

# LEFTOVER



# HASH



# LEMMA



## REVISITED

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# Imperfect Random Sources



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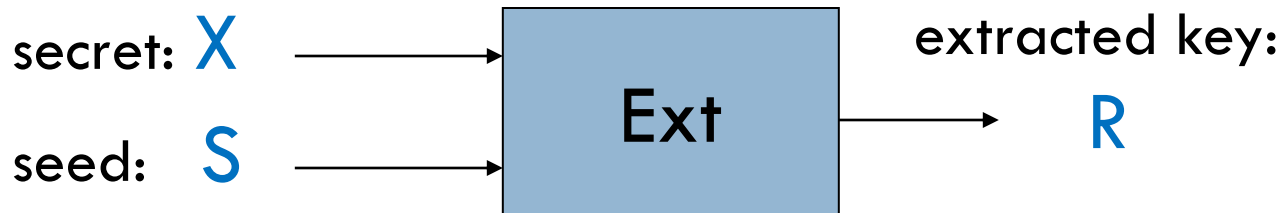
- Ideal randomness is crucial in many areas
  - ▣ Especially **cryptography** (i.e., secret keys) [MP91, DOPS04, BD07]
- However, often deal with **imperfect randomness**
  - ▣ physical sources, biometric data, partial knowledge about secrets, extracting from group elements (DH key exchange),...
- Necessary assumption: must have **(min-)entropy**
  - ▣ (Min-entropy)  $m$ -source:  $\Pr[X=x] \leq 2^{-m}$ , for all  $x$
- **Can we extract (nearly) perfect randomness from such realistic, imperfect sources?**

# Extractors



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- Tool: Randomness Extractor [NZ96].
    - Input: a *weak secret*  $X$  and a *uniformly random seed*  $S$ .
    - Output: *extracted key*  $R = \text{Ext}(X; S)$ .
    - $R$  is uniformly random, even conditioned on the seed  $S$ .
- $(\text{Ext}(X; S), S) \approx (\text{Uniform}, S)$
- **Many uses in complexity theory and cryptography.**
    - Well beyond key derivation (de-randomization, etc.)



# Parameters

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- Min-entropy  $m$ .
- Output length  $v$ .
  - Equivalent measure: Entropy Loss  $L = m - v$ .
- Error  $\epsilon$  (measures *statistical* distance from uniform).
  - Defines security parameter  $k = \log(1/\epsilon)$
- Seed Length  $n$ .
- Optimal Parameters [Sip, RT, DO]:
  - Seed length  $n = O(\text{security parameter } \log(1/\epsilon))$
  - Entropy loss  $L = 2\log(1/\epsilon)$
- Can we match them efficiently?

# Leftover Hash Lemma (LHL)



"Today's special is yesterday's left overs."



# Leftover Hash Lemma (LHL)

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- **Universal Hash Family**  $\mathcal{H} = \{ h: \mathcal{X} \rightarrow \{0,1\}^v \}$ :

$$\forall x \neq y, \Pr_h[ h(x) = h(y) ] = \frac{1}{2^v}$$

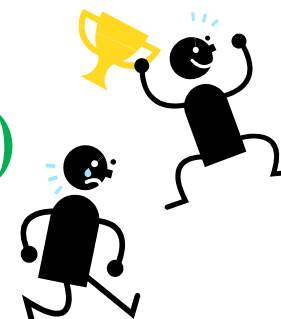
- **Leftover Hash Lemma** [HILL].



