taken over both the distribution of the instance and the coin flips of the adversary. The upper bound on the time  $t$  and upper bound on success probability  $\epsilon$  are a function  $n$ . Note that this is a concrete parameters treatment, rather than an asymptotic one, but it is more a matter of style rather than substance. We could have specified our classes in an asymptotic manner.

In general when we are proving that a System  $X$  is secure based on an Assumption  $A$ , then what we show is that either (i)  $X$  is secure or (ii) Assumption  $A$  is false. However, the problem with this sort of conditional statement is that it might be hard to demonstrate that  $A$  is false (especially since its collapse is relatively rare), so we don’t have good guidelines to decide whether to use the scheme or not.

A proof of security is interesting if (but not necessarily only if) a system whose security requirement is (apparently) not efficiently falsifiable is based on an assumption that is efficiently falsifiable.

*Paper plan:* In Section 1.1 we list four assumptions representing the various types of assumptions we deal with in this paper. In Section 2 we present our main thesis regarding assumption and challenges. Section 3 we discuss common cryptographic tasks and

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\* Notable exceptions to tasks that must rely on the adversary’s computational limitation are encryption with a one-time pad, authentication with a one-time key and multi-party computation where a majority of the players are honest and private lines are available.

where they naturally reside. In Section 4 we provide a collection of open problems that our methodology raises. Finally, in Section 5 we provide some caveats regarding our classification.

### 1.1 Examples of Assumptions

We now describe four assumptions that will be used to demonstrate the new concept introduced in the paper. The assumptions we use involve factoring, RSA and Discrete log type. The first two are well known while the last two are more esoteric. Let

$$Z^{(2)}(n) = \{N = pq \mid p, q \text{ are } n\text{-bit primes}\}.$$

*Factoring:* Consider the assumption that factoring is hard: Let the input be an  $N$  chosen uniformly at random from  $Z^{(2)}(n)$  (denoted  $N \in_R Z^{(2)}(n)$ ) and the computational task the adversary  $\mathcal{A}$  is faced with is to find  $n$ -bit primes  $p$  and  $q$  such that  $N = p \cdot q$ . The  $(n, t, \epsilon)$  hardness of factoring assumption is: no  $t$ -time algorithm  $\mathcal{A}$  satisfies:

$$\Pr[\mathcal{A}(N) = (p, q) \text{ where } p \cdot q = N] > \epsilon.$$

The probability is over the choice of  $N$  and the coin flips of  $\mathcal{A}$ . Note that it is easy to verify a solution, and since we have good primality algorithms (either probabilistic or deterministic) we can even check that  $N$  is indeed in  $Z^{(2)}(n)$ .

*The RSA Assumption:* The input distribution is a random  $N \in_R Z^{(2)}(n)$ ,  $e$  that is relatively prime to  $\phi(N)$  and  $s \in_R Z_N^*$ . The computational task the adversary  $\mathcal{A}$  is faced with is to find  $a$  such that  $a^e = s \pmod N$ . The  $(n, t, \epsilon)$  hardness of RSA assumption is: no  $t$ -time algorithm  $\mathcal{A}$  satisfies:

$$\Pr[\mathcal{A}(N, e, s) = a \text{ where } a^e = s \pmod N] > \epsilon.$$

*Difference RSA assumption:* The difference RSA assumption deals with the hardness of finding two RSA preimages such that the difference of their images is a given quantity  $D$ , even when many examples with this property are given. The input distribution is a random  $N \in_R Z^{(2)}(n)$ ,  $e$  (an RSA exponent) and  $D \in_R Z_N^*$ . The adversary  $\mathcal{A}$  has access to sequence of  $m-1$  pairs  $(x_i, y_i)$  s.t.  $x_i^e - y_i^e = D \pmod N$  where  $\mathcal{A}$  chooses  $x_i$ . The adversary should find new  $(x_m, y_m)$  (not in  $((x_1, y_1), (x_2, y_2) \dots (x_{m-1}, y_{m-1}))$ ) such that  $x_m^e - y_m^e = D \pmod N$ .

