

# Faster Fully Homomorphic Encryption

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Joint work with Ron Steinfeld

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# Main result

Improved bit-complexity bound for homomorphically evaluating a binary gate with [Gentry's fully homomorphic scheme](#):

$\tilde{O}(t^6) \rightarrow \tilde{O}(t^{3.5})$  bit operations, with  $t$  = security parameter.

To compare with: standard RSA Enc/Dec costs  $\tilde{O}(t^3)$  per bit.

Two ingredients:

- A less pessimistic analysis of one of the hardness assumptions.
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- 1 **Reminders on homomorphic encryption.**
- 2 Ingredient 1: a less pessimistic analysis of  $S(V)$ SSP.
- 3 Ingredient 2: a shallower decryption algorithm.

# Ideal lattices

Let  $n$  be a power of 2 and  $R = \mathbb{Z}[x]/(x^n + 1)$ .

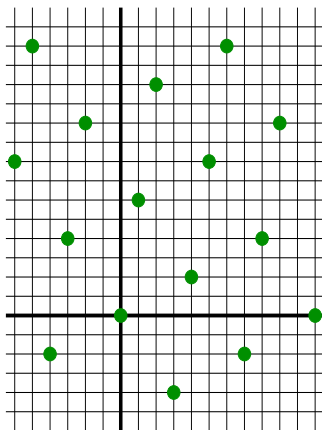
- $J \subseteq R$  is an **ideal** if  $\forall a, b \in J, \forall r \in R: a + b \cdot r \in J$ .
- Any ideal is a **lattice**, i.e., an additive subgroup of  $\mathbb{Z}^n$ .

Basis:  $(\mathbf{b}_i)_{i \leq n}$  linearly independent s.t.

$$L = \left\{ \sum_{i \leq n} x_i \mathbf{b}_i : x_i \in \mathbb{Z} \right\}$$

Minimum:  $\lambda = \min(\|\mathbf{b}\| : \mathbf{b} \in L \setminus \mathbf{0})$ .

Determinant:  $\det = |\det((\mathbf{b}_i)_i)|$ , for any basis.  
 = volume of  $\mathbb{R}^n/L$ .



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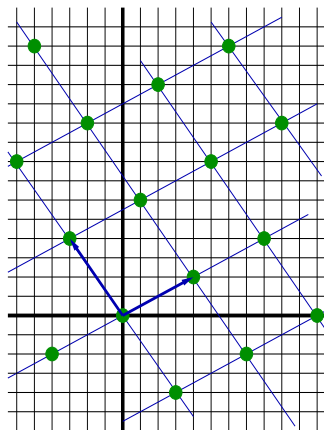
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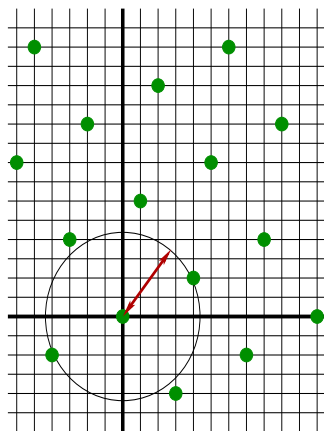
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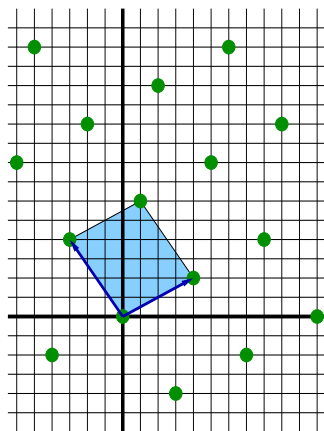
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# Gentry's somewhat homomorphic scheme: SomHom

- Public key:  $B_J$  a basis of an ideal  $J$ , with rather large  $\det(J)$ .
- Secret key:  $\mathbf{v}_J^{sk}$ .
- Plaintext domain:  $\mathcal{P} = \{0, 1\}$ . Ciphertext domain:  $\mathcal{C} = R/B_J$ .

- Encryption:

$$\pi \mapsto \psi = (\pi + 2\rho) \bmod B_J, \text{ with } \rho \text{ random and small.}$$

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## Properties of Gentry's scheme

- “ $\text{Enc}(\pi_1) \left(\begin{smallmatrix} + \\ \times \end{smallmatrix}\right) \text{Enc}(\pi_2) \bmod B_J$ ” decrypts to  $\pi_1 \left(\begin{smallmatrix} + \\ \times \end{smallmatrix}\right) \pi_2$ .
- “ $\pi + 2\rho \bmod B_J$ ” decrypts to  $\pi$ , if  $\rho \lesssim \det(J)^{1/n} \approx \lambda(J)$ .
- An addition doubles  $\rho$ , a multiplication squares  $\rho$ .