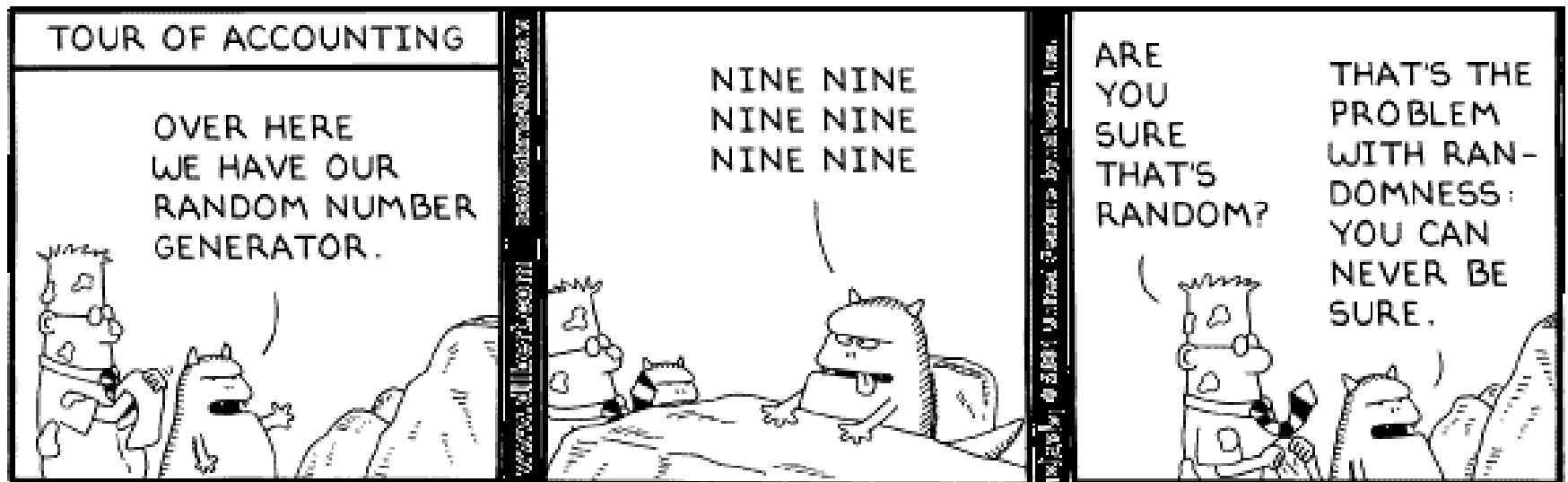
Universal hash functions  $\{h\}$  yield good extractors:

$$(h(X), h) \approx_\varepsilon (U_v, h)$$

- optimal entropy loss:  $L = 2 \log(1/\varepsilon)$
- sub-optimal seed length:  $n \geq |X|$
- **Pros**: simple, very fast, nice algebraic properties
- **Cons**: large seed and entropy loss



# □ Part I: Improving the Entropy Loss



# Is it Important?

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- Yes! Many sources do not have “extra”  $2\log(1/\varepsilon)$  bits
  - ▣ Biometrics, physical sources, DH keys of elliptic curves (EC)
    - DH: lower “start-up” min-entropy also improves efficiency
- Heuristic extractors, analyzed in the random oracle model, have “no entropy loss”
- End Result: practitioners prefer heuristic key derivation to provable key derivation (see [DGH<sup>+</sup>,Kra])
- Goal: **provably** reduce  $2\log(1/\varepsilon)$  entropy loss of LHL closer to “no entropy loss” of heuristic extractors



# Is not $2\log(1/\varepsilon)$ entropy loss optimal?

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- Yes, if must protect against **all** distinguishers  $D$
- Cryptographic Setting: **restricted** distinguishers  $D$ 
  - $D$  = combination of attacker  $A$  and challenger  $C$
  - $D$  outputs  $1 \Leftrightarrow A$  won the game against  $C$
- Case Study: key derivation for signature/MAC
  - Assume:  $\Pr[A \text{ forges sig with random key}] \leq \varepsilon$  (= negl)
  - Hope:  $\Pr[A \text{ forges sig with extracted key}] \leq \varepsilon'$  ( $\approx \varepsilon$ )
  - Key Insight: only care about distinguishers which almost never succeed (on uniform keys) in the first place!
  - Better entropy loss might be possible!