The  $(n, t, \epsilon)$  Difference RSA assumption: no  $t$ -time algorithm  $\mathcal{A}$  that is given  $(N, e, D)$  as above and may access is given input pairs with the first value being his choice satisfies:

$$\Pr[\mathcal{A}(N, e) = (x_m, y_m) \text{ s.t. } x_m^e - y_m^e = D \pmod N \text{ and } (x_m, y_m) \text{ is new}] > \epsilon.$$

*Knowledge of Exponent* [26] Let  $Q$  and  $P$  be primes such that  $Q \mid P-1$ , and  $g$  a generator of a subgroup of size  $Q \pmod P$ . The input distribution is a random  $h = g^x \pmod P$  for  $x \in_R [Q]$  (i.e.  $h$  is a random element in the group generated by  $g$ ; the exponent  $x$  is secret). The adversary tries to come with  $h_1$  and  $h_2$  where  $h_1 = g^z \pmod P$  and

$h_2 = h^z \pmod P$  for some  $z \in [Q]$ . The point of the assumption is that the only conceivable way of doing so is to first pick  $z$  and then exponentiate  $g$  and  $h$  with  $z$ ; therefore any algorithm that outputs such a pair really ‘knows’  $z$ . The assumption is for any  $t$ -time algorithm  $\mathcal{A}$  there is a  $t$ -time algorithm  $\mathcal{A}$  s.t:

$$\left| \sum_z \Pr[\mathcal{A}(g, h) = (g^z, h^z)] - \sum_z \Pr[\mathcal{A}(g, h) = (z, g^z, h^z)] \right| < \epsilon$$

Note that this is essentially a proof of knowledge, but unlike the usual definition of proof of knowledge there is no black-box knowledge extractor here (since there is no interaction), but rather the assumption is that it is always possible to output the same distribution as the original one but with  $z$  as well.

An observant reader can detect dependencies between these assumptions. Specifically, RSA assumes Factoring and Difference-RSA assumes RSA. But are there more fundamental differences? these assumptions will be used to exemplify the various categories we introduce.

## 2 Thesis

In order to be able to evaluate whether an assumption is true or not it must be falsifiable, i.e. there must be a (constructive) way to demonstrate that it is false, *if this is the case*. Furthermore, the complexity of checking the refutation of the assumption is of interest and should be a major consideration in how acceptable the assumption is.

We are mostly interested in falsification by challenge, where a public challenge is advertised. If the assumption is false, then it is possible to solve the challenge (and the solution can be verified) in time related to the time it takes to break the assumption. Falsification by challenge embodies a fundamental principle that underlies the scientific investigation of cryptography: that public evaluation and discussion of cryptographic schemes enhances their security and is essential for the acceptance of any scheme\*\*.

We define the complexity of falsifying an assumption from the perspective of the protocol designer or the one who should evaluate how good is the design. Therefore we will be interested in

- How difficult it is to generate a random challenge and in particular what is the size of the challenge (which should be small).
- How difficult it is to verify that a proposed solution to the challenge is indeed a correct one. Ideally it should involve computing a simple function or even simply comparing the result to a precomputed solution.
- Is the verification of the solution public, i.e. simply a function of the public challenge and the solution or is secret information needed, i.e. the challenge is generated together with some additional input that can help check the solution. The former is preferable of course, since the verification is public and there is no need for a trusted party (that would leak the solution...).

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\*\* Although this principle can be traced back to Kerckhoffs in 1883 [29], it has been executed in earnest only since the 1970’s.

An important entity in our world view is the *falsifier*. The role of the falsifier is to solve the challenges published by the protocol designer (or someone wishing to use it). In other words, what the adversary is to the assumption the falsifier is to the challenge. There are two main differences between them, one is that an assumption might be interactive (though none of those in Section 1.1 are interactive) whereas a challenge is by definition not, but is of the “send-and-forget” type. Another difference is that if an assumption is false, then the probability of success might be rather small, just over  $\epsilon$ , whereas a falsifier needs a much better probability of success. The protocol designer would like to encourage would be falsifiers (or falsifier designers, keep in mind that the acceptance of a protocol is a social process), so the probability of success should be high, close to 1, (if the assumption  $A$  is false).