Best known attack: Finding  $\pi$  from “ $\pi + 2\rho \bmod B_J$ ” is an instance of the [Bounded Distance Decoding problem](#).

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# Lattice reduction 'Rule of Thumb' conjecture

## BDD $_{\gamma}$

Given  $(\mathbf{b}_i)_i$  basis of  $L$  and  $\mathbf{t} \in \mathbb{Q}^n$  such that  $\text{dist}(\mathbf{t}, L) \leq \gamma^{-1} \cdot \lambda(L)$ , find  $\mathbf{b} \in L$  closest to  $\mathbf{t}$ .

## SVP $_{\gamma}$

Given  $(\mathbf{b}_i)_i$  basis of  $L$ , find  $\mathbf{b} \in L$  such that  $0 < \|\mathbf{b}\| \leq \gamma \cdot \lambda(L)$ .

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There exists a constant  $c$  s.t. the following holds. Assuming there is nothing "special" with the lattice:

with time  $\leq 2^t$ , one cannot solve SVP $_{\gamma}$ /BDD $_{\gamma}$  for  $\gamma < c^{n/t}$ .

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# From SomHom to FullHom, via bootstrapping

- An encryption scheme is **bootstrappable** if it can homomorphically evaluate its own decryption circuit.
- Decryption/security constraints  
 $\Rightarrow$  SomHom is not bootstrappable.

To **squash the decryption**, some effort is shifted from  $\mathcal{P}$  to  $\mathcal{C}$ :

- Splitting the secret key  $\mathbf{v}_J^{sk}$ :

$$\mathbf{v}_J^{sk} = \sum_{i \leq n_{set}} s_i \mathbf{v}_i, \text{ for } \mathbf{s} \in \{0, 1\}^n \text{ of Hamming weight } n_{sub}.$$

- New secret key:  $(s_i)_i$ ; New public key:  $B_J, (\mathbf{v}_i)_i$ .
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- 1 Reminders on homomorphic encryption.
- 2 **Ingredient 1: a less pessimistic analysis of  $S(V)SSP$ .**
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Using the lattice 'rule of thumb' for **both** BDD and  $S(V)SSP$ .



# The Sparse Vector Subset Sum Problem

## SVSSP <sub>$n_{set}, n_{sub}$</sub>

Distinguish between  $(\mathbf{a}_i)_{i \leq n_{set}}$  uniform in  $[R \bmod (2J)]^{n_{set}}$  and the same but conditioned on the existence of  $\mathbf{s} \in \{0, 1\}^{n_{set}}$  of Hamming weight  $n_{sub}$  s.t.  $\sum_i s_i \mathbf{a}_i = 0 \bmod 2J$ .

- Resembles Sparse Subset Sum Problem (with integers rather than ring elements), used for server-aided RSA.
- Gentry showed that FullHom is secure assuming the hardnesses of:
  - $BDD_\gamma$  for ideal lattices, for a large  $\gamma$ .
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- Birthday paradox. Requires time  $\binom{n_{set}}{n_{sub}}^{1/2}$ .
- Lattice attack:  $\mathbf{s}$  is likely to be a shortest non-zero vector in

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# Analysis of the lattice attack against SVSSP

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- $\dim(L) = n_{set}$ .
- $\lambda(L) \in [1, \sqrt{n_{sub}}]$ .
- $\det(L) \leq \det(2J) = 2^n \det(J)$ .

Former analysis:

- $n_{set} \gg \log_2 \det(2J)$  implies the existence of too many short vectors (via Minkowski's theorem).
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$$L = \{\mathbf{x} \in \mathbb{Z}^{n_{\text{set}}} : \sum_i x_i \mathbf{a}_i = 0 \bmod 2J\}.$$

- The former analysis assumes being able to find extremely short vectors of  $L$ , i.e., essentially solve SVP.
- But for SOMHOM, we assumed  $\text{BDD}_\gamma$  hard for a large  $\gamma$ .

We homogenize the hardness assumptions:

- 'Rule of thumb'  $\Rightarrow$  in time  $\leq 2^t$ , one cannot find vectors shorter than  $c^{n_{\text{set}}/t}$ , for some constant  $c$ .
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- 1 Reminders on homomorphic encryption.
- 2 Ingredient 1: a less pessimistic analysis of S(V)SSP.
- 3 **Ingredient 2: a shallower decryption algorithm.**

Using **fewer multiplications** to homomorphically decrypt.