# Improved Entropy Loss for Key Derivation

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- Setting: application  $P$  needs a  $v$ -bit secret key  $R$ 
  - **Ideal Model**:  $R \leftarrow U_v$  is uniform
  - **Real Model**:  $R \leftarrow \text{Ext}(X; S)$ , where  $H_\infty(X) = v + L$
- Assumption:  $P$  is  $\varepsilon$ -secure in the **ideal** model
- Conclusion:  $P$  is  $\varepsilon'$ -secure in the **real** model
- Standard LHL: if  $\text{Ext}$  is universal hash function, then
$$\varepsilon' \leq \varepsilon + \sqrt{2^{-L}}$$
- Our Result: For a “wide range” of applications  $P$ 
$$\varepsilon' \leq \varepsilon + \sqrt{\varepsilon \cdot 2^{-L}}$$

# Improved Entropy Loss for Key Derivation

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□ Moral:

Might extract more if know

□ why you are extracting

□ Standard LHL: if `Ext` is universal hash function, then

$$\varepsilon' \leq \varepsilon + \sqrt{2^{-L}}$$

□ Our Result: For a “wide range” of applications  $P$

$$\varepsilon' \leq \varepsilon + \sqrt{\varepsilon \cdot 2^{-L}}$$

# Comparison

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- **Standard LHL**:  $\varepsilon' \leq \varepsilon + \sqrt{2^{-L}}$ 
  - Must have  $L \geq 2\log(1/\varepsilon)$  for  $\varepsilon' = 2\varepsilon$
  - Not meaningful for  $L \leq 0$ , irrespective of  $\varepsilon$
- **RO Heuristic**:  $\varepsilon' \leq \varepsilon + \varepsilon \cdot 2^{-L}$ 
  - Suffices to have  $L \geq 0$  (no entropy loss) for  $\varepsilon' = 2\varepsilon$
  - Meaningful for  $L \leq 0$ , “borrow” security from application
- **Our Result**:  $\varepsilon' \leq \varepsilon + \sqrt{\varepsilon \cdot 2^{-L}}$ 
  - “Halfway in between” standard LHL and RO
    - Suffices to have  $L \geq \log(1/\varepsilon)$  for  $\varepsilon' = 2\varepsilon$
    - Like RO, meaningful for  $L \leq 0$  (e.g. get  $\varepsilon' = \sqrt{\varepsilon}$  when  $L=0$ )

# Which Applications?

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- All “unpredictability” applications
  - ▣ MAC, signature, one-way-function, ID scheme, ...
- Prominent “indistinguishability” applications
  - ▣ (stateless) CPA/CCA secure encryption, weak PRFs
  - ▣ But not PRFs, PRPs, stream ciphers, one-time pad
    - Note: OK to derive AES key for CPA encryption/MAC !
- Observation: composing with a weak PRF, can include any (computationally-secure) application !
  - ▣ E.g., PRFs/PRPs/stream ciphers, but not one-time pad
  - ▣ Cost: one wPRF call + wPRF input now part of the seed

## □ Part II: Improving the Seed Length



# Expand-then-Extract

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- Recall, best  $n = O(\text{sec. param. } k)$ 
  - But LHL needs  $n \geq |X|$
- Idea: use **pseudorandom generator** (PRG)  $G$  to expand the seed from  $k$  bits to  $n = |X|$  bits:
$$\text{Ext}'(X; s) = \text{Ext}(X; G(s))$$
  - Friendly to “streaming” sources
  - Can result in very fast implementations
- Hope: extracted bits are **pseudorandom**
- **Is this idea sound?**