## 2.1 The categories of falsification

In this section we present our categories of falsification via a challenge. As mentioned above, a major consideration is the size of the challenge  $d$  and the run time of the verifier  $V$  that should verify the solution  $y$  to the challenge  $d$ . A challenge is specified by  $D_n$  a distribution of challenges of size  $n$ . In general given a challenge  $d$  chosen according to  $D_n$  there should be at least one solution  $y$  such that  $V$  accepts  $y$  (as a solution to  $d$ ). One additional parameter we need is  $\delta$ , which is an upper bound on the failure of the challenge, i.e. even if the original assumption  $A$  is false, there might be a chance (bounded by  $\delta$ ) that the challenge will not be solvable.

So what we want is a one-to-one correspondence between the adversary for breaking  $A$  and the falsifier for the challenge. If there is an adversary that runs in time  $t$  and succeeds with probability better than  $\epsilon$  in breaking  $A$ , then there should be a falsifier that runs in time  $t'$  which is some (fixed) polynomial in  $t$  and  $1/\epsilon$  and succeeds with high probability. This assures us that instead of looking for ways to break  $A$  (where the reward is  $\epsilon$ ) we may as well look for ways for solving the challenge. Similarly, we would like the challenge to be non-trivial, i.e. if it can be solved with probability  $\gamma$ , then the original assumption  $A$  should also be solvable, with probability which is at least polynomial in  $\gamma$  and  $1/n$ .

We present three categories of assumptions which we call *efficiently falsifiable*, *falsifiable* and *somewhat falsifiable*. The differences between them are based mainly on the time to verify the solution. Since the process we have in mind regarding the challenges does not assume that the one who suggests the falsifier and the protocol designer belong to the same body or entity, it is important that the interaction between them be as simple as possible. Therefore ideas such as simulating the falsifier (running his program) should be used only as a last resort.

An adversary  $\mathcal{A}$  to Assumption  $A$  with parameters  $(n, t, \epsilon)$  means that  $\mathcal{A}$  working in time at most  $t$  can break the assumption on instances of size  $n$  with probability better than  $\epsilon$ .

**Definition 1 (Efficiently falsifiable (EF)).** An  $(n, t, \epsilon)$  assumption  $A$  is efficiently falsifiable if there is a distribution on challenges  $D_n$  and a verification procedure  $V : \{0, 1\}^* \times \{0, 1\}^* \mapsto \{\text{accept}, \text{reject}\}$  such that

- Sampling from  $D_n$  can be done efficiently, in time polynomial in  $n, \log 1/\epsilon$  and  $\log 1/\delta$ .
- The run time of  $V$  is polynomial in  $n, \log 1/\epsilon$  and  $\log 1/\delta$ .
- If the assumption  $A$  is false then there exists a falsifier  $\mathcal{B}$  that for a  $d \in_R D_n$  finds a  $y$  for which  $V(d, y)$  is ‘accept’ with probability at least  $1 - \delta$  and the run time of  $\mathcal{B}$  is  $t'$  which is polynomial in the run-time of  $A$  as well as  $\log 1/\epsilon$  and  $\log 1/\delta$  and  $n$ .
- If there is a falsifier  $\mathcal{B}$  that solves random challenges  $d \in_R D_n$  in time  $t$  with probability at least  $\gamma$ , then there is a  $(t, \epsilon)$  adversary  $\mathcal{A}$  for breaking the original assumption  $A$  where  $t'$  and  $\epsilon'$  are polynomially related to  $t$  and  $\gamma$ .