# Decryption

- For SOMHOM:  $\psi \mapsto \psi - \lfloor \mathbf{v}_j^{sk} \cdot \psi \rfloor \bmod 2$ .
- Squashed decryption:

$$\psi, (\psi \times \mathbf{v}_i)_i \mapsto \psi - \lfloor \sum_i s_i (\psi \times \mathbf{v}_i) \rfloor \bmod 2.$$

- The decryption circuit is to be evaluated **homomorphically**.
- What's important: not the time complexity, but the multiplicative degree of the algebraic decryption circuit.
- This is the key to the homomorphic capacity of S(V)SSP, and thus the size of  $\mathcal{L}$ .

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# Degree of the decryption

Dominating component: sum of  $n_{sub}$  reals  $y_1, \dots, y_{n_{sub}}$ , modulo 2.

- 1 Choose a precision  $p$  for the inputs:  $y_i = \sum_{j=0}^p y_{i,j} 2^{-j}$ .
  - 2 For each  $j$ , compute  $S_j = \sum_{i \leq n_{sub}} y_{i,j}$ .
  - 3 Compute  $S = (\sum_j S_j 2^{-j}) \bmod 2$ .
- Step 2 dominates.
  - If  $\sum_k S_{j,k} 2^k$  is the binary representation of  $S_j$ , then  $S_{j,k}$  has algebraic degree  $2^k$ .
  - $S_j$  can be as large as  $n_{sub} \Rightarrow$  decryption degree  $\approx n_{sub}$

# Degree of the decryption

Dominating component: sum of  $n_{sub}$  reals  $y_1, \dots, y_{n_{sub}}$ , modulo 2.

- 1 Choose a precision  $p$  for the inputs:  $y_i = \sum_{j=0}^p y_{i,j} 2^{-j}$ .
  - 2 For each  $j$ , compute  $S_j = \sum_{i \leq n_{sub}} y_{i,j}$ .
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# Shallower decryption: first remark

Dominating component: sum of  $n_{sub}$  reals  $y_1, \dots, y_{n_{sub}}$ , modulo 2.

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  - 2 For each  $j$ , compute  $S_j = \sum_{i \leq n_{sub}} y_{i,j}$ .
  - 3 Compute  $S = (\sum_j S_j 2^{-j}) \bmod 2$ .
- $S_j$  needs only being evaluated mod  $2^{j+1}$ .
  - Since  $j \leq p$ , the decryption degree is  $\leq \min(2^{p+1}, n_{sub})$ .
  - But which  $p$  do we need?

## Shallower decryption: choice of $p$

$$y_i = y'_i + \varepsilon_i, \quad |\varepsilon_i| \leq 2^{-p} \quad i = 1..n_{sub}.$$

- Promise:  $\sum y_i$  is at distance  $\leq 1/4$  of an integer.
- Former strategy:  $p = 4 + \log_2 n_{sub} \Rightarrow |\sum_i \varepsilon_i| \leq 1/8$ .
- Worst-case scenario: the signs of the errors are equal.

The worst-case scenario is very unlikely to happen!

- If the  $\varepsilon_i$ 's are iid with expectancy 0, Hoeffding's bound gives:

$$\Pr \left[ \left| \sum_i \varepsilon_i \right| \geq \sqrt{n_{sub}} \cdot 2^{-p} \cdot \omega(\sqrt{\log t}) \right] \leq n^{-\omega(1)}.$$

$\Rightarrow$  Choose  $p \approx \frac{1}{2} \log_2 n_{sub}$ .

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## Remarks on the shallower decryption

- Making the  $\varepsilon_i$ 's iid with expectancy 0 requires some care.
- Decryption is now probabilistic: it fails with negligible prob.
- Additional difficulty for the KDM-variant of Gentry's FullHom (to ensure independence).



# Conclusion

Let  $q = \det(2J)$ , security goal  $\geq 2^t$ .

Condition	[Gentry'09]	Here
Ideal-BDD hard		$q^{1/n} \leq c^{n/t}$
SVSSP-Combinatorial		$\binom{n_{set}}{n_{sub}} \geq 2^{2t}$
SVSSP-Lattice	$n_{set} = \Omega(\log q)$	$\frac{n_{set}^2}{t} = \tilde{\Omega}(\log q)$
Bootstrappability	$n_{sub} \leq \log q^{1/n}$	$\sqrt{n_{sub}} \lesssim \log q^{1/n}$

Complexity of homomorphically evaluating one gate:

$$\approx n_{set} \log q : \tilde{O}(t^6) \rightarrow \tilde{O}(t^{3.5}).$$

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## Open problems

- 1 Faster scheme, e.g., using more bits in the plaintext (see work by Smart and Vercauteren).
- 2 Fewer security assumptions, e.g., no S(V)SSP.
- 3 Better understood security assumptions: can we rely on more classical assumptions? can we improve Gentry's CRYPTO'10 reduction?
- 4 What about practice? (see work by Gentry and Halevi).