# Soundness of Expand-then-Extract

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- Trivial:  $(\mathbf{Ext}(X; G(S)), G(S)) \approx_c (U_v, G(S))$ 
  - Otherwise distinguish  $G(U_k)$  from  $U_n$
- Problem: need  $(\mathbf{Ext}(X; G(S)), S) \approx_c (U_v, S) \quad (*)$
- Theorem 1: Under DDH assumption, there exists a PRG  $G$  and a universal hash function  $\mathbf{Ext}$  (thus, extractor, by LHL) s.t. **can break (\*) efficiently with advantage  $\approx 1$  on any source  $X$** 
  - Thus, expand-then-extract might be insecure 😞



# OK to Extract Small Number of Bits!

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- Theorem 2: Extract-then-expand is **secure** when number of extracted bits  $v < \text{“log(PRG security)”}$ 
  - Note 1: PRG should be secure against  $O(\frac{\exp(v)}{\epsilon})$  size circuits
  - Note 2: extracted bits are still **statistically** random !
  - Note 3: same min-entropy  $m$ , error drops to  $\sqrt{\epsilon}$
- Corollary: always safe to extract  $v = O(\log k)$  bits, sometimes might be safe to extract  $v = \Omega(k)$  bits 😊
- Seed Length  $n$  ? At best,  $n = O(v + \log(1/\epsilon))$ , same as “almost universal” hash functions 😞

# Expand-then-Extract Secure in **Minicrypt**

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- Counter-example used DDH – “public-key gadget”
- **Minicrypt**: one of Impagliazzo’s worlds, where PRGs exist but no public-key encryption (PKE)
- Theorem 3: **Extract-then-expand is secure in Minicrypt**
  - ▣ True for any number of extracted bits, but “settle” for efficiently samplable sources and pseudorandom bits
  - ▣ Similar in spirit to [HN, Pie, Dzi, DI, PS], **but simpler!**

# Expand-then-Extract Secure in **Minicrypt**

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- Theorem 3: if  $X$  is **efficiently** samplable,  $G$  is a **PRG** and  $D$  **efficiently** distinguishes  $(\text{Ext}(X; G(S)), S)$  from  $(U, S)$ , then **PKE exist**
- **Secret Key** =  $S$ , **Public Key** =  $G(S)$
- Encryption  $\text{Enc}_{\text{PK}}(b)$ : send ciphertext  $R$ , where
  - if  $b = 0$ , sample  $X$  and set  $R \leftarrow \text{Ext}(X; G(S))$
  - if  $b = 1$ , set  $R \leftarrow U$
- Decryption  $\text{Dec}_{\text{SK}}(R)$ : use  $D(R, S)$  to recover  $b$
- **Semantic security** follows from PRG security:  
 $(\text{Ext}(X; G(S)), G(S)) \approx_c (U, G(S))$



# Interpretation



**“It’s not what it looks like”**

# Interpretation

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- **Corollary:** Let  $G$  be a PRG.  
Assume there exists **no PKE** with  $sk = S$ ,  $pk = G(S)$ , pseudorandom ciphertexts and  $\approx$  **same security** as  $G$ .  
Then expand-then-extract **is secure** with  $G$ .
- “Practical” PRGs (e.g. AES) unlikely to yield such a PKE
  - ▣ No black-box construction known (even with powerful “cryptomania” assumptions, like NIZK, IBE, FHE, etc.)
  - ▣ Possible that no PKE is **as secure as** AES !
  - ▣ Would be a major breakthrough with, say, AES
- Moral: formal evidence that expand-then-extract might be **“secure in practice”** (with “actually used” ciphers)

# Summary

- Can improve large *entropy loss* and *seed length* of LHL
- Entropy loss: for a wide range of applications reduce entropy loss from  $2\log(1/\varepsilon)$  to  $\log(1/\varepsilon)$ 
  - ▣ Directly includes **all authentication** and **some privacy** applications (including **CPA encryption, weak PRFs**)
  - ▣ Using wPRFs, computational extractor for **all** applications!
- Seed length: **expand-then-extract** approach
  - ▣ Not sound in general...
  - ▣ Sound for extracting small # of bits
  - ▣ **Sound for “practical” PRGs** (which do not “imply” PKE)