Which assumptions are efficiently falsifiable? An interesting class of assumptions is those that are based on a random self reducible problem, i.e. given any instance it is possible to generate a random instance (or instances) whose solution would yield one for the original. One such problem is discrete log (and related problems such as Diffie-Hellman). Similarly the Ajtai and Dwork [1, 2] reductions also yield efficiently falsifiable assumptions. The example of factoring is a little less straightforward and is quite instructive:

*Factoring is efficiently falsifiable (EF):* we first attempt to show that factoring is EF by choosing the challenge distribution  $D_n$  as random  $N \in_R Z^{(2)}(n)$ . The desired solution is  $p$  and  $q$  such that  $N = p \cdot q$ . However, there is a problem: suppose that there are a fraction of  $2\epsilon$  of  $Z^{(2)}(n)$  that are very easy to factor (denote them by  $Z'$ ). Then the assumption that factoring is  $(t, \epsilon)$ -hard is false. However the probability that the challenge will be chosen from  $Z'$  is small,  $2\epsilon$ , whereas the probability that a falsifier should succeed in solving the challenge should be large,  $1 - \delta$ . So the problem is that the bad set is too sparse and we need ‘easiness’ amplification (which is related to the much used hardness amplification). The idea is to allow sampling roughly  $1/\epsilon$  samples from  $Z^{(2)}(n)$ . First note that  $Z^{(2)}(n)$  is not so sparse with respect to all  $2n$ -bit numbers. So if we sample polynomially many  $2n$ -bit numbers we are bound to hit  $Z^{(2)}(n)$  many times. We employ standard technique for amplification using pair-wise independent hashing. Let  $H$  be a family of pairwise independent hash functions where for  $h \in H$  we have  $h : \{0, 1\}^n \mapsto \{0, 1\}^{n-2\log n+2\log \epsilon}$ . One additional property we need from  $H$  is that it will be easy to invert  $h$  on a given point  $c$  (standard construction enjoy this property). The challenge  $d$  is specified by  $(h, c)$  where  $h \in_R H$  and  $c \in_R \{0, 1\}^{n-2\log n+2\log \epsilon}$ . A solution is  $p$  and  $q$  such that  $h(p \cdot q) = c$  and  $p$  and  $q$  are  $n$ -bit primes. This gives us a fixed  $\delta$ , so to get any  $\delta$  we repeat it  $\log 1/\delta$  times and the challenge is to solve one instance.

The claim is that if more there is an adversary  $\mathcal{A}$  that succeeds in factoring with probability better than  $\epsilon$ , then there is a falsifier that succeeds with probability  $1 - \delta$ . The falsifier simply runs  $\mathcal{A}$  on all  $N \in h^{-1}(c)$  and checks the results for primality.

When relaxing the notion of ‘efficiently falsifiable’ to ‘falsifiable’ we change the requirement that verification time will be proportional to (polynomial in) to that of polynomial in  $1/\epsilon$ . In particular the challenge size can be much larger now.

**Definition 2 (Falsifiable).** An  $(n, t, \epsilon)$  assumption  $A$  is falsifiable if everything is as in Definition 1, except that the run time of sampling  $D_n$  and  $V$  may depend on  $1/\epsilon$  (rather than  $\log 1/\epsilon$ ).

*RSA is falsifiable:* The RSA problem is similar in nature to factoring. Therefore it is simple to make it into a falsifiable assumption: sample  $1/\epsilon$  times from  $Z^{(2)}(n)$  and choose a random prime to generate a pair  $(N_i, e_i)$ . Choose a random  $s$  and publish the results as a challenge. A solution is any  $y$  such that there exists an  $i$  such that  $y^{e_i} = s \pmod{N_i}$ . However, there does not seem to be a simple way of making the RSA Assumption efficiently falsifiable, since we don't know how to obviously sample from the domain of the function. The reason it did not hurt with the factoring example is that the solution filtered out those that were not of the proper form.

**Definition 3.** An  $(n, t, \epsilon)$  assumption  $A$  is somewhat falsifiable if everything is as in Definition 1 except that the run time of  $V$  may depend on  $1/\epsilon$  (rather than  $\log 1/\epsilon$ ) and the run time of  $\mathcal{B}$ . In particular this means that  $V$  may simulate  $\mathcal{B}$ .

*Difference RSA is somewhat falsifiable:* When considering the problem of Difference RSA, the problem in making it falsifiable is that the amount of information the attacker is allowed to obtain is large and is not bounded by a fixed polynomial in  $n$  and  $1/\epsilon$ . Furthermore the adversary can choose it dynamically. So there does not seem to be a publishable challenge. Instead what the verifier can do is to simply run the program of the supposed falsifier (each time with a self chosen  $N \in_R Z^{(2)}(n)$ , so that it is possible to answer the query). This should be executed  $1/\epsilon$  times and if in at least one of them the program succeeds in finding a different pair than was given to it we conclude that the Difference RSA assumption is false.

*None of the above:* Finally, there seem to be assumption that do not fall into any of the categories mentioned above. These includes assumptions where it is not so clear whether the adversary has won or not. This include the *Knowledge of an exponent* problem, since given a program that outputs consistent pairs (where both  $h_1$  and  $h_2$  have the same exponent) it is not clear that it is hard to extract the exponent from it. What seems to be the problem in making this assumption even somewhat falsifiable is the order of quantifiers in the specification (for all program there exists an extract).

### 3 Some cryptographic tasks and their classification

We now list a few cryptographic tasks and discuss in what class the assumption “this scheme is a secure implementation of the task” lies (at least to the best of our knowledge, since we do not how to demonstrate that an assumption is not in a weaker class than what we know how to show).

*One-way permutations:* given a function  $f : \{0, 1\}^n \mapsto \{0, 1\}^n$  is it a way permutation, i.e. no  $t$  time algorithm can find  $x$  for a random  $y = f(x)$  with probability better than  $\epsilon$ . We claim that the assumption “ $f$  is a one-way permutation” is efficiently falsifiable. This is true for similar reasons as factoring: choose a set of challenges specified as

a range of a function. Let  $H$  be a family of pairwise independent hash functions, for  $h \in H$  we have  $h : \{0, 1\}^n \mapsto \{0, 1\}^{n - \log n + 2 \log \epsilon + \log \delta}$ . The challenge is specified by  $h \in_R H$  and  $c \in \{0, 1\}^{n - \log n + 2 \log \epsilon + \log \delta}$ . A solution is  $x$  such that  $f(x) = y$  and  $h(y) = c$ .

Note that we are not checking the permutation part, i.e. that  $f$  is indeed 1 – 1 and length preserving (this is an unconditional property and could be proved irrespective of the developments in Complexity Theory).

Regarding an assumption of the form “ $f$  is a one-way function” (as opposed to a permutation), then we do not know whether it is necessarily an efficiently falsifiable assumption, since it is not clear how to sample from the domain of the function  $f$  (without explicitly computing the values). Therefore the best we can say is that it is a falsifiable assumption by generating  $1/\epsilon$  values  $y_i = f(x_i)$  and the challenge is to solve at least one of them.

*Pseudo-random Sequences:* We say that a function  $G : \{0, 1\}^m \mapsto \{0, 1\}^n$  is a cryptographically strong pseudo-random sequence generator if it passes all polynomial time statistical tests. More concretely that it is  $(t, \epsilon)$ -pseudo-random if no test  $\mathcal{A}$  running in time  $t$  can distinguish outputs of  $G$  from random string of length  $n$  with advantage greater than  $\epsilon$ . We do not know how to make the assumption “ $G$  is a pseudo-random sequence generator” into an efficiently falsifiable one. However, we can show that it is a falsifiable one. The idea is to publish a bunch of pairs of strings (random, output of  $G$ ) in random order and the challenge is to distinguish correctly for many (more than half) of them between the truly random part and the  $G(x)$  part. More specifically, the challenge consists of “many” (polynomial in  $1/\epsilon$  and  $\log 1/\delta$ ) pairs  $\{(x_i, y_i)\} \in \{0, 1\}^{2n}$ , where one element in the pair is random and one pseudo-random and the solution should identify correctly  $1/2 + \epsilon/2$  of the pairs. Note that here we are assuming that  $V$  has some secret information - the correct ordering of the pairs (which is in violation of Definition 1).

*Pseudo-random functions, Block Ciphers and MACs* We now deal with three types of keyed functions where during a learning phase the adversary can query them at many points of its choice and then has to pass a test related to a point it chooses but one that has not been queried before. In the case of a pseudo-random function [22] the adversary has to distinguish between a value of a given point and a truly random string, in the case of a MAC or an unpredictable function (the terminology is from [34]) it has to guess its value on the point. For block ciphers, or equivalently pseudo-random permutation [30, 33] the function is a permutation on the inputs and the adversary can ask encryption or decryption queries (to go forward or backwards in the permutation). It then has to distinguish the given value from random. Given the interactive nature of the queries of the adversary and given that the adversary can choose both the queries and the test point, there does not seem to be a way to make a challenge that is only of size proportional to  $\log 1/\epsilon$  and  $n$ , so the best we know how to do is simulate the adversary (and then we can effectively test whether it has succeeded). This makes all assumptions of the form “ $F_k$  is a family of (pseudo-random functions—pseudo-random permutations—unpredictable functions)” into somewhat falsifiable ones.

On the other hand there are constructions of pseudo-random functions that are efficiently falsifiable, for instance the Goldreich, Goldwasser and Micali construction [22] when the underlying pseudo-random generator is based on a one-way permutation, or the constructions of Naor, Reingold and Rosen based on factoring and Decisional Diffie-Hellman [32, 35]. Similarly for pseudo-random permutations (block-ciphers).

*Signatures Schemes:* The acceptable notion of a good signature scheme is that of an existentially unforgeable under an adaptive message attack [23]. A signature scheme satisfies this notion if no  $t$ -time adversary has probability of success better than  $\epsilon$  of breaking the scheme where:

- The access to the system consists of adaptively choosing messages  $m_i$  and receiving valid signature  $s_i$  for  $q - 1$  rounds ( $q \leq t$ ).
- The adversary tries to find a pair  $(m_q, s_q)$  where  $m_q \notin \{m_1, m_2, \dots, m_{q-1}\}$  and  $s_q$  is a valid signature on  $s_q$ .

As far as we know the requirement that a signatures scheme be existentially unforgeable under an adaptive chosen message attack is not efficiently falsifiable or even just plain falsifiable, for the same reason as pseudo-random functions are not: the attacker can adaptively choose both the queries and the test. Again it is a somewhat falsifiable assumption, since by simulating the adversary it is possible to check whether it has succeeded or not.

*Encryption Schemes :* the definitions of a good encryption scheme come in many shapes and sizes, depending on whether the scheme is shared or public key, the type of attack (known plaintext, chosen plaintext, chosen ciphertext of various shades) and what it means to break the system (semantic security, non-malleability). (See [16] and [5] for more details.) All these requirements are somewhat falsifiable, since it is possible to simulate the adversary and check whether it succeeds or not and hence evaluate the probability of success. On the other hand they do not seem to be in any weaker class. There is one exception which is falsifiable: when the scheme is public key, the messages are encrypted bit-by-bit, the attack is chosen plaintext (the weakest one relevant in this context) and the security requirement is semantic security.

*Zero-knowledge :* the requirement that a proof system be zero knowledge is that for any behavior by the verifier denoted by  $\mathcal{V}^*$  there should be a simulator  $\mathcal{S}$  that outputs a simulated conversation between the prover and the verifier. The distributions on the conversations between  $(\mathcal{P}, \mathcal{V}^*)$  and the outputs of  $\mathcal{S}$  should be indistinguishable. This requirement does not seem to be even somewhat falsifiable due to the order of the quantifiers: suppose that a falsifier comes up with a seemingly bad  $\mathcal{V}^*$ , how do we (the protocol designers) know that there is not simulator for  $\mathcal{V}^*$ . This is especially acute in case of non block-box simulation [4].

In contrast, the property of Witness Indistinguishable is somewhat falsifiable.

## 4 Challenging Problems

One of the main points of this paper is that the classification just proposed yields many open problems. For any cryptographic task the question is whether it is possible to

base it on an assumption from a weaker class than where the task lies “naturally” (i.e. where the assumption “this system implements the task in a secure manner” lies). One can view many of the significant and interesting results in cryptography this way, basing a system on an assumption from a weaker class; e.g. any cryptographic scheme based on factoring (and there are many examples of such) is based on an efficiently falsifiable assumption, no matter where it naturally lies.

We now list a few such problems. In most of the cases there is an implementation based on random oracles [27, 6], i.e. the analysis is based on the assumption that a certain function behaves as a random function. Once the random function is substituted with a concrete one we may phrase an assumption on what is needed from the function. However, usually it is often not simpler than “this system is secure.”

*Efficient Block ciphers:* common block ciphers such as DES or AES (Reijndael) are several orders of magnitude faster than schemes based on the hardness of factoring or any other scheme that can be based on an efficiently falsifiable assumption. On the other hand they do not seem to yield any assumption that is simpler than “this scheme is secure”. So the most outstanding problem our methodology raises is whether it is possible to come up with an efficient proposal to a block cipher, competitive with the state-of-the-art, together with a meaningful succinct challenge, i.e. a block cipher based on an efficiently falsifiable assumption.

*Signatures:* while there have been many proposals of signatures schemes based on efficiently falsifiable or just falsifiable assumption, they are mostly less efficient than ones based on random oracles [7], but some of them give the latter a run for their money [14, 21]. However, there have been several parameters where no non random oracles constructions are known:

**Short signatures:** The schemes with the shortest signature construction known are based on Weil Pairing and random oracles, and get to less than 200 bits per signature [10]. These sort of succinct signatures are important when the signature is carried over a very low bandwidth channel, e.g. a human saying it over the phone. So the question is whether there exists an efficiently refutable assumption allowing such short signatures.

**Low overhead signatures** There are methods that allow signatures with a short additional string, mostly by using the signatures itself to store the string (signatures with recovery). This is important to lower the overall bandwidth overhead of sending a signature. These schemes are based on RSA or Rabin plus a random oracle [7]. The question is whether it is possible to get to this overhead with overhead signatures which are based on a falsifiable assumption.

**Discrete log signatures** To the best of our knowledge no discrete log type signature scheme (El Gamal, Schnorr) has been shown secure without the help of random oracles.

**Low exponent verification** RSA or Rabin provide signatures with extremely efficient verification. Is it possible to obtain such schemes based on a falsifiable assumption?

*Identity Based Encryption:* In 1984 Adi Shamir [40] suggested the notion of an identity based encryption (IBE), where a short public key determined implicitly the public keys of all participants (in conjunction with their ‘identity’) and a center that know a secret can provide them with the corresponding secret key. One of the more interesting developments in the last two or three years has been the first proposals for IBEs by Boneh and Franklin [9] and Cocks [13]. While the scheme of [9] is provided with a rigorous analysis, as far as we can tell one does not yield an efficient falsifiable assumption from it, so the open question is how to obtain a scheme based on such an assumption.

*Blind Signatures and anonymity* A blind signature is one where the signer does not know what it is signing yet it is impossible to generate more signed messages than the signer provided. This notion, proposed by Chaum [11] has many application from untraceable electronic money [12] to fairness in two party computation [36]. It has been analyzed in the random oracle model [37] and there is a highly interactive (between the signer and signee). There is also construction with no random oracles based on secure function evaluation [28]. So the question is whether a two round signature scheme (request and response) is possible based on efficiently falsifiable assumption. This is also true for other anonymity preserving tools such as deniable group signature [39] (based on RSA and random oracles vs. the interactive version given in [31] (based on any good encryption scheme).

*Three round zero knowledge:* One of the outstanding open problems regarding zero-knowledge proofs is whether it is possible to obtain a three round (move) protocol with low probability of error. Hada and Tanaka [26] introduced the assumption of “Knowledge of Exponent” in order to resolve this issue, but as far as we know this assumption is not even somewhat falsifiable. So the question is whether it is possible to obtain such a protocol with an efficiently falsifiable assumption. See [19, 20] for recent work on the subject.

*Incompressible functions:* Let  $f : \{0, 1\}^n \mapsto \{0, 1\}^m$  be an expanding function. Suppose that one party Alice knows  $x$ , and wants the other party Bob to learn  $f(x)$  without revealing more information than necessary about  $x$ . Alice could of course simply send  $f(x)$  to Bob, but the goal is to have a low communication protocol. A function  $f$  is called *incompressible* if any  $o(m)$  bit message enabling the computation of  $f(x)$  reveals  $x$ . This property was introduced by [17] in the context of preventing the illicit distribution of keys for broadcast encryption. They also conjectured that the function  $f(x) = g^x \bmod P \circ g^{x^2} \bmod P \circ \dots \circ g^{x^\ell} \bmod P$  is incompressible. However, as far as we can tell the assumption that a function  $f$  is incompressible is not even somewhat falsifiable. So the open problem is to come up with a candidate for an incompressible function where the assumption that it is incompressible is efficiently falsifiable.

*Moderately hard functions* Moderately hard functions, where the time it takes to evaluate them is neither too small nor too large have many applications in cryptography and computer security, from abuse prevention (See [18]) to zero-knowledge [19]. The question is whether it is possible to incorporate assumptions regarding moderately hard functions into this framework.

*Physical security:* We have dealt so far with the usual mathematical abstract of a distributed system and ignored to a large degree the physical realization, however, as we have seen in the last few years often times “physical” attacks and issues such as: power consumption, tamper resistance, fault Resistance and timing make the implementation very vulnerable (see [3]). So ideally we would like to base security on simple assumption that can be falsified similarly to computational assumption.

This is true also for humans. It is often said that the human user is the weakest link in the security of a system. So again we would like to incorporate human ability considerations into the security design in a rigorous manner and in particular make falsifiable assumptions about human users ability and prove the security of a system based on these assumptions?

## 5 Caveats

While we believe that the classification we have presented is widely applicable and can clarify many issues, it is not a panacea and many important issues are not handled by it.

*Implication not preserved by classification:* If Assumption  $A$  implies Assumption  $B$  and  $A$  is efficiently falsifiable we cannot conclude that  $B$  is efficiently falsifiable as well. The reason is that we cannot simply use the challenges for  $A$  to check  $B$ , since a falsifier for  $A$  is not necessarily one for  $B$  (recall that we should be able to use it to break  $A$ ).

*Classification does not highlight all major results in cryptography:* One of the most outstanding results in Foundation of Cryptography is the HILL (Håstad, Impagliazzo, Levin and Luby) [25] stating that it is possible to construct a pseudo-random sequence generator from any one-way function. On the other hand, according to our classification both the assumption and the outcome are in the class of falsifiable tasks (though the latter is there only when the verifier has secret information). So such a major result is not highlighted by our classification. This true for many general results, since there is no particular payoff for a general result. In particular the issue may be that falsifiability provides only a partial order between assumptions (given the we have only four categories then clearly this is not a linear scale).

*History* of investigating an assumption is not accounted for. A major reason to adopt an assumption is the history of computational attempts to resolve it. This is completely ignored in our framework, but on the other hand our framework yields the opportunity to create such a history for an assumption or an area.

*Do not verify run time* if the one taking up the challenge has more computational resources than the protocol designer assumes then he may simply break the challenge “in brute force” (without violating the formal statement). But this can be seen as violating another implicit assumption “that  $t$  is an infeasible amount of total computational time available to the attacker.”

